| Set | Items | Description |
|---|---|---|
| S1 | 164 | AU=(JORASCH J? OR JORASCH, J?) |
| S2 | 2657 | AUCTION? |
| S3 | 6009 | BIDS OR BIDDED OR BID OR BIDDING |
| S4 | 7505 | PROXY OR PROXIES |
| S5 | 1111558 | BEHAVIOR? OR BEHAVIOUR? OR HABIT? OR TRAIT? ? OR CHARACTER? |
| S6 | 149557 | RULE? ? OR POLICY OR POLICIES OR GUIDELINE? |
| S7 | 723064 | BIDDER? OR CONSUMER? OR CUSTOMER? OR USER? OR MEMBER? ? OR PEOPLE OR CLIENT? OR SUBSCRIBER? OR PARTICIPANT? |
| S8 | 1218240 | TIME? ? OR INTERVAL? OR PERIOD? OR MINUTES OR HOUR?? |
| S9 | 1991 | S4(3N)(S3 OR S7) |
| S10 | 43 | S9(S)S2 |
| S11 | 25 | S10(20N)(S5 OR S6 OR S8) |
| S12 | 5 | S1 AND S2 |
| S13 | 594 | (S2 OR S3)(25N)S6 |
| S14 | 271 | S13(15N)S7 |
| S15 | 32 | S14(S)S5 |
| S16 | 59 | S11 OR S12 OR S15 |
| S17 | 45 | S16 AND IC=G06F-017/60 |

File 348:EUROPEAN PATENTS 1978-2004/May W01
(c) 2004 European Patent Office
File 349:PCT FULLTEXT 1979-2002/UB=20040506,UT=20040429
(c) 2004 WIPO/Univentio

**17/3,K/1 (Item 1 from file: 348)**
DIALOG(R)File 348:EUROPEAN PATENTS


01532541
**Content item management**
**Verwaltung von Inhalten**
**Gestion d'articles de contenu**
PATENT ASSIGNEE:
Hewlett-Packard Company, (206034), 3000 Hanover Street, Palo Alto, California 94304-1185, (US), (Applicant designated States: all)
INVENTOR:
Oliver, Huw Edward, 47 Belle Vue Road, Eastville, Bristol BS5 6DR, (GB)
Squibbs, Robert Francis, Lyme House, Main Road, Easter Compton, Bristol BS35 5RJ, (GB)
McDonnell, James Thomas Edward, 3 Vicarage Gardens, Malmesbury SN16 9NZ, (GB)
Brown, Sean, 255 Chiswick High Road, Chiswick, London W4 PU, (GB)
LEGAL REPRESENTATIVE:
Squibbs, Robert Francis et al (36273), Hewlett-Packard Limited, IP Section, Building 3 Filton Road, Stoke Gifford, Bristol BS 34 8QZ, (GB)
PATENT (CC, No, Kind, Date): EP 1278149 A1 030122 (Basic)
APPLICATION (CC, No, Date): EP 2002254803 020709;
PRIORITY (CC, No, Date): GB 117703 010720
DESIGNATED STATES: DE; FR; GB
EXTENDED DESIGNATED STATES: AL; LT; LV; MK; RO; SI
INTERNATIONAL PATENT CLASS: **G06F-017/60**
ABSTRACT WORD COUNT: 128
NOTE:
Figure number on first page: 1

LANGUAGE (Publication,Procedural,Application): English; English; English
FULLTEXT AVAILABILITY:

| Available Text | Language | Update | Word Count |
|---|---|---|---|
| CLAIMS A | (English) | 200304 | 2041 |
| SPEC A | (English) | 200304 | 3652 |
| Total word count - document A | | | 5693 |
| Total word count - document B | | | 0 |
| Total word count - documents A + B | | | 5693 |

INTERNATIONAL PATENT CLASS: **G06F-017/60**

...SPECIFICATION it is always to participate in the ad market.
For each item identified as a **participant** in the ad market, a corresponding **bid** mechanism sub-process 71 is created for making **bids** according to **rules** identified by the **behaviour** data of the item. A market mechanism process 72 runs the market for advertising space, taking **bids** (see arrow 74) from the bid mechanisms 71 as it auctions off the available ad...

**17/3,K/2 (Item 2 from file: 348)**
DIALOG(R)File 348:EUROPEAN PATENTS


01313442
**Method and system for conducting electronic auctions**
**Verfahren und System zur elektronischen Auktionsdurchfuhrung**
**Procede et systeme pour conduire des ventes aux encheres electroniques**
PATENT ASSIGNEE:

Freemarkets, Inc., (2987930), One Oliver Plaza, 210 Sixth Avenue, Pittsburgh, PA 15222, (US), (Applicant designated States: all)
INVENTOR:
Alaia, Marc, 113 Grandview Avenue, Glenshaw, PA 15116, (US)
Becker, David J., 22 Sewickley Hills Drive, Sewickley, PA 15143, (US)
Bernard, Anthony F., 2518 Lindenwood Drive, Wexford, PA 15090, (US)
Heckmann, Daniel C., 4889 East Willock Road, Pittsburg, PA 15227, (US)
Kinney, Sam E., Jr., 314 Maple Lane, Sewickley, PA 15143, (US)
Meakam, Glen T., 703 Cochran Street, Sewickley, PA 15143, (US)
Rago, Vincent E., 15 Roxbury Road, Pittsburg, PA 15221, (US)
Reneau, Jason, 403 Marlborough Street, Boston, MA 02115, (US)
Roberts, Frederick W., 1669 Sturbridge Drive, Sewickley, PA 15143, (US)
Rupp, William D., 2151 Cayuda Drive, Pittsburg, PA 15239, (US)
Stevens, Robert G., 5100 BAYARD STREET, Pittsburg, PA 15232, (US)
LEGAL REPRESENTATIVE:
Schmidt, Steffen J., Dipl.-Ing. (70552), Wuesthoff & Wuesthoff, Patent- und Rechtsanwalte, Schweigerstrasse 2, 81541 Munchen, (DE)
PATENT (CC, No, Kind, Date): EP 1122669 A2 010808 (Basic)
EP 1122669 A3 010926
APPLICATION (CC, No, Date): EP 2001111712 990917;
PRIORITY (CC, No, Date): US 101141 P 980918; US 110846 P 981204; US 252790 990219
DESIGNATED STATES: DE; FR; GB
RELATED PARENT NUMBER(S) - PN (AN):
EP 1114384 (EP 99951498)
INTERNATIONAL PATENT CLASS: **G06F-017/60**
ABSTRACT WORD COUNT: 111
NOTE:
Figure number on first page: 13

LANGUAGE (Publication,Procedural,Application): English; English; English
FULLTEXT AVAILABILITY:

| Available Text | Language | Update | Word Count |
|---|---|---|---|
| CLAIMS A | (English) | 200132 | 1464 |
| SPEC A | (English) | 200132 | 14015 |
| Total word count - document A | | | 15479 |
| Total word count - document B | | | 0 |
| Total word count - documents A + B | | | 15479 |

INTERNATIONAL PATENT CLASS: **G06F-017/60**

...SPECIFICATION that the locked/unlocked feature represents only one example of a flexible line-item decision **rule** that can be implemented. Generally, a line item **bid** can be adjusted based upon one or more changes at the lot or line item level. These flexible line-item decision **rule** can be created to accommodate any pre- **auction** **bidding** strategy that could be jeopardized by the **bidder** 's interaction in a real-time **auction** event. For example, a customized flexible line-item decision **rule** can be created such that the price of a first line item maintains specified proportion to the price of a second line item.This particular flexible line-item decision **rule** may be important where the **bidder** must ensure that one or more particular line item **bids** conform to internal corporate **guidelines** (e.g., marketing, accounting, sales, etc.). More generally, it is contemplated by the present invention that any aspect of a line item **bid** (e.g., unit price, quantity, delivery time, line item **characteristic** , etc.) can be related to, and thereby adjusted, based upon a change in one or...

**17/3,K/3 (Item 3 from file: 348)**
DIALOG(R)File 348:EUROPEAN PATENTS

01313441

**Method and system for conducting electronic auctions**
**Verfahren und System zur elektronischen Auktionsdurchfuhrung**
**Procede et systeme pour conduire des ventes aux encheres electroniques**

PATENT ASSIGNEE:
Freemarkets, Inc., (2987930), One Oliver Plaza, 210 Sixth Avenue, Pittsburgh, PA 15222, (US), (Applicant designated States: all)
INVENTOR:
Alaia, Marc, 113 Grandview Avenue, Glenshaw, PA 15116, (US)
Becker, David J., 614 Academy Avenue, Sewickley, PA 15143, (US)
Bernard, Anthony F., 2518 Lindenwood Drive, Wexford, PA 15090, (US)
Heckmann, Daniel C., 4889 East Willock Road, Pittsburgh, PA 15227, (US)
Kinney, Sam E., Jun., 314 Maple Lane, Sewickley, PA 15143, (US)
Meakam, Glen T., 703 Cochran Street, Sewickley, PA 15143, (US)
Rago, Vincent E., 15 Roxbury Road, Pittsburgh, PA 15221, (US)
Reneau, Jason, 403 Marlborough Street, Boston, MA 02115, (US)
Roberts, Frederick W., 1669 Sturbridge Drive, Sewickley, PA 15143, (US)
Rupp, William D., 2151 Cayuda Drive, Pittsburgh, PA 15239, (US)
Stevens, Robert G., 5100 BAYARD STREET, Pittsburgh, PA 15232, (US)
LEGAL REPRESENTATIVE:
Schmidt, Steffen J., Dipl.-Ing. (70552), Wuesthoff & Wuesthoff, Patent- und Rechtsanwalte, Schweigerstrasse 2, 81541 Munchen, (DE)

PATENT (CC, No, Kind, Date): EP 1122668 A2 010808 (Basic)
EP 1122668 A3 010926
APPLICATION (CC, No, Date): EP 2001111703 990917;
PRIORITY (CC, No, Date): US 101141 P 980918; US 110846 P 981204; US 252790 990219
DESIGNATED STATES: DE; FR; GB
RELATED PARENT NUMBER(S) - PN (AN):
EP 1114384 (EP 99951498)
INTERNATIONAL PATENT CLASS: **G06F-017/60**
ABSTRACT WORD COUNT: 118
NOTE:
Figure number on first page: 1

LANGUAGE (Publication,Procedural,Application): English; English; English
FULLTEXT AVAILABILITY:

| Available Text | Language | Update | Word Count |
|---|---|---|---|
| CLAIMS A | (English) | 200132 | 546 |
| SPEC A | (English) | 200132 | 14017 |
| Total word count - document A | | | 14563 |
| Total word count - document B | | | 0 |
| Total word count - documents A + B | | | 14563 |

INTERNATIONAL PATENT CLASS: **G06F-017/60**

...SPECIFICATION that the locked/unlocked feature represents only one example of a flexible line-item decision **rule** that can be implemented. Generally, a line item **bid** can be adjusted based upon one or more changes at the lot or line item level. These flexible line-item decision **rule** can be created to accommodate any pre- **auction** **bidding** strategy that could be jeopardized by the **bidder** 's interaction in a real-time **auction** event. For example, a customized flexible line-item decision **rule** can be created such that the price of a first line item maintains specified proportion to the price of a second line item. This particular flexible line-item decision **rule** may be important where the **bidder** must ensure that one or more particular line item **bids** conform to internal corporate **guidelines** (e.g., marketing, accounting, sales, etc.). More generally, it is contemplated by the present invention that

any aspect of a line item **bid** (e.g., unit price, quantity, delivery time, line item **characteristic** , etc.) can be related to, and thereby adjusted, based upon a change in one or...

**17/3,K/4 (Item 4 from file: 348)**
DIALOG(R)File 348:EUROPEAN PATENTS


01313440
**Method and system for conducting electronic auctions**
**Verfahren und System zur elektronischen Auktionsdurchfuhrung**
**Procede et systeme pour conduire des ventes aux encheres electroniques**
PATENT ASSIGNEE:
Freemarkets, Inc., (2987930), One Oliver Plaza, 210 Sixth Avenue, Pittsburgh, PA 15222, (US), (Applicant designated States: all)
INVENTOR:
Alaia, Marc, 113 Grandview Avenue, Glenshaw, PA 15116, (US)
Becker, David J., 22 Sewickley Hills Drive, Sewickley, PA 15143, (US)
Bernard, Anthony F., 2518 Lindenwood Drive, Wexford, PA 15090, (US)
Heckmann, Daniel C., 4889 East Willock Road, Pittsburgh, PA 15227, (US)
Kinney, Sam E., Jr., 314 Maple Lane, Sewickley, PA 15143, (US)
Meakam, Glen T., 703 Cochran Street, Sewickley, PA 15143, (US)
Rago, Vincent E., 15 Roxbury Road, Pittsburgh, PA 15221, (US)
Reneau, Jason, 403 Marlborough Street, Boston, MA 02115, (US)
Roberts, Frederick W., 1669 Sturbridge Drive, Sewickley, PA 15143, (US)
Rupp, William D., 2151 Cayuda Drive, Pittsburgh, PA 15239, (US)
Stevens, Robert G., 5100 BAYARD STREET, Pittsburgh, PA 15232, (US)
LEGAL REPRESENTATIVE:
Schmidt, Steffen J., Dipl.-Ing. (70552), Wuesthoff & Wuesthoff, Patent- und Rechtsanwalte, Schweigerstrasse 2, 81541 Munchen, (DE)
PATENT (CC, No, Kind, Date): EP 1122667 A2 010808 (Basic)
EP 1122667 A3 010926
APPLICATION (CC, No, Date): EP 2001111702 990917;
PRIORITY (CC, No, Date): US 101141 P 980918; US 110846 P 981204; US 252790 990219
DESIGNATED STATES: DE; FR; GB
RELATED PARENT NUMBER(S) - PN (AN):
EP 1114384 (EP 99951498)
INTERNATIONAL PATENT CLASS: **G06F-017/60**
ABSTRACT WORD COUNT: 102
NOTE:
Figure number on first page: 1

LANGUAGE (Publication,Procedural,Application): English; English; English
FULLTEXT AVAILABILITY:

| Available Text | Language | Update | Word Count |
|---|---|---|---|
| CLAIMS A | (English) | 200132 | 1454 |
| SPEC A | (English) | 200132 | 14016 |
| Total word count - document A | | | 15470 |
| Total word count - document B | | | 0 |
| Total word count - documents A + B | | | 15470 |

INTERNATIONAL PATENT CLASS: **G06F-017/60**

...SPECIFICATION that the locked/unlocked feature represents only one example of a flexible line-item decision **rule** that can be implemented. Generally, a line item **bid** can be adjusted based upon one or more changes at the lot or line item level. These flexible line-item decision **rule** can be created to accommodate any pre- **auction** **bidding** strategy that could be jeopardized by the **bidder** 's interaction in a real-time

**auction** event. For example, a customized flexible line-item decision **rule** can be created such that the price of a first line item maintains specified proportion to the priceof a second line item. This particular flexible line-item decision **rule** may be important where the **bidder** must ensure that one or more particular line item **bids** conform to internal corporate **guidelines** (e.g., marketing, accounting, sales, etc.). More generally, it is contemplated by the present invention that any aspect of a line item **bid** (e.g., unit price, quantity, delivery time, line item **characteristic** , etc.) can be related to, and thereby adjusted, based upon a change in one or...

**17/3,K/5 (Item 5 from file: 348)**
DIALOG(R)File 348:EUROPEAN PATENTS


01295114
**Method and apparatus for obtaining components**
**Methode und Vorrichtung zur Lokalisierung von Komponenten**
**Methode et dispositif pour obtenir des composants**
PATENT ASSIGNEE:
UNITED TECHNOLOGIES CORPORATION, (206570), United Technologies Building, 1 Financial Plaza, Hartford, CT 06101, (US), (Applicant designated States: all)
INVENTOR:
Brodersen, Andrew N., Jr., 340 Cassidy Hill Road, Coventry, Connecticut 06238, (US)
LEGAL REPRESENTATIVE:
Samuels, Adrian James (92711), Frank B. Dehn & Co., 179 Queen Victoria Street, London EC4V 4EL, (GB)
PATENT (CC, No, Kind, Date): EP 1111529 A2 010627 (Basic)
EP 1111529 A3 040414
APPLICATION (CC, No, Date): EP 2000311315 001218;
PRIORITY (CC, No, Date): US 466262 991217
DESIGNATED STATES: AT; BE; CH; CY; DE; DK; ES; FI; FR; GB; GR; IE; IT; LI; LU; MC; NL; PT; SE; TR
EXTENDED DESIGNATED STATES: AL; LT; LV; MK; RO; SI
INTERNATIONAL PATENT CLASS: **G06F-017/60**
ABSTRACT WORD COUNT: 90
NOTE:
Figure number on first page: NONE

LANGUAGE (Publication,Procedural,Application): English; English; English
FULLTEXT AVAILABILITY:

| Available Text | Language | Update | Word Count |
|---|---|---|---|
| CLAIMS A | (English) | 200126 | 2043 |
| SPEC A | (English) | 200126 | 6101 |
| Total word count - document A | | | 8144 |
| Total word count - document B | | | 0 |
| Total word count - documents A + B | | | 8144 |

INTERNATIONAL PATENT CLASS: **G06F-017/60**

...SPECIFICATION reverse auction may be executed as follows. The reverse auction is initiated at a predetermined **time** at 106 with a target bid price. The system determines at 170 whether there are any **bid proxies** for the requested part. (A proxy bid is a form of bid where the bidder... if there are no online suppliers bidding, the system determines at 184 whether the time **period** of the auction has expired. If the **time period** has not expired, the system queries whether there are any

applicable **proxy bids** at 170 and repeats the sequences previously described. If the **time period** has expired, the **auction** is terminated at 186 and winning bidder, if any, is notified at 188.
For purposes...

**17/3,K/6 (Item 6 from file: 348)**
DIALOG(R)File 348:EUROPEAN PATENTS


00907582
**Automatic auction method**
**Verfahren fur die automatische Auktion**
**Methode de vente aux encheres automatique**
PATENT ASSIGNEE:
Hitachi, Ltd., (204146), 4-6 Surugadai Kanda, Chiyoda-ku, Tokyo, (JP), (Applicant designated States: all)
INVENTOR:
Mori, Masakatsu, 40-1-5218, Utsukushigaokanishi-2-chome, Aoba-ku, Yokohama-shi, (JP)
Ogura, Masahiro, 32-11, Sanno-1-chome, Sakura-shi, (JP)
Takeshima, Masahiro, 34-5-102 Shoan-3-chome, Suginami-ku, Tokyo, (JP)
Arai, Kenji, 24-1-204, Chuohoncho-2-chome, Adachi-ku, Tokyo, (JP)
LEGAL REPRESENTATIVE:
Calderbank, Thomas Roger et al (50122), MEWBURN ELLIS York House 23 Kingsway, London WC2B 6HP, (GB)
PATENT (CC, No, Kind, Date): EP 828223 A2 980311 (Basic)
EP 828223 A3 000503
APPLICATION (CC, No, Date): EP 97306722 970901;
PRIORITY (CC, No, Date): JP 96233918 960904
DESIGNATED STATES: DE; FR; GB; NL
EXTENDED DESIGNATED STATES: AL; LT; LV; RO; SI
INTERNATIONAL PATENT CLASS: **G06F-017/60**
ABSTRACT WORD COUNT: 160
NOTE:
Figure number on first page: 1

LANGUAGE (Publication,Procedural,Application): English; English; English
FULLTEXT AVAILABILITY:

| Available Text | Language | Update | Word Count |
|---|---|---|---|
| CLAIMS A | (English) | 9811 | 1475 |
| SPEC A | (English) | 9811 | 8661 |
| Total word count - document A | | | 10136 |
| Total word count - document B | | | 0 |
| Total word count - documents A + B | | | 10136 |

INTERNATIONAL PATENT CLASS: **G06F-017/60**

...SPECIFICATION competition vanished and successful bidder determined" indicates the competition vanishment, the determination of a successful **bidder** , and a price rise for competition vanishment. In the case where a successful **bid** has been conducted, the successful **bid** amount is included.
In the **rule** results 613, the **behavior** of **rules** contained in the **auction** ordering information at the time of the **auction** are included. In the case where a successful **bid** has been conducted, the **behavior** at the time of the auction includes the hammer price and the successful bid amount...

...the case where a successful bid has not been conducted due to a

competition, the **behavior** at the time of the auction includes failed prices. In the case where a rule has not been used, the fact is included in the **behavior** .

Monitoring of the auction ordering information in the electronic marketplace server 11 will now be...

**17/3,K/7 (Item 1 from file: 349)**
DIALOG(R)File 349:PCT FULLTEXT


01056423 **Image available**
**DERIVATIVES HAVING DEMAND-BASED, ADJUSTABLE RETURNS, AND TRADING EXCHANGE THEREFOR**
**PRODUITS DERIVES PRESENTANT DES RENDEMENTS AJUSTABLES BASES SUR LA DEMANDE ET ECHANGES COMMERCIAUX ASSOCIES**

Patent Applicant/Assignee:
LONGITUDE INC, 650 Fifth Avenue, New York, NY 10019, US, US (Residence), US (Nationality)
Inventor(s):
LANGE Jeffrey, 3 East 84th Street, Apt. 3, New York, NY 10028, US,
BARON Kenneth, 51 West 86th Street, Apt. 602, New York, NY 10024, US,
Legal Representative:
WEISS Charles A (et al) (agent), Kenyon & Kenyon, One Broadway, New York, NY 10004, US,

Patent and Priority Information (Country, Number, Date):
Patent: WO 200385491 A2-A3 20031016 (WO 0385491)
Application: WO 2003US7990 20030313 (PCT/WO US03007990)
Priority Application: US 2002115505 20020402
Designated States: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ OM PH PL PT RO RU SC SD SE SG SK SL TJ TM TN TR TT TZ UA UG UZ VC VN YU ZA ZM ZW
(EP) AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HU IE IT LU MC NL PT RO SE SI SK TR
(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG
(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZM ZW
(EA) AM AZ BY KG KZ MD RU TJ TM
Publication Language: English
Filing Language: English
Fulltext Word Count: 136258

Main International Patent Class: **G06F-017/60**
Fulltext Availability:
Claims

Claim
... system and methods of the present invention to develop an explicit mechanism by which market **participants** can express views regarding central bank target rates. For example, demand-based markets or **auctions** can be based on central bank **policy** parameters such as the Federal Reserve Target Fed Funds Rate, the Bank of Japan Official...

...the distribution for each group of contingent claims as well as other qualities, parameters or **characteristics** of the outcome state (e.g., the magnitude of change for each security underlying the...using econometric time series techniques, to have a great degree of relevance for the future **behavior** of the financial products. A preferred embodiment of MCS methods to estimate CCAR for a...at the expiration date. If the specified conditions are met, a digital option is often

**characterized** as finishing "in the money." A digital call option, for example, would pay a fixed...

17/3,K/8 (Item 2 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
(c) 2004 WIPO/Univentio. All rts. reserv.

01043254 **Image available**
**METHOD AND SYSTEM FOR TRACKING AND PROVIDING INCENTIVES AND BEHAVIORAL INFLUENCES RELATED TO MONEY AND TECHNOLOGY**
**PROCEDE ET SYSTEME DE SUIVI ET D'OCTROI D'INCITATIONS A DES TACHES ET ACTIVITES ET AUTRES DOMAINES DE COMPORTEMENT TOUCHANT A L'ARGENT, AUX INDIVIDUS, A LA TECHNOLOGIE, ET AUTRES VALEURS**
Patent Applicant/Inventor:
MARSHALL T Thaddeus, 7 Clover Leaf Court, Medford, NJ 08055, US, US (Residence), US (Nationality)
Legal Representative:
ROSENTHAL Robert E (agent), Duane, Morris LLP, One Liberty Place, Philadelphia, PA 19103, US,
Patent and Priority Information (Country, Number, Date):
Patent: WO 200373236 A2-A3 20030904 (WO 0373236)
Application: WO 2003US5982 20030227 (PCT/WO US03005982)
Priority Application: US 2002360347 20020227; US 2002361794 20020305; US 2002364237 20020313; US 2002364448 20020314; US 2002370518 20020404; US 2002394827 20020709; US 2002403166 20020813; US 2002413270 20020924; US 2002414860 20020930; US 2002416135 20021003; US 2002416288 20021004; US 2002418413 20021015; US 2002421170 20021025; US 2002422042 20021028; US 2002427787 20021119; US 2002429596 20021126; US 2002430542 20021202; US 2002433921 20021216; US 2003439306 20030109
Designated States: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ OM PH PL PT RO RU SD SE SG SK SL TJ TM TN TR TT TZ UA UG UZ VN YU ZA ZM ZW
(EP) AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HU IE IT LU MC NL PT SE SI SK TR
(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG
(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZM ZW
(EA) AM AZ BY KG KZ MD RU TJ TM
Publication Language: English
Filing Language: English
Fulltext Word Count: 66639

Main International Patent Class: **G06F-017/60**
Fulltext Availability:
Claims

Claim
... others. Discovery of listed items, conformity to knowledge or conduct-related protocols or other desired **behavior** may be rewarded and included in individual background profiles. A program may be designed to ...laws such as speed limits and other traffic laws and for confortnity with other desired **behavior** patterns. For example, average speed between an entry point and an exit point on a...a provider of rewards to members of prepaid legal services plans may provide rewards for **members** who do not commit violations of laws, **rules** , etc. within a prescribed time parameter, such as every 6 months may be rewarded. Avoidance of conflicts such as disputes related to transactions such as **auctions** and others as well as illegal file sharing such as music files and other **behavior** may be rewarded. Additionally, the provision of legal services

or membership in a defined plan...methods described herein.
Conformity with Desired Conduct Including Protocols
[0003441 Incentives for any civic-engageinent **behavior** may be provided. These may be sponsored by foundations, companies, government or others. **Behavior** to be rewarded may include voting, expressions of opinion, attending community or political meetings, or...tasks, participation in bar-related tasks and activities, participation in marketing activities, and other desirable **behavior** . These methods may be combined or ... another example, prisons may use points programs with both negative and positive elements to alter **behavior** of prison guards and by prisoners. This method may be applied to other detention facilities...tutoring services and others for defined reasons that may generate incentives for conformity to desired **behavior** within these settings and within other organizations, generally.

**17/3,K/9 (Item 3 from file: 349)**
DIALOG(R)File 349:PCT FULLTEXT


01000998
**DATA PROCESSING SYSTEM AND METHOD**
**SYSTEME ET PROCEDE DE TRAITEMENT DE DONNEES**
Patent Applicant/Assignee:
SIT-UP LIMITED, 3rd floor, Stamford Bridge, Fulham Road, London SW6 1HS, GB, GB (Residence), GB (Nationality), (For all designated states except: US)
Patent Applicant/Inventor:
GLASSPOOL Andrew, Sit-Up Limited, 3rd Floor,, Stamford Bridge, Fullham Road, London SW6 1HS, GB, GB (Residence), GB (Nationality), (Designated only for: US)
Legal Representative:
KAZI Llya (et al) (agent), Mathys & Squire, 100 Grays Inn Road, London WC1X 8AL, GB,
Patent and Priority Information (Country, Number, Date):
Patent: WO 200330041 A2 20030410 (WO 0330041)
Application: WO 2002GB4353 20020927 (PCT/WO GB0204353)
Priority Application: WO 2001GB4367 20011001; GB 200126127 20011031
Designated States: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ OM PH PL PT RO RU SD SE SG SI SK SL TJ TM TN TR TT TZ UA UG US UZ VC VN YU ZA ZM ZW
(EP) AT BE BG CH CY CZ DE DK EE ES FI FR GB GR IE IT LU MC NL PT SE SK TR
(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG
(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZM ZW
(EA) AM AZ BY KG KZ MD RU TJ TM
Publication Language: English
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Fulltext Word Count: 12735

Main International Patent Class: **G06F-017/60**
Fulltext Availability:
Detailed Description

Detailed Description
... use of proxy bidders or automatic bidding agents. Proxy bids have been used in conventional **auctions** and an automatic biddingagentisaknownfeatureofpriorartautomatedauctionsystems, particularly of Internet-based **auction** systems which take place over a

long **period** of **time** when the bidder is unlikely to be online. Bidders may deploy an automatic bidding agent...prior art auction systems.

Thepresentinventionaimstoprovideadataprocessingsystemtoprocessreceived bids as quickly as possible in order to facilitate real **time** interactive auctions and, in a further preferred embodiment, aims to reduce the load imposed by **proxy** **bids** on the infrastructure of the bidding system.

Aspects of the ...least one winner to be determined from the stored data, independently of the process simulating **proxy** @ **bids** for the **bidder** . Preferably, the stored bid value and **time** stamp data for the or each bid is used to - 12 identify the at least...the earliest) bid. The time stamp assigned to a maximum value bid corresponds to the **time** at which the initial maximum value bid was received, not the **time** at which a winning **proxy** **bid** was entered. If there is a number (n) of identical items in the **auction** (where "n" is a number greater than or equal to one), then the auction winners...

**17/3,K/10** **(Item 4 from file: 349)**
DIALOG(R)File 349:PCT FULLTEXT
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00943767 **Image available**
**SYSTEM, METHOD AND COMPUTER PROGRAM PRODUCT FOR A SUPPLY CHAIN MANAGEMENT**
**SYSTEME, PROCEDE ET PRODUIT PROGRAMME INFORMATIQUE CONCUS POUR UNE GESTION DE CHAINE D'APPROVISIONNEMENT**

Patent Applicant/Assignee:
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Patent Applicant/Inventor:
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Patent and Priority Information (Country, Number, Date):
Patent: WO 200277917 A1 20021003 (WO 0277917)
Application: WO 2002US8287 20020319 (PCT/WO US02008287)
Priority Application: US 2001816567 20010322; US 2001815598 20010323; US 2001816565 20010323; US 2001816488 20010323; US 2001816426 20010323; US 2001815899 20010323; US 2001816507 20010323; US 2001816422 20010323; US 2001816269 20010323; US 2001816491 20010323; US 2001816101 20010323; US 2001816231 20010323; US 2001816421 20010323; US 2001816069 20010323; US 2001816296 20010323; US 2001816249 20010323; US 2001816121 20010323; US 2001815668 20010323; US 2001816187 20010323; US 2001815490 20010323; US 2001816471 20010323; US 2001815606 20010323; US 2001815777 20010323; US 2001815813 20010323; US 2001816429 20010323; US 2001815515 20010323; US 2001816543 20010323; US 2001816349 20010323; US 2001816331 20010323; US 2001816167 20010323; US 2001816881 20010323; US 2001816536 20010323; US 2001816092 20010323; US 2001816576 20010323; US 2001815759 20010323; US 2001816495 20010323; US 2001816976 20010323; US 2001816083 20010323; US 2001815715 20010323; US 2001815989 20010323; US 2001816561 20010323; US 2001815483 20010323; US 2001816553 20010323; US 2001815688 20010323; US 2001816388 20010323; US 2001816358 20010323; US 2001815729 20010323; US 2001816537 20010323; US 2001816434 20010323; US 2001815897 20010323; US 2001815734 20010323; US 2001816431 20010323; US 2001816021 20010323; US 2001816454 20010323; US 2001816413 20010323; US 2001816430 20010323; US

2001816428 20010323; US 2001815830 20010323; US 2001816922 20010323; US
2001815489 20010323; US 2001816048 20010323; US 2001815727 20010323; US
2001816212 20010323; US 2001815660 20010323; US 2001815894 20010323; US
2001816151 20010323; US 2001816582 20010323; US 2001816033 20010323; US
2001816357 20010323; US 2001816420 20010323; US 2001815731 20010323; US
2001816503 20010323; US 2001816160 20010323; US 2001815893 20010323; US
2001816414 20010323; US 2001815792 20010323; US 2001815864 20010323; US
2001816896 20010323; US 2001815725 20010323; US 2001816285 20010323; US
2001815973 20010323; US 2001815845 20010323; US 2001816314 20010323; US
2001816075 20010323; US 2001816944 20010323; US 2001815559 20010323; US
2001816203 20010323; US 2001816567 20010323; US 2001816268 20010323; US
2001816424 20010323; US 2001816564 20010323; US 2001816455 20010323; US
2001816412 20010323; US 2001815590 20010323; US 2001816555 20010323; US
2001816560 20010323; US 2001816427 20010323; US 2001834600 20010413; US
2001834838 20010413; US 2001834924 20010413; US 2001834465 20010413
Designated States: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU
CZ DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP
KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ OM PH PL PT RO
RU SD SE SG SI SK SL TJ TM TN TR TT TZ UA UG US UZ VN YU ZA ZM ZW
(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR
(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG
(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZM ZW
(EA) AM AZ BY KG KZ MD RU TJ TM
Publication Language: English
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Fulltext Word Count: 114107

...International Patent Class: **G06F-017/60**
Fulltext Availability:
Detailed Description

Detailed Description
... integrated supply chain portal allows supply chain management to offer supply chain services within a **member** community.
The sub-sections that follow describe the security process recommendations, **policies** , functionality, system requirements, **user** communities, and technical and organizational issues that need to be addressed during the subsequent design...incorrect passwords either, as they often differ from the correct passwords by only a single **character** or transposition.

Collection Process
There are basically three ways to store audit records.

1. Read...and also other existing legal regulations.

A second area of concern involves knowledge of intrusive **behavior** originating from the web portal. If an organization keeps audit data, is it responsible for...

...intrusions, malicious users, etc., are simply anomalies such as hardware failures or suspicious system/user **behavior** . To assist in identifying whether there really is an incident, it is usually helpful to...specific functions it must provide to effectively secure and manage portal access.

Some features that **characterize** the capabilities the portal must possess in order to achieve its CTQs will be used...

...were identified by the members, supplier and distributors in their workshop sessions. These are the **characteristics** of the portal that must be present in order to meet their CTQs.

Additional features...

**17/3,K/11 (Item 5 from file: 349)**
DIALOG(R)File 349:PCT FULLTEXT


00943630 **Image available**
**NEGOTIATING PLATFORM**
**PLATE-FORME DE NEGOCIATION**
Patent Applicant/Assignee:
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Patent Applicant/Inventor:
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Legal Representative:
SHEINBEIN Sol (agent), G.E. Ehrlich (1995) Ltd., c/o Anthony Castorina, 2001 Jefferson Davis Highway, Suite 207, Arlington, VA 22202, US,
Patent and Priority Information (Country, Number, Date):
Patent: WO 200277759 A2-A3 20021003 (WO 0277759)
Application: WO 2002US8293 20020320 (PCT/WO US0208293)
Priority Application: US 2001276952 20010320; US 2001279422 20010329; US 2001287004 20010430; US 2001305073 20010716; US 2001327291 20011009
Designated States: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ OM PH PL PT RO RU SD SE SG SI SK SL TJ TM TN TR TT TZ UA UG US UZ VN YU ZA ZM ZW
(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR
(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG
(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZM ZW
(EA) AM AZ BY KG KZ MD RU TJ TM
Publication Language: English
Filing Language: English
Fulltext Word Count: 91315

Main International Patent Class: **G06F-017/60**
Fulltext Availability:
Claims

Claim
... party sends it.
I'lie One-to-N Mechanism
Introduction
We present procedures for markets **characterized** by a single agent (e.g., seller, buyer, bam-mr) operating against multiple agents (e...to annoinice a positive reservation price which might result with no trade),

A second price **auction** with a reservation price: Price and Price-like
Second price **auctions** are well Itnown, The **rules** are as rollows:
0 Seller chooses a reservation price r, which hy then revealect to
all buyers.
206
**Bidderssiniultaneouslysubmitbids** . Wedenotebyp
,I the **bid**
of buyer j, j@=Z, (The buyers do not have to bid simultaneously but it...
there are ties at the winners "low end", they are broken randon-dy. Thitt
highest **bidder** , whose **bid** is not cqual to that of a winner,
darmes the deal value (if there's none, an **auction** -specific default
**rule**
applie@).
The. English **Auction** - Price and Price-like
We start with a brief doscription of the English **Auction** . In general,
in the English **auction** the, price of the object rises and **bidders**
indicate whether they are willing to buy the object at that price or not.
A...deals. We repeat the
observation we made before, once deals are multi-dimensional, the wdrd
" **auction** " stands for reverse **auction** , bartering **auction** etc. as
well as for the usual
**auction** ,
212
The **Rules** qf the English Auclion
Before the **auction** starts the **auctioneer** 's (possibly modified) value
function g() is revealed to the **bidders** . The value of g() is set to the
rese rvation value set by the auctioneer...identity of those who drop is
less important flien
how many dropped and when,
The **Auction** 's **rules** (Resqj&
I ) **Auctioneer** 's (possibly modified) value function g @() is
revealed to all **bidders** . The **auctioneer** chooses a reservation value
r, which defines the worst value under which he is willing...Uver
negeoVartion classifleation parameters
Uver operahonalprofile
The combination of -die two dictates the actual negotiation **behavior** .
Once executing,, this information is used to dictate how to re@pond to
thc@ other...

...on answeTs to a set of questions, a profile is composed to
express the desired **behavior** .
User negotiation classification parameters include:
I . Negotiation type: J offer, I reVond, Symmetric (and if...

**17/3,K/12 (Item 6 from file: 349)**
DIALOG(R)File 349:PCT FULLTEXT


00908951 **Image available**
**SYSTEM AND METHOD FOR A DYNAMIC AUCTION WITH PACKAGE BIDDING**
**SYSTEME ET PROCEDE POUR VENTE AUX ENCHERES DYNAMIQUE AVEC SOUMISSION A FORFAIT**
Patent Applicant/Inventor:
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Legal Representative:
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Patent and Priority Information (Country, Number, Date):
Patent: WO 200242981 A1 20020530 (WO 0242981)
Application: WO 2001US43838 20011123 (PCT/WO US0143838)
Priority Application: US 2000252718 20001122; US 2001322649 20010912; US 2001330672 20011026
Designated States: US
(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR
Publication Language: English
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Fulltext Word Count: 37848

Main International Patent Class: **G06F-017/60**
Fulltext Availability:
Detailed Description
Claims

Detailed Description
... of bid information, thereby curtailing possibilities for tacit collusion among bidders and also accelerating the **auction** process.

Some preferred embodiments introduce "bid improvement **rules** ," "revealedpreference-based bidding constraints," and "price-based bidding constraints" that help to constrain bidders to...force in an auction system where proxy bidding is mandatory. Moreover, the setting on an **auction** system as to whether a bidder is allowed to make changes may itself be changed over **time** (or status), and may depend on the history of bidding (or on the identity) of...

...example, bidder i may be allowed to change its flexible bid information early in the **auction** , but the same bidder i may not be allowed to make changes in its flexible bid information beyond a certain **time** in the **auction** . The change in setting for bidder i may depend on the course of bidder i...

...make further changes to its flexible bid information may be triggered by the fact that **bidder** i (or its **proxy** agent) has submitted insufficiently few new bids between **time** t and **time** t+I of the **auction** .

Figure 4 is a high-level depiction of the architecture of an exeiriplary auction system...Auction Process With and Without Proxy Bidding Figure 5c is a flow diagram of an **auction** in accordance with one embodiment of the present invention, in which, at various **times** and for various bidders, bidding may be intermediated by **proxy** agents or **bids** may be submitted directly by bidders. The process starts with step 152, in which memory...

Claim
... 175. A system as recited in claim 169 wherein said further parameter is a predetermined **characteristic** of the bidders and said corresponding data indicates bidder identity. 176. A computer system for conducting a dynamic package **auction** of a plurality of items wherein a computer receives bids and wherein bids specify sets...

...payment. . A system as recited in claim 177 wherein said further parameter is a predetermined **characteristic** of the bidders and said corresponding data indicates bidder identity. 185. A computer implemented method for conducting a dynamic package **auction** of a plurality of items wherein a computer receives bids and wherein bids specify sets...

...192. A method as recited in claim 186 wherein said further parameter is a predetermined **characteristic** of the bidders and said corresponding data indicates bidder identity. 193. A computer implemented method for conducting a dynamic package **auction** of a plurality of items wherein

**17/3,K/13 (Item 7 from file: 349)**
DIALOG(R)File 349:PCT FULLTEXT
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00895558 **Image available**
**SYSTEM AND METHOD FOR HIERARCHICAL ADMINISTRATION OF COMPLEX ITEM STRUCTURES FOR ON-LINE AUCTION ENVIRONMENTS**
**SYSTEME ET PROCEDE D'ADMINISTRATION HIERARCHIQUE DE STRUCTURES D'ELEMENTS COMPLEXES POUR DES ENVIRONNEMENTS DE VENTE AUX ENCHERES EN LIGNE**
Patent Applicant/Assignee:
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Inventor(s):
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Legal Representative:
PETTY W Scott (agent), King & Spalding, 191 Peachtree Street, Atlanta, GA 30303-1763, US,
Patent and Priority Information (Country, Number, Date):
Patent: WO 200229698 A2 20020411 (WO 0229698)
Application: WO 2001US42485 20011005 (PCT/WO US0142485)
Priority Application: US 2000238283 20001005; US 2001878627 20010611
Designated States: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PH PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW
(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR
(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG
(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW
(EA) AM AZ BY KG KZ MD RU TJ TM
Publication Language: English
Filing Language: English
Fulltext Word Count: 7679

Main International Patent Class: **G06F-017/60**
Fulltext Availability:
Detailed Description

Detailed Description
... and every distinct bid in a summary format.

This includes information that identifies the specific **auction** (of f eringID) the bid is for, the identity of the bidder (BidderID) , the **time** submitted (SubmitTime), the total price of the bid (SubmitPrice), the status of the bid whether...

**17/3,K/14 (Item 8 from file: 349)**
DIALOG(R)File 349:PCT FULLTEXT
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00895555 **Image available**
**GUIDED BUYING DECISION SUPPORT IN AN ELECTRONIC MARKETPLACE ENVIRONMENT**

**AIDE GUIDEE A LA PRISE DE DECISION D'ACHAT DANS UN ENVIRONNEMENT DE MARCHE ELECTRONIQUE**

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Legal Representative:
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Patent and Priority Information (Country, Number, Date):
Patent: WO 200229695 A1 20020411 (WO 0229695)
Application: WO 2001US31721 20011005 (PCT/WO US0131721)
Priority Application: US 2000238307 20001005; US 2001842297 20010425

Designated States: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PH PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW
(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR
(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG
(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW
(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English
Filing Language: English
Fulltext Word Count: 10228

Main International Patent Class: **G06F-017/60**
Fulltext Availability:
Detailed Description

Detailed Description
... the recommended purchase 68a or, if any other recommended auctions 68b are still running, a **proxy bid** may be placed in one of these recommended **auctions** 68b.

In a third example, the buyer 12 has **time** to initiate a reverse auction and the recommended reverse auction 68c one is initiated accordingly...

**17/3,K/15 (Item 9 from file: 349)**
DIALOG(R)File 349:PCT FULLTEXT


00895541 **Image available**
**METHODS AND SYSTEMS FOR CREATING AND MANAGING CAPITAL ASSET BUSINESS EXCHANGE**
**PROCEDES ET SYSTEMES PERMETTANT DE CREER ET DE GERER DES ECHANGES D'ACTIFS IMMOBILISES**

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SHENOY Seema, 2770 Glauser Drive, San Jose, CA 95133, US, US (Residence), US (Nationality)

Legal Representative:
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Patent and Priority Information (Country, Number, Date):

Patent: WO 200229670 A1 20020411 (WO 0229670)
Application: WO 2001US30570 20011001 (PCT/WO US0130570)
Priority Application: US 2000237282 20001002; US 2001272078 20010228
Designated States: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW
(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR
(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG
(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW
(EA) AM AZ BY KG KZ MD RU TJ TM
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Fulltext Word Count: 18274

Main International Patent Class: **G06F-017/60**
Fulltext Availability:
Detailed Description

Detailed Description
... transaction. FPTS 40 also helps the business entity 0 comply with federal, state, and local 1rules and regulations.

Unlike instant buying, as **characterized** in the procurement of **consumer** goods, the capital asset buying, selling, leasing or **auction** processes are **characterized** by longer sales cycles and elaborate post sales activities involving multiple fatictions perforined by multiple...

**17/3,K/16 (Item 10 from file: 349)**
DIALOG(R)File 349:PCT FULLTEXT


00880983 **Image available**
**OFFLINE-ONLINE INCENTIVE POINTS SYSTEM AND METHOD**
**SYSTEME DE POINTS BONUS FONCTIONNANT EN LIGNE ET HORS LIGNE ET PROCEDE CORRESPONDANT**
Patent Applicant/Assignee:
YAHOO INC, 3400 Central Expressway, Santa Clara, CA 95051, US, US (Residence), US (Nationality), (For all designated states except: US)
Patent Applicant/Inventor:
BOYD Eric, 3880 Rincon Avenue, Campbell, CA 95008, US, US (Residence), US (Nationality), (Designated only for: US)
BEJAR Arturo, 1920 San Ramon Avenue, Mountain View, CA 94043, US, US (Residence), MX (Nationality), (Designated only for: US)
PAL Anil, 1370 Yukon Terrace, Sunnyvale, CA 94087, US, US (Residence), GB (Nationality), (Designated only for: US)
ROMAN David, 1058 Ashbury Street, San Francisco, CA 94117, US, US (Residence), US (Nationality), (Designated only for: US)
Legal Representative:
CHOU Chien-Wei (Chris) (et al) (agent), Oppenheimer Wolff & Donnelly LLP, 1400 Page Mill Road, Palo Alto, CA 94304, US,
Patent and Priority Information (Country, Number, Date):
Patent: WO 200215081 A1 20020221 (WO 0215081)
Application: WO 2001US24932 20010808 (PCT/WO US0124932)
Priority Application: US 2000638457 20000814
Designated States: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW
(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR

(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG
(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW
(EA) AM AZ BY KG KZ MD RU TJ TM
Publication Language: English
Filing Language: English
Fulltext Word Count: 39379

Main International Patent Class: **G06F-017/60**
Fulltext Availability:
Detailed Description

Detailed Description
... anywhere from the 5-30 minutes and Y minutes can range anywhere from 15-120 **minutes** .
9.0 AUTOMATED BIDDER
Another embodiment of the present invention provides for the automated bidder or some aspect of **bidding** by **proxy** . An advantage of conducting a sales transaction online through an electronic Yahoo! Auction System is ...

**17/3,K/17 (Item 11 from file: 349)**
DIALOG(R)File 349:PCT FULLTEXT
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00860471
**ONLINE PATENT AND LICENSE EXCHANGE**
**ECHANGE DE BREVETS OU DE DROITS D'UTILISATION EN LIGNE**
Patent Applicant/Assignee:
THE PATENT AND LICENSE EXCHANGE INC, 245 South Los Robles Avenue, 5th Floor, Pasadena, CA 91101, US, US (Residence), US (Nationality)
Inventor(s):
KOSSOVSKY Nir, 460 California Terrace, Pasadena, CA 91105, US,
BRANDEGEE Bear, 460 California Terrace, Pasadena, CA 91105, US,
ARROW Alexander K, 171 Church Lane, #14, Los Angeles, CA 90049, US,
Legal Representative:
SAXON Roberta P (et al) (agent), Skjerven Morrill MacPherson LLP, 25 Metro Drive, Suite 700, San Jose, CA 95110, US,
Patent and Priority Information (Country, Number, Date):
Patent: WO 200193154 A2 20011206 (WO 0193154)
Application: WO 2001US16102 20010517 (PCT/WO US0116102)
Priority Application: US 2000580005 20000526; US 2000665187 20000916
Designated States: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW
(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR
(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG
(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW
(EA) AM AZ BY KG KZ MD RU TJ TM
Publication Language: English
Filing Language: English
Fulltext Word Count: 11428

Main International Patent Class: **G06F-017/60**
Fulltext Availability:
Detailed Description

Detailed Description
... for example, a higher counter-bid by another party, or the decision to close the **auction** , happens in a short **time** , sometimes in a few

seconds. These **auctions** allow prospective buyers to: participate in the **auction** at the sarae **time** ; and feel comfortable making counter bids in a few seconds. Traditionally such **auctions** are conducted with all participants present at the same location such as meeting/auction room...

**17/3,K/18 (Item 12 from file: 349)**
DIALOG(R)File 349:PCT FULLTEXT
(c) 2004 WIPO/Univentio. All rts. reserv.

00856089
**ELECTRONIC TRADING SYSTEMS AND METHODS**
**SYSTEMES ET PROCEDES DE TRANSACTION ELECTRONIQUE**
Patent Applicant/Assignee:
TREASURYCONNECT LLC, 650 Fifth Avenue, 10th floor, New York, NY 10019, US , US (Residence), US (Nationality)
Inventor(s):
USHER Bruce, 79 Worth Street, #4R, New York, NY 10013, US,
WITKOW Barry, 17134 Otsego Street, Encino, CA 91316, US,
HUNTINGTON Douglas G, 4084 Blackbird Way, Calabasas, CA 91302, US,
Legal Representative:
PIERRI Margaret A (et al) (agent), Fish & Neave, 1251 Avenue of the Americas, New York, NY 10020, US,
Patent and Priority Information (Country, Number, Date):
Patent: WO 200188818 A2 20011122 (WO 0188818)
Application: WO 2001US15888 20010516 (PCT/WO US0115888)
Priority Application: US 2000205138 20000518
Designated States: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW
(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR
(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG
(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW
(EA) AM AZ BY KG KZ MD RU TJ TM
Publication Language: English
Filing Language: English
Fulltext Word Count: 19758

Main International Patent Class: **G06F-017/60**
Fulltext Availability:
Detailed Description

Detailed Description
... system then uses to automatically bid on the user1s behalf. A user may desire to **bid** by electronic **proxy** when, for example, an **auction** is to be completed in a small amount of **time** , when the user cannot monitor an **auction** , or for any other reason. The user may indicate a desire to **bid** by **proxy** and indicate the user1s best bid. Each **time** the system receives a bid for a swap, the system may automatically bid for the...the new bids or that a bid was retracted. The update may occur in real **time** , with a fixed **period** , or using any other suitable approach. The user may indicate a desire to **bid** by **proxy** by, for example, entering the user1s best bid into text box 1715 and pressing button...

**17/3,K/19 (Item 13 from file: 349)**

DIALOG(R)File 349:PCT FULLTEXT


00838912

**MARKETPLACES INVOLVING BUSINESS RULES FOR PARTIALLY AUTOMATED GENERATION OF QUOTES**

**PLACES DE MARCHE COMPRENANT DES REGLES COMMERCIALES UTILES POUR GENERER DE MANIERE PARTIELLEMENT AUTOMATIQUE DES COTES**

Patent Applicant/Assignee:
PERFECT COM, 1860 Embarcadero Road, Suite 210, Palo Alto, CA 94303, US, US (Residence), US (Nationality)
Inventor(s):
MILGROM Paul R, 121 Heather Lane, Palo Alto, CA 94303, US,
GALL Ulrich, 1058 Westlynn Way, Cupertino, CA 95014, US,
LAVIN James K, 37171 Sycamore Street, Apt. #214, Newark, CA 94560, US,
SAKOVA Zuzana, 650 Circle Drive, Palo Alto, CA 94303, US,
MINES Robert F, 210 Firethorn Court, San Ramon, CA 94583, US,
Legal Representative:
MACPHERSON Alan H (et al) (agent), Skjerven Morrill MacPherson LLP, 25 Metro Drive, Suite 700, San Jose, CA 95110, US,
Patent and Priority Information (Country, Number, Date):
Patent: WO 200171626 A2 20010927 (WO 0171626)
Application: WO 2001US9024 20010320 (PCT/WO US0109024)
Priority Application: US 2000532663 20000321
Designated States: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW
(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR
(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG
(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW
(EA) AM AZ BY KG KZ MD RU TJ TM
Publication Language: English
Filing Language: English
Fulltext Word Count: 14097

Main International Patent Class: **G06F-017/60**
Fulltext Availability:
Detailed Description

Detailed Description

... are lost. Online English auctions, therefore, have some serious drawbacks.

One innovation for reducing the **time** demands of participating in online **auctions** is " **proxy bidding** ". Current Internet **auction** sites (such as eBay) have a C4 **proxy bidding** " feature, which allows a user to enter the maximum price they are 2 5 willing...

...the buyer's mind. The buyer must somehow determine which combination of price and product **characteristics** is most appealing. Evaluating offers requires time and effort. Also, because the buyer's preferences...

...unsure whether a certain offer is better than another. Thus, in many important applications, optimal **bidding** strategies are very complicated and possibly unknowable, even when 3 0 the prices are set by "Vickrey" type **rules** .

S

A serious drawback of both Vickrey and reverse Vickrey **auctions** is

that the **bidding** process is not interactive, so each **bidder** must privately tell the auctioneer the lowest bid they are willing to make. The bidder...

**17/3,K/20 (Item 14 from file: 349)**

DIALOG(R)File 349:PCT FULLTEXT
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00829946 **Image available**
**SYSTEM AND METHOD FOR FACILITATING A REQUEST FOR PROPOSAL PROCESS**
**SYSTEME ET PROCEDE FACILITANT UN PROCESSUS DE DEMANDE DE PROPOSITION**
Patent Applicant/Assignee:
IE-ENGINE INC, 85 Eastern Avenue, Gloucester, MA 01966, US, US (Residence), US (Nationality)
Inventor(s):
CHAMBERS Phyllis, 44 Main Street, Rockport, MA 01966, US,
BANNERMAN Brent, 5 Penryn Way, Rockport, MA 01966, US,
REED Kevin, 22 Ames Estate, Gloucester, MA 01930, US,
Legal Representative:
STEWART David L (et al) (agent), McDermott, Will & Emery, 600 13th Street, N.W., Washington, DC 20005-3096, US,
Patent and Priority Information (Country, Number, Date):
Patent: WO 200163525 A1 20010830 (WO 0163525)
Application: WO 2001US5600 20010223 (PCT/WO US0105600)
Priority Application: US 2000184321 20000223
Designated States: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW
(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR
(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG
(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW
(EA) AM AZ BY KG KZ MD RU TJ TM
Publication Language: English
Filing Language: English
Fulltext Word Count: 11152

Main International Patent Class: **G06F-017/60**
Fulltext Availability:
Detailed Description

Detailed Description
... term disability (LTD), casualty, etc.

an insurance product template that is representative of typical specifications,
**characteristics** and service levels for an insurance product;
an insurance broker or distributor (206, 208) that...

...products;
a request for proposal (RFP) that is packaged by the distributor or the end **client** and typically includes the specifications for one or more insurance products as well as the **rules** and information regarding how the **bidding** process will be
conducted;
6 an insurance carrier (202, 204) who offers various products and...

**17/3,K/21 (Item 15 from file: 349)**

DIALOG(R)File 349:PCT FULLTEXT
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00816815 **Image available**
**METHODS AND APPARATUS FOR RAPID DEPLOYMENT OF A VALUATION SYSTEM**
**PROCEDES ET DISPOSITIF POUR LE DEPLOIEMENT RAPIDE D'UN SYSTEME D'EVALUATION**
Patent Applicant/Assignee:
GE CAPITAL COMMERCIAL FINANCE INC, 201 High Ridge Road, Stamford, CT 06927-5100, US, US (Residence), US (Nationality)
Inventor(s):
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EDGAR Marc T, 1015 Foxwood Drive, Clifton Park, NY 12065, US,
JOHNSON Christopher D, 17 Berkshire Drive W., Clifton Park, NY 12065, US,

Legal Representative:
BENINATI John F (et al) (agent), General Electric Company, 3135 Easton Turnpike W3C, Fairfield, CT 06431, US,
Patent and Priority Information (Country, Number, Date):
Patent: WO 200150348 A2 20010712 (WO 0150348)
Application: WO 2000US34916 20001221 (PCT/WO US0034916)
Priority Application: US 99173695 19991230; US 2000741211 20001219
Designated States: AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DK EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT UA UG UZ VN YU ZW
(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR
(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG
(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW
(EA) AM AZ BY KG KZ MD RU TJ TM
Publication Language: English
Filing Language: English
Fulltext Word Count: 14611

Main International Patent Class: **G06F-017/60**
Fulltext Availability:
Claims

Claim
... asset has each input variable, i.e., "X variable" present. Other modeling packages share this **trait** .) Equation E below details the procedure.
Z Ilk fUk @IA
i,j,k
Y1= I...
...of data from a large data set, producing a concise representation of a system's **behavior** . Unsupervised learning step 208, employs a fuzzy clustering method ("FCM") and knowledge engineering to group...for each individual policy. Figure 12 is a table of exemplary criteria 80 and exemplary **rule** sets for credit scoring 138. Other criteria could be selected depending on the type of financial instrument and particular **bidding** conditions or any other desires or preferences of the **bidder** . Figure 13 is a more detailed tree chart diagram 260 similar to tree chart 66...

**17/3,K/22 (Item 16 from file: 349)**
DIALOG(R)File 349:PCT FULLTEXT
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00813223 **Image available**

**COMMUNITY-BASED SHARED MULTIPLE BROWSER ENVIRONMENT**
**ENVIRONNEMENT D'EXPLORATION MULTIPLE PARTAGE COMMUNAUTAIRE**

Patent Applicant/Assignee:
URBANPIXEL INC, 369 Pine Street, Suite 720, San Francisco, CA 94104-3314, US, US (Residence), US (Nationality), (For all designated states except: US)
Patent Applicant/Inventor:
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FAIETA Baldo A, 1290 Grove Street, #602, San Francisco, CA 94117, US, US (Residence), US (Nationality), (Designated only for: US)
CHUNG Derek, 15 Hermann Street, #611, San Francisco, CA 94102, US, US (Residence), US (Nationality), (Designated only for: US)
LOKUGE Ishantha Joseph, 944 Castle Hill Road, Redwood City, CA 94061, US, US (Residence), LK (Nationality), (Designated only for: US)
BALCHANDANI Lalit, 3600 20th Street, #402, San Francisco, CA 94110, US, US (Residence), US (Nationality), (Designated only for: US)
Legal Representative:
AUSTIN James E (agent), Beyer Weaver & Thomas, LLP, P.O. Box 778, Berkeley, CA 94704-0778, US,
Patent and Priority Information (Country, Number, Date):
Patent: WO 200146840 A2-A3 20010628 (WO 0146840)
Application: WO 2000US34872 20001220 (PCT/WO US00034872)
Priority Application: US 99171840 19991222; US 2000596305 20000614; US 2000596224 20000614
Designated States: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW
(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR
(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG
(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW
(EA) AM AZ BY KG KZ MD RU TJ TM
Publication Language: English
Filing Language: English
Fulltext Word Count: 17871

Main International Patent Class: **G06F-017/60**
Fulltext Availability:
Detailed Description

Detailed Description
... or directions to particular sites). In addition proxies may represent a system user at real- **time** events in a multi-user environment, for example, online **auctions** . Also, proxy information can be collected to provide aggregate user profiles for targeted
advertising
1 0 Process: Proxy selection
Upon entering the system, a user is automatically assigned a default **proxy character** . A **user** can personalize their **proxy** by selecting a different **character**0 or uploading their own in the proxy selection window.

Proxy sheet
The proxy sheet 312...

**17/3,K/23 (Item 17 from file: 349)**
DIALOG(R)File 349:PCT FULLTEXT

00813217 **Image available**
**BID POSITIONING SYSTEM**
**SYSTEME DE PLACEMENT D'ENCHERES**
Patent Applicant/Assignee:
LOGISTICS COM INC, 23 Third Avenue, Burlington, MA 01803, US, US (Residence), US (Nationality)
Inventor(s):
BORGESON Gregg, 254 Seaview Drive, Key Biscayne, FL 33149, US,
WILSON Robert, Key Colony III, 151, Crandon Blvd. Apt. 1127, Florida, FL 33149, US,
Legal Representative:
ROOS Richard J (agent), Dike, Bronstein, Roberts & Cushman, Intellectual Property Practice Group of, Edwards & Angell, LLP, P.O. Box 9169, Boston, MA 02209, US,
Patent and Priority Information (Country, Number, Date):
Patent: WO 200146833 A2-A3 20010628 (WO 0146833)
Application: WO 2000US34489 20001219 (PCT/WO US0034489)
Priority Application: US 99172084 19991223; US 2000536118 20000327
Designated States: CA JP MX
(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR
Publication Language: English
Filing Language: English
Fulltext Word Count: 5905

Main International Patent Class: **G06F-017/60**
Fulltext Availability:
Detailed Description

Detailed Description
... Iin a reverse auction. Therefore, in this case, a bidder with the lowest perceived quality **characteristics** in a group of competing bidders in a single auction would want to instruct the **bid** **proxy** to repeatedly reset its bid so that they are the leading bidders.

The invention therefore...

...a shipper to present one or more shipping requirements for a particular shipment to be **auctioned** off through a centralized server. These shipping requirements include the origin and destination of a shipment, the **time** or delivery date of a shipment, the number of articles being shipped, or the weight...on a particular product or service offered by the biddee. Next, in step E, the **bid** **proxy** continuously adjusts **bids** for each bidder based upon the bid positioning system during the bidding **period** .

In the preferred embodiment, as shown in FIGS. 4A, 413, and 4C, this **bid** **proxy** having a positioning system is used in a computerized auction for a shipment management system...

**17/3,K/24 (Item 18 from file: 349)**
DIALOG(R)File 349:PCT FULLTEXT


00809396 **Image available**
**AUTOMATED EXCHANGE FOR THE EFFICIENT ASSIGNMENT OF AUDIENCE ITEMS**
**ECHANGE AUTOMATISE POUR L'ATTRIBUTION EFFICACE DES PRODUITS D'AUDIENCE**
Patent Applicant/Assignee:
SHOLTZ & ASSOCIATES L L C, 15 South Raymond Avenue, Suite 200, Pasedena, CA 91105, US, US (Residence), US (Nationality)

Inventor(s):
BYKOWSKY Mark M, 4513 Chase Avenue, Bethesda, MA 20814, US,
OLSON Mark A, 1343 East Renfrew Place, Tucson, AZ 85719, US,
RASSENTI Stephen, 2902 East Mabel Street, Tucson, AZ 85716, US,
SHOLTZ Anne M, 515 Deodar Lane, Bradbury, CA 91010, US,
Legal Representative:
BRACKETT Tim L (agent), Nixon Peabody, Suite 800, 8180 Greensboro Drive, McLean, VA 22102, US,
Patent and Priority Information (Country, Number, Date):
Patent: WO 200143027 A1 20010614 (WO 0143027)
Application: WO 2000US33179 20001208 (PCT/WO US0033179)
Priority Application: US 99169973 19991210; US 2000197672 20000417; US 2000202813 20000508
Designated States: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW
(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR
(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG
(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW
(EA) AM AZ BY KG KZ MD RU TJ TM
Publication Language: English
Filing Language: English
Fulltext Word Count: 23346

Main International Patent Class: **G06F-017/60**
Fulltext Availability:
Detailed Description

Detailed Description
... total surplus maximizing allocation and the prices that support it are revealed to the market **participants** . Constrained by certain **rules** , **participants** have the opportunity to revise their **bids** and offers and, following these revisions, the algorithm calculates another - 14 allocation and a set...

...continues until no participant changes his submitted bid or offer. AUSM does not solve a " **characteristic** defining" assigninent problem.

An article authored by Stephen Rassenti, Stanley Reynolds, and Vernon Smith entitled...price equal to the lowest priced set of flexible characteristics to its left. These offer/ **bid** price sequence" **rules** ensure pricing consistency among sets of flexible **characteristics** and, moreover, make it more likely that **participants** will price multiple sets of flexible **characteristics** .

Advances in technology will soon make it possible for sellers to show, within a given...

**17/3,K/25 (Item 19 from file: 349)**
DIALOG(R)File 349:PCT FULLTEXT


00792486 **Image available**
**METHOD AND APPARATUS FOR CONDUCTING AUCTIONS**
**PROCEDE ET DISPOSITIF POUR LA CONDUITE D'ENCHERES**
Patent Applicant/Inventor:
MEYERS Raphael, 56 West Beach Street, Long Beach, NY 11561, US, US (Residence), US (Nationality)

Legal Representative:
PAVANE Martin B (agent), Cohen, Pontani, Lieberman & Pavane, 551 Fifth Avenue, Suite 1210, New York, NY 10176, US,
Patent and Priority Information (Country, Number, Date):
Patent: WO 200125999 A1 20010412 (WO 0125999)
Application: WO 2000US27317 20001004 (PCT/WO US0027317)
Priority Application: US 99157433 19991004; US 99166477 19991119; US 99173956 19991230; US 2000221696 20000731
Designated States: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW
(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE
(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG
(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW
(EA) AM AZ BY KG KZ MD RU TJ TM
Publication Language: English
Filing Language: English
Fulltext Word Count: 12530

Main International Patent Class: **G06F-017/60**
Fulltext Availability:
Detailed Description

Detailed Description
... to $43. The question becomes how to accord points for this transaction. As a general **rule** , **proxy** **bidding** should be encouraged (it is far better than "sniping" - bidding in the last few seconds...accept whatever quantity
remains. In some versions everyone pays the price of the lowest winning **bid** . In other versions, each **bidder** pays the price of their winning **bid** .

The problem with multiple-item **auctions** is that the **rules** are inherently a bit complicated. Adding an additional set of **rules** for calculating points and rewarding the **auction** leaders runs the risk of making things too confusing for some **people** . This may remove the incentive for "good **behavior** " that the inventive system is meant to provide. Many of the versions that follow may...

**17/3,K/26 (Item 20 from file: 349)**
DIALOG(R)File 349:PCT FULLTEXT


00787038 **Image available**
**SYSTEM AND METHOD FOR PROCESSING TOKENLESS BIOMETRIC ELECTRONIC TRANSMISSIONS USING AN ELECTRONIC RULE MODULE CLEARINGHOUSE**
**SYSTEME ET PROCEDE PERMETTANT DE TRAITER DES TRANSMISSIONS ELECTRONIQUES BIOMETRIQUES SANS AUTHENTIFICATION PAR L'UTILISATION D'UN CENTRE DE MODULES DE REGLEMENT ELECTRONIQUES**
Patent Applicant/Assignee:
VERISTAR CORPORATION, 727 Allston Way, Berkeley, CA 94710, US, US (Residence), US (Nationality)
Inventor(s):
HOFFMAN Ned, 977 Daniel Street, Sebastopol, CA 95472, US,
LAPSLEY Philip Dean, 6029 Hillegass Avenue, Oakland, CA 94618, US,

Legal Representative:
JOHNSON Alexander C Jr (et al) (agent), Marger Johnson & McCollom, P.C., 1030 S.W. Morrison Street, Portland, OR 97205, US,
Patent and Priority Information (Country, Number, Date):
Patent: WO 200120531 A1 20010322 (WO 0120531)
Application: WO 2000US40910 20000915 (PCT/WO US0040910)
Priority Application: US 99398914 19990916
Designated States: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW
(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE
(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG
(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW
(EA) AM AZ BY KG KZ MD RU TJ TM
Publication Language: English
Filing Language: English
Fulltext Word Count: 21206

Main International Patent Class: **G06F-017/60**
Fulltext Availability:
Claims

Claim
... token (referred to as a biometrics security apparatus) containing a microchip in which is recorded **characteristics** of the authorized user's voice. In order to initiate the access procedure, the user...object of the invention to verify user identity based on one or more unique biometric **characteristics** physically personal to the user. Yet another object of the invention is to provide a...for products or services, electronic data usage patterns, employee status, job title, data on user **behavior** patterns, a digital certificate, a network credential, an intemet protocol address, a digital signature, an...A computer system device for tokenless biometric processing of electronic transmissions, using at least one **user** biometric sample, an electronic identicator and an electronic **rule** module clearinghouse, comprises a biometric input apparatus, for providing a **bid** or registration biometric sample of a **user** to the electronic identicator; wherein a **user** registers with an electronic identicator at least one registration biometric sample taken directly from the...

...one pattern data of the user associated with at least one execution command of the **user** , for executing at least one electronic transmission; an electronic identicator, for comparing the **bid** biometric sample with registered biometric samples of users; a command execution module, for invoking at least one previously designated execution command in the electronic **rule** module clearinghouse to execute an electronic transmission; wherein no man-made memory tokens such as...

...for products or services, electronic data usage patterns, employee status, job title, data on user **behavior** patterns, a digital certificate, a network credential, an intemet protocol address, a digital signature, an...any Pattern Data 54 and any Execution Command to delete, add, edit, or invoke any **Rule** Module 50 in order to execute an electronic transmission.
The system used for identifying the **user** by their **bid** and registration
biometric samples comprises

```
Set     Items   Description
S1        264   AU=(JORASCH J? OR JORASCH, J?)
S2       2893   AUCTION?
S3       3141   BIDS OR BIDDED OR BID OR BIDDING
S4       3152   PROXY OR PROXIES
S5    1276558   BEHAVIOR? OR BEHAVIOUR? OR HABIT? OR TRAIT? ? OR CHARACTER?
S6      49527   RULE? ? OR POLICY OR POLICIES OR GUIDELINE?
S7    3691989   TIME? ? OR INTERVAL? OR PERIOD? OR MINUTES OR HOUR??
S8    1846032   BIDDER? OR CONSUMER? OR CUSTOMER? OR USER? OR MEMBER? ? OR
              PEOPLE OR CLIENT? OR SUBSCRIBER? OR PARTICIPANT?
S9        533   S4(3N)(S3 OR S8)
S10        13   S9 AND S2
S11     14182   S8(3N)S5
S12        19   S11 AND (S2 OR S3)
S13        26   (S2 OR S3) AND S6 AND S7
S14        45   S12 OR S13
S15        31   S14 AND IC=G06F-017/60
S16        31   S15 NOT S10
? show file
File 344:Chinese Patents Abs Aug 1985-2004/Mar
         (c) 2004 European Patent Office
File 347:JAPIO Nov 1976-2003/Dec(Updated 040402)
         (c) 2004 JPO & JAPIO
File 350:Derwent WPIX 1963-2004/UD,UM &UP=200430
         (c) 2004  Thomson Derwent
File 371:French Patents 1961-2002/BOPI 200209
         (c) 2002 INPI.  All rts. reserv.
```

**10/5/1 (Item 1 from file: 347)**
DIALOG(R)File 347:JAPIO


07391250 **Image available**
METHOD FOR ELECTRONIC **AUCTION** AND DEVICE THEREFOR

PUB. NO.: 2002-259751 [JP 2002259751 A]
PUBLISHED: September 13, 2002 (20020913)
INVENTOR(s): MATSUBARA SHIGEO
APPLICANT(s): NIPPON TELEGR & TELEPH CORP (NTT)
APPL. NO.: 2001-060966 [JP 200160966]
FILED: March 05, 2001 (20010305)
INTL CLASS: G06F-017/60

ABSTRACT

PROBLEM TO BE SOLVED: To assign goods efficiently by solving problems about an overload on a server, congestion in a network and information disclosure.

SOLUTION: For an ascending **auction** for deciding the assignment and selling price of merchandise in the sale of the merchandise through the network 200, an **auctioneer** device 10 sets whether or not to permit a bidder to declare an intention of early fixation of the highest bid amount by an automatic **proxy bidding** agent, and privileges a bidder selecting early fixation of the highest bid amount to receive a discount on a payment, the payment of a compensation, or the like.



**10/5/2 (Item 1 from file: 350)**
DIALOG(R)File 350:Derwent WPIX


015382409 **Image available**
WPI Acc No: 2003-443352/200342
Related WPI Acc No: 2003-313893
XRPX Acc No: N03-353926
**Data processing method for online** auction **, involves subsequently processing bidder identity, time stamp assigned for each received bid and bid amount, after the** auction **closes, to determine winning bidder**
Patent Assignee: SIT-UP LTD (SITU-N)
Inventor: GLASSPOOL A
Number of Countries: 001 Number of Patents: 001
Patent Family:

| Patent No | Kind | Date | Applicat No | Kind | Date | Week | |
|---|---|---|---|---|---|---|---|
| GB 2382162 | A | 20030521 | GB 200126127 | A | 20011031 | 200342 | B |

Priority Applications (No Type Date): WO 2001GB4367 A 20011001
Patent Details:

| Patent No | Kind | Lan | Pg | Main IPC | Filing Notes |
|---|---|---|---|---|---|
| GB 2382162 | A | | 47 | G06F-017/60 | |

Abstract (Basic): GB 2382162 A
NOVELTY - A time stamp is assigned to each bid that is received for an item in an **auction** . The bidder identity e.g. personal identification number (PIN), the time stamp and the bid amount are stored in a persistent store (18). Each bid is preprocessed to obtain **auction** status information during the live phase of the **auction** . The

stored data are subsequently processed after the **auction** closes, to determine the winning bidder.

DETAILED DESCRIPTION - INDEPENDENT CLAIMS are also included for the following:

(1) interactive **auction** data providing method;
(2) bidding simulation method;
(3) falling price **auction** providing method;
(4) item purchase price calculation method;
(5) computer program product for bid processing;
(6) data structure for bid processing;
(7) bid processing apparatus;
(8) interactive **auction** data providing apparatus;
(9) bidding simulation apparatus;
(10) item purchase price calculation apparatus; and
(11) falling price **auction** providing apparatus.

USE - For online processing of bids in **auction** .

ADVANTAGE - Facilitates real-time interactive **auctions** and reduces the load imposed by **proxy** **bids** on the infrastructure of the bidding system. Allow bids to be submitted and registered into the **auction** in advance and even before the start of the **auction** , hence giving the **auction** system more time to process the bids.

DESCRIPTION OF DRAWING(S) - The figure shows a schematic view of the data processing system.

persistent store (18)

pp; 47 DwgNo 2/5

Title Terms: DATA; PROCESS; METHOD; **AUCTION** ; SUBSEQUENT; PROCESS; IDENTIFY; TIME; STAMP; ASSIGN; RECEIVE; BID; BID; AMOUNT; AFTER; **AUCTION** ; CLOSE; DETERMINE; WINNING

Derwent Class: T01

International Patent Class (Main): G06F-017/60

File Segment: EPI

**10/5/3 (Item 2 from file: 350)**

DIALOG(R)File 350:Derwent WPIX



015252967 **Image available**

WPI Acc No: 2003-313893/200330

Related WPI Acc No: 2003-421236

XRPX Acc No: N03-249948

**Data processing method for processing bids in interactive** auctions **having live phase during which bids are accepted and** auction **close following which bids are no longer accepted**

Patent Assignee: SIT-UP LTD (SITU-N)

Inventor: EGAN J P; FAULL A C; GLASSPOOL A

Number of Countries: 101 Number of Patents: 003

Patent Family:

| Patent No | Kind | Date | Applicat No | Kind | Date | Week | |
|---|---|---|---|---|---|---|---|
| WO 200330041 | A2 | 20030410 | WO 2002GB4353 | A | 20020927 | 200330 | B |
| GB 2380295 | A | 20030402 | GB 200222304 | A | 20020925 | 200334 | |
| GB 2382162 | A | 20030521 | GB 200126127 | A | 20011031 | 200342 | |

Priority Applications (No Type Date): GB 200126127 A 20011031; WO 2001GB4367 A 20011001

Patent Details:

| Patent No | Kind | Lan | Pg | Main IPC | Filing Notes |
|---|---|---|---|---|---|
| WO 200330041 | A2 | E | 48 | G06F-017/60 | |

Designated States (National): AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID IL IN

IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ
OM PH PL PT RO RU SD SE SG SI SK SL TJ TM TN TR TT TZ UA UG US UZ VC VN
YU ZA ZM ZW
Designated States (Regional): AT BE BG CH CY CZ DE DK EA EE ES FI FR GB
GH GM GR IE IT KE LS LU MC MW MZ NL OA PT SD SE SK SL SZ TR TZ UG ZM ZW
GB 2380295 A G06F-017/30
GB 2382162 A 47 G06F-017/60

Abstract (Basic): WO 200330041 A2

NOVELTY - The method involves processing bids in an interactive **auctions** by processing received bids as quickly as possible to facilitate real-time interactive **auctions** , and reduce the load imposed by **proxy bids** on the infrastructure of the bidding system.

DETAILED DESCRIPTION - The data processing method for processing bids in **auction** having live phase during which bids are accepted and **auction** close following which bids are no longer accepted involves receiving at least one bid for an item in an **auction** , assigning a time stamp to each received bid, and storing data comprising a bidder identity, the time stamp and the bid amount. Each bid is preprocessed to obtain **auction** status information during the live phase of the **auction** , and the stored data is subsequently processed to determine at least one winning bidder. INDEPENDENT CLAIMS are included for; a method of providing a persistent store of data for an interactive **auction** ; a method of stimulating bidding in an **auction** ; a method of proving a falling price **auction** ; a method for calculating the purchase price of a number of items in a falling price **auction** ; an apparatus for processing bids in an **auction** ; a computer program for processing bids in an **auction** ; an apparatus for calculating the purchase price of a number of items in a falling price **auction** .

USE - Interactive **auctions** e.g. Internet-based **auctions** that may be simultaneously televised and where bidders may input bids over channels other than the Internet.

ADVANTAGE - Reduces load imposed by **proxy bids** on infrastructure of the bidding system.

DESCRIPTION OF DRAWING(S) - The drawing shows a schematic overview of an interactive **auction** of one item in which an embodiment of the invention is used.

Bidders (10,12)
Handover **auction** process (14)
History simulator (16)
**Auction** output (22)
Persistent store (18)
Closing price calculator (20)
pp; 48 DwgNo 2/5

Title Terms: DATA; PROCESS; METHOD; PROCESS; BID; INTERACT; **AUCTION** ; LIVE ; PHASE; BID; ACCEPT; **AUCTION** ; CLOSE; FOLLOW; BID; NO; LONG; ACCEPT
Derwent Class: T01
International Patent Class (Main): G06F-017/30; G06F-017/60
File Segment: EPI

**10/5/4 (Item 3 from file: 350)**
DIALOG(R)File 350:Derwent WPIX


015227570 **Image available**
WPI Acc No: 2003-288483/200328
XRPX Acc No: N03-229314
**Bidding method in online** auction **system, involves checking current bid level and placing counter bid for** auction **which satisfies set proxy**

**condition**
Patent Assignee: INT BUSINESS MACHINES CORP (IBMC )
Inventor: BRACAMONTEZ E; ROBERTS R L; TRIEU HO K K; WIESEHUEGEL L J
Number of Countries: 001 Number of Patents: 001
Patent Family:

| Patent No | Kind | Date | Applicat No | Kind | Date | Week | |
|---|---|---|---|---|---|---|---|
| US 20020188545 | A1 | 20021212 | US 2001821106 | A | 20010329 | 200328 | B |

Priority Applications (No Type Date): US 2001821106 A 20010329
Patent Details:

| Patent No | Kind | Lan | Pg | Main IPC | Filing Notes |
|---|---|---|---|---|---|
| US 20020188545 | A1 | | 13 | G06F-017/60 | |

Abstract (Basic): US 20020188545 A1
NOVELTY - A set containing many bid parameters which indicate the proxy conditions for **auction** , is provided. The current bid level is checked, to determined whether any of the proxy condition is satisfied. A counter bid is placed to the **auction** corresponding to the satisfied condition.
DETAILED DESCRIPTION - INDEPENDENT CLAIMS are included for the following:
(1) computer-readable storage medium for storing bidding program; and
(2) **proxy** agent for automated **bidding** system.
USE - For bidding in online **auction** system.
ADVANTAGE - The system automatically increases the bid amount on behalf of the bidder in response to counter bids from other **bidders** , until the **proxy** value is reached and also avoids automatic and rapid counter bidding by opposing user during final minutes or seconds of the **auction** .
DESCRIPTION OF DRAWING(S) - The figure shows the user interface dialog for online bidding.
pp; 13 DwgNo 3/6
Title Terms: BID; METHOD; **AUCTION** ; SYSTEM; CHECK; CURRENT; BID; LEVEL; PLACE; COUNTER; BID; **AUCTION** ; SATISFY; SET; CONDITION
Derwent Class: T01; T05
International Patent Class (Main): G06F-017/60
File Segment: EPI

**10/5/5 (Item 4 from file: 350)**
DIALOG(R)File 350:Derwent WPIX


014919869 **Image available**
WPI Acc No: 2002-740576/200280
XRPX Acc No: N02-583530
**Online goods** auctioning **method through internet, involves submitting bids for buyer automatically, until current bid amount for buyer exceeds** proxy bid **amount**
Patent Assignee: TATGE J G (TATG-I)
Inventor: TATGE J G
Number of Countries: 001 Number of Patents: 001
Patent Family:

| Patent No | Kind | Date | Applicat No | Kind | Date | Week | |
|---|---|---|---|---|---|---|---|
| US 20020138393 | A1 | 20020926 | US 2001766528 | A | 20010122 | 200280 | B |

Priority Applications (No Type Date): US 2001766528 A 20010122
Patent Details:

| Patent No | Kind | Lan | Pg | Main IPC | Filing Notes |
|---|---|---|---|---|---|

US 20020138393 A1 14 G06F-017/60

Abstract (Basic): US 20020138393 A1

NOVELTY - A **proxy bid** amount greater than a current bid amount is entered into a computer memory for a buyer. Bids are automatically submitted such that the bid amount is incrementally greater than the current bid amount for the buyer, in response to another bidder gaining the controlling bid in the **auction** , until the **auction** is closed or the current **bid** amount exceeds the **proxy bid** amount.

DETAILED DESCRIPTION - An INDEPENDENT CLAIM is included for goods **auctioning** system.

USE - For conducting on-line virtual **auction** including single-lot regular and reverse **auction** , multi-lot regular and reverse **auction** , multi-lot and single-lot bid/ask **auction** and multi-lot Dutch **auction** , through internet.

ADVANTAGE - Enables a buyer/seller to constantly update his bid without having to physically follow the bidding process in real time, as all the bids are automatically transmitted to the buyers and sellers in real-time. Also, eliminates any typing and associated errors, by allowing the buyers and sellers to change the bid amount to a desired bidding level graphically through the color bars.

DESCRIPTION OF DRAWING(S) - The figure shows an over view of a computer network used for **auctioning** .

pp; 14 DwgNo 1/8

Title Terms: GOODS; METHOD; THROUGH; SUBMIT; BID; BUY; AUTOMATIC; CURRENT; BID; AMOUNT; BUY; BID; AMOUNT

Derwent Class: T01; T05

International Patent Class (Main): G06F-017/60

File Segment: EPI

**10/5/6 (Item 5 from file: 350)**

DIALOG(R)File 350:Derwent WPIX



014769446 **Image available**

WPI Acc No: 2002-590150/200263

XRPX Acc No: N02-468377

**Electronic** auction **method for electronic commerce, involves using previously recorded** proxy bid **for generating off-increment bid not increasing received current high** bid **and not exceeding** proxy bid

Patent Assignee: LE H K (LEHK-I); MORRISON W J (MORR-I); ROBERTS R L (ROBE-I); WIESEHUEGEL L J (WIES-I)

Inventor: LE H K; MORRISON W J; ROBERTS R L; WIESEHUEGEL L J

Number of Countries: 001 Number of Patents: 001

Patent Family:

| Patent No | Kind | Date | Applicat No | Kind | Date | Week |
|---|---|---|---|---|---|---|
| US 20020082971 | A1 | 20020627 | US 2000747535 | A | 20001221 | 200263 B |

Priority Applications (No Type Date): US 2000747535 A 20001221

Patent Details:

| Patent No | Kind | Lan | Pg | Main IPC | Filing Notes |
|---|---|---|---|---|---|
| US 20020082971 | A1 | | 9 | G06F-017/60 | |

Abstract (Basic): US 20020082971 A1

NOVELTY - Off-increment bid is generated using the previously recorded **proxy bid** higher than the current high bid received for an item and not greater than the sum of the current high bid and minimum increment. Off-increment bid is generated so that the off-increment bid does not exceed the **proxy bid** .

DETAILED DESCRIPTION - INDEPENDENT CLAIMS are included for the following:
(1) Electronic **auction** ; and
(2) Computer readable medium for storing electronic **auction** program.
USE - For electronic commerce.
ADVANTAGE - Enables to generate off-increment **proxy bid** at the time of performing electronic **auction** through the computer network.
DESCRIPTION OF DRAWING(S) - The figure shows the high level flowchart depicting the generation of off-increment **proxy bids** in an electronic **auction** .
pp; 9 DwgNo 1/4
Title Terms: ELECTRONIC; **AUCTION** ; METHOD; ELECTRONIC; RECORD; BID; GENERATE; INCREMENT; BID; INCREASE; RECEIVE; CURRENT; HIGH; BID; BID
Derwent Class: T01; T05
International Patent Class (Main): G06F-017/60
File Segment: EPI

**10/5/7 (Item 6 from file: 350)**
DIALOG(R)File 350:Derwent WPIX


014613489 **Image available**
WPI Acc No: 2002-434193/200246
XRPX Acc No: N02-341706
**Interaction automation method in electronic commerce** auction **site, involves enabling interaction between scan agent and** bid proxy **to place the** bid **of buyer and computing higher bid if counteroffer is accepted by** auction **site**
Patent Assignee: MONTGOMERY R R (MONT-I)
Inventor: MONTGOMERY R R
Number of Countries: 001 Number of Patents: 001
Patent Family:

| Patent No | Kind | Date | Applicat No | Kind | Date | Week |
|---|---|---|---|---|---|---|
| US 20020038282 | A1 | 20020328 | US 2000235548 | P | 20000927 | 200246 B |
| | | | US 2001963742 | A | 20010927 | |

Priority Applications (No Type Date): US 2000235548 P 20000927; US 2001963742 A 20010927
Patent Details:

| Patent No | Kind | Lan | Pg | Main IPC | Filing Notes |
|---|---|---|---|---|---|
| US 20020038282 | A1 | | 23 | G06F-017/60 | Provisional application US 2000235548 |

Abstract (Basic): US 20020038282 A1
NOVELTY - A search query is received from the registered buyer for a desired product from the **auction** sites. The current status of the product **auction** is provided to the buyer by using a search/meta search agent. The interaction between a scan agent and the **bid proxy** is enabled to place the bid of the buyer. A higher bid is computed and executed if the counteroffer is made and accepted by the **auction** site.
DETAILED DESCRIPTION - INDEPENDENT CLAIMS are also included for the following:
(a) Method for automating buyer's online, electronic search agent of specific electronic **auction** on targetted **auction** site;
(b) Programmed **bid proxies** execution method;
(c) Online **auction** scanning method;
(d) Method for adapting to changes in **auction** site layout and relevant **auction** site information
USE - For automating interaction between buyer and online **auction**

service for biding on supplies, vendor equipment.

ADVANTAGE - The agent can track performance of a given **auction** and can conduct a bidding transaction on behalf of the buyer on the **auction** sites.

DESCRIPTION OF DRAWING(S) - The figure shows a flowchart illustrating the agent proxy main web page providing central aggregation and launch point for the service.

pp; 23 DwgNo 1/11

Title Terms: INTERACT; AUTOMATIC; METHOD; ELECTRONIC; **AUCTION** ; SITE; ENABLE; INTERACT; SCAN; AGENT; BID; PLACE; BID; BUY; COMPUTATION; HIGH; BID; ACCEPT; **AUCTION** ; SITE

Derwent Class: T01; T05

International Patent Class (Main): G06F-017/60

File Segment: EPI

**10/5/8 (Item 7 from file: 350)**

DIALOG(R)File 350:Derwent WPIX



014385907 **Image available**

WPI Acc No: 2002-206610/200227

XRPX Acc No: N02-157400

Proxy bidding **method for Internet** auction **sites that allows sniping i.e. placing bid at the latest possible time to ensure no subsequent bids can succeed the current bid**

Patent Assignee: SNIDER J (SNID-I)

Inventor: SNIDER J

Number of Countries: 001 Number of Patents: 001

Patent Family:

| Patent No | Kind | Date | Applicat No | Kind | Date | Week | |
|---|---|---|---|---|---|---|---|
| CA 2305834 | A1 | 20011014 | CA 2305834 | A | 20000414 | 200227 | B |

Priority Applications (No Type Date): CA 2305834 A 20000414

Patent Details:

| Patent No | Kind | Lan | Pg | Main IPC | Filing Notes |
|---|---|---|---|---|---|
| CA 2305834 | A1 | E | 21 | H04L-012/16 | |

Abstract (Basic): CA 2305834 A1

NOVELTY - An **auction** management web site is accessible via the Internet through a secure server. A user can enter a maximum bid for a target item being **auctioned** . The system periodically calculates the remaining time of the **auction** of the target item and if the current bid is below the user's maximum bid places bid for the target item at a predetermined level above the current bid.

USE - As an automated Internet **auction** management system.

ADVANTAGE - Provides an automated Internet **auction** management system which permits sniping.

DESCRIPTION OF DRAWING(S) - The drawing shows a block diagram of the system employing the **proxy bidding** method.

pp; 21 DwgNo 2e/2

Title Terms: BID; METHOD; **AUCTION** ; SITE; ALLOW; PLACE; BID; LATE; POSSIBILITY; TIME; ENSURE; NO; SUBSEQUENT; BID; CAN; CURRENT; BID

Derwent Class: T01; W01

International Patent Class (Main): H04L-012/16

International Patent Class (Additional): H04L-012/22

File Segment: EPI

**10/5/9 (Item 8 from file: 350)**

DIALOG(R)File 350:Derwent WPIX


014234192 **Image available**
WPI Acc No: 2002-054890/200207
XRPX Acc No: N02-040484
**Electronic trading of financial instruments e.g. stocks, bonds, swaps, involves providing electronic** auction **for swap with terms indicated by user using electronic swap term sheet**
Patent Assignee: TREASURYCONNECT LLC (TREA-N); TREASURY CONNECT LLP (TREA-N)
Inventor: HUNTINGTON D C; USHER B; WITKOW B; HUNTINGTON D G
Number of Countries: 097 Number of Patents: 008
Patent Family:

| Patent No | Kind | Date | Applicat No | Kind | Date | Week | |
|---|---|---|---|---|---|---|---|
| US 20010044771 | A1 | 20011122 | US 2000205138 | P | 20000518 | 200207 | B |
| | | | US 2001858844 | A | 20010516 | | |
| WO 200188818 | A2 | 20011122 | WO 2001US15888 | A | 20010516 | 200207 | |
| AU 200161682 | A | 20011126 | AU 200161682 | A | 20010516 | 200222 | |
| GB 2379537 | A | 20030312 | WO 2001US15888 | A | 20010516 | 200327 | |
| | | | GB 200228404 | A | 20021205 | | |
| KR 2003023864 | A | 20030320 | KR 2002715520 | A | 20021118 | 200346 | |
| DE 10196187 | T | 20030618 | DE 1096187 | A | 20010516 | 200348 | |
| | | | WO 2001US15888 | A | 20010516 | | |
| CN 1439138 | A | 20030827 | CN 2001809693 | A | 20010516 | 200375 | |
| TW 544609 | A | 20030801 | TW 2001111737 | A | 20010516 | 200411 | |

Priority Applications (No Type Date): US 2000205138 P 20000518; US 2001858844 A 20010516
Patent Details:

| Patent No | Kind | Lan | Pg | Main IPC | Filing Notes |
|---|---|---|---|---|---|
| US 20010044771 | A1 | | 43 | G06F-017/60 | Provisional application US 2000205138 |
| WO 200188818 | A2 | E | | G06F-017/60 | |

Designated States (National): AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW
Designated States (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR IE IT KE LS LU MC MW MZ NL OA PT SD SE SL SZ TR TZ UG ZW

| | | | |
|---|---|---|---|
| AU 200161682 | A | G06F-017/60 | Based on patent WO 200188818 |
| GB 2379537 | A | G06F-017/60 | Based on patent WO 200188818 |
| KR 2003023864 | A | G06F-017/60 | |
| DE 10196187 | T | G06F-017/60 | Based on patent WO 200188818 |
| CN 1439138 | A | G06F-017/60 | |
| TW 544609 | A | G06F-017/60 | |

Abstract (Basic): US 20010044771 A1

NOVELTY - The method involves providing a user with an opportunity to indicate terms for a swap using an electronic swap term sheet. An electronic **auction** for the swap is provided with terms indicated by the user using the electronic swap term sheet.

DETAILED DESCRIPTION - An INDEPENDENT CLAIM is also included for the electronic trading system.

USE - Electronic trading of financial instruments e.g. stocks, bonds, swaps, interest rate agreements.

ADVANTAGE - Provides users with opportunities to electronically **auction** swaps over the Internet using an open **auction** . Provides for open bidding for swaps over the Internet. Provides for **proxy bidding** for swaps over the Internet. Provides electronic term sheets that are specialized for use by users.

DESCRIPTION OF DRAWING(S) - The figure shows the general flowchart of steps involved in operating an electronic trading system.
pp; 43 DwgNo 3/19
Title Terms: ELECTRONIC; TRADE; FINANCIAL; INSTRUMENT; STOCK; BOND; ELECTRONIC; **AUCTION** ; TERM; INDICATE; USER; ELECTRONIC; TERM; SHEET
Derwent Class: T01
International Patent Class (Main): G06F-017/60
File Segment: EPI

**10/5/10 (Item 9 from file: 350)**
DIALOG(R)File 350:Derwent WPIX


013924511 **Image available**
WPI Acc No: 2001-408724/200143
XRPX Acc No: N01-302456
**Establishing** bid proxy **for several** bidders **in computerized** auction **, over computer network by calculating re-bid for each of at least one bid placed by each of bidders by positioning re bid based upon bid position in relation to lead bid**
Patent Assignee: LOGISTICS.COM INC (LOGI-N); MANHATTAN ASSOC (MANH-N); QUOTESHIP.COM (QUOT-N)
Inventor: BORGESON G; WILSON R G; WILSON R
Number of Countries: 023 Number of Patents: 002
Patent Family:

| Patent No | Kind | Date | Applicat No | Kind | Date | Week | |
|---|---|---|---|---|---|---|---|
| WO 200146833 | A2 | 20010628 | WO 2000US34489 | A | 20001219 | 200143 | B |
| US 20030236739 | A1 | 20031225 | US 99172084 | P | 19991223 | 200408 | |
| | | | US 2000536118 | A | 20000327 | | |
| | | | US 2003385281 | A | 20030310 | | |

Priority Applications (No Type Date): US 2000536118 A 20000327; US 99172084 P 19991223; US 2003385281 A 20030310
Patent Details:

| Patent No | Kind | Lan | Pg | Main IPC | Filing Notes |
|---|---|---|---|---|---|
| WO 200146833 | A2 | E | 32 | G06F-017/00 | |

Designated States (National): CA JP MX
Designated States (Regional): AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR

| | | | |
|---|---|---|---|
| US 20030236739 A1 | G06F-017/60 | Provisional application US 99172084 | |
| | | Cont of application US 2000536118 | |

Abstract (Basic): WO 200146833 A2
NOVELTY - At least one bid, one bid limit, one bid position are received from each of bidders over a computer network. A lead bid is selected from at least one bid placed by each of the bidders. A re-bid for each of at least one bid placed by each of the bidders is calculated by positioning the re-bid based upon the bid position in relation to the lead bid. At least one bid for each of the bidders is selectively replaced with the re-bid.
DETAILED DESCRIPTION - INDEPENDENT CLAIMS are included for:
(a) a method for providing computerized shipment management system for at least one shipper over a computer network
(b) a computerized system for managing shipping
(c) a computer program product
USE - For providing a **bid proxy** that places **bids** for a bidder in an **auction** based upon bid parameters such as an initial bid, a bid ceiling, a bid floor and a bid position.
ADVANTAGE - Creates an automated method for imitating the way

pricing is often determined in traditional, non-automated environments.

DESCRIPTION OF DRAWING(S) - The drawing illustrates the process for the bid positioning system according to the present invention.
pp; 32 DwgNo 1/11

Title Terms: ESTABLISH; BID; COMPUTER; **AUCTION** ; COMPUTER; NETWORK; CALCULATE; BID; ONE; BID; PLACE; POSITION; BID; BASED; BID; POSITION; RELATED; LEAD; BID
Derwent Class: T01; T05
International Patent Class (Main): G06F-017/00; G06F-017/60
File Segment: EPI

**10/5/11 (Item 10 from file: 350)**
DIALOG(R)File 350:Derwent WPIX


013595835 **Image available**
WPI Acc No: 2001-080042/200109
XRPX Acc No: N01-060990
**Continuous online** auction **system e.g. for vehicle, modifies one or more seller parameters included in received product data, based on one or more** auction **parameters related to product**
Patent Assignee: AUTOBYTEL.COM INC (AUTO-N)
Inventor: ADELI M; LE D; LEE J S; RATHWICK Z A; TEDESCO M C; WAGONER K J; WALKER T
Number of Countries: 089 Number of Patents: 002
Patent Family:

| Patent No | Kind | Date | Applicat No | Kind | Date | Week | |
|---|---|---|---|---|---|---|---|
| WO 200058885 | A2 | 20001005 | WO 2000US4767 | A | 20000224 | 200109 | B |
| AU 200035019 | A | 20001016 | AU 200035019 | A | 20000224 | 200109 | |

Priority Applications (No Type Date): US 99283120 A 19990331
Patent Details:

| Patent No | Kind | Lan | Pg | Main IPC | Filing Notes |
|---|---|---|---|---|---|
| WO 200058885 | A2 | E | 35 | G06F-017/60 | |

Designated States (National): AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK DM EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW
Designated States (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR IE IT KE LS LU MC MW NL OA PT SD SE SL SZ TZ UG ZW

| | | | | |
|---|---|---|---|---|
| AU 200035019 | A | | G06F-017/60 | Based on patent WO 200058885 |

Abstract (Basic): WO 200058885 A2

NOVELTY - A product reception module provided in the **auction** center coupled to Internet (102), receives data related to product to be **auctioned** . A seller proxy module provided in the **auction** center, modifies one or more seller parameters included in the received product data, based on one or more **auction** parameters related to the product.

DETAILED DESCRIPTION - The seller proxy module provided in the **auction** center, is operated based on hourly manner or at a time interval set by the seller. A microprocessor provided in the **auction** center, is operably connected to a storage media. INDEPENDENT CLAIM is also included for the following:

(a) online product **auction** method;
(b) seller proxy system;
(c) current high bid calculating method for products in **auction** center;
(d) online product **auction** system;

(e) **proxy bidding** method in **auction** center;
(f) new high bid calculating method for products;
(g) product sale consummating method in **auction** center;
(h) **auction** searching method in **auction** center;
(i) event notification method in **auction** center;
(j) **auction** notification method in **auction** center

USE - For performing online **auction** of products e.g. vehicles, using Internet.

ADVANTAGE - Facilitates the **auctioning** of products by enabling potential buyers of the products to bid on the products by using the buyer terminal. Since the **auction** center automatically notifies the seller accordingly, the seller need not expand the resources to unnecessarily monitor the seller's product **auction** , and the seller is enabled to make better use of seller's resources. The **auction** center also facilitates the better utilization of the bidder's resources, without expanding the resources to monitor interested product **auctions** .

DESCRIPTION OF DRAWING(S) - The figure shows the block diagram of overall network architecture of online product **auction** system.

Internet (102)

pp; 35 DwgNo 1/5

Title Terms: CONTINUOUS; **AUCTION** ; SYSTEM; VEHICLE; MODIFIED; ONE; MORE; PARAMETER; RECEIVE; PRODUCT; DATA; BASED; ONE; MORE; **AUCTION** ; PARAMETER ; RELATED; PRODUCT

Derwent Class: T01

International Patent Class (Main): G06F-017/60

File Segment: EPI

**10/5/12 (Item 11 from file: 350)**

DIALOG(R)File 350:Derwent WPIX



013558058 **Image available**

WPI Acc No: 2001-042265/200106

XRPX Acc No: N01-031697

**Mobile based** auction **interaction has mobile telephone connected via proxy server that provides a link to** auction **server**

Patent Assignee: PHONE.COM INC (PHON-N); PHONE.COM JAPAN KK (PHON-N)

Number of Countries: 028 Number of Patents: 004

Patent Family:

| Patent No | Kind | Date | Applicat No | Kind | Date | Week | |
|---|---|---|---|---|---|---|---|
| EP 1041502 | A2 | 20001004 | EP 2000302570 | A | 20000328 | 200106 | B |
| CN 1268714 | A | 20001004 | CN 2000104716 | A | 20000324 | 200106 | |
| JP 2000306035 | A | 20001102 | JP 200088852 | A | 20000328 | 200106 | |
| KR 2000071492 | A | 20001125 | KR 200015594 | A | 20000327 | 200131 | |

Priority Applications (No Type Date): US 99282046 A 19990329

Patent Details:

| Patent No | Kind | Lan | Pg | Main IPC | Filing Notes |
|---|---|---|---|---|---|
| EP 1041502 | A2 | E | 13 | G06F-017/60 | |
| Designated States (Regional): AL AT BE CH CY DE DK ES FI FR GB GR IE IT LI LT LU LV MC MK NL PT RO SE SI | | | | | |
| CN 1268714 | A | | | G06F-017/60 | |
| JP 2000306035 | A | | 13 | G06F-019/00 | |
| KR 2000071492 | A | | | H04Q-007/24 | |

Abstract (Basic): EP 1041502 A2

NOVELTY - The Internet based **auction** system has an **auction** server (102) accepting bids for items. This server also issues e-mails

to users when there last bid is out bid. The user can connect to the **auction** server via any Internet connection (104,106). A proxy server (116) of a wireless network also links to the **auction** server and monitors bidding. It receives bidder e-mails and reformats them to pass onto the user mobile (108). The user can use the mobile to send **bid** alterations via the **proxy** server

DETAILED DESCRIPTION - INDEPENDENT CLAIM is included for a computer program stored on a computer readable medium.

USE - Mobile telephone based participation in Internet **auctions**

ADVANTAGE - Allows users to retain control of their bidding from any location via their mobile and hence increases **auction** participation and **auction** profits

DESCRIPTION OF DRAWING(S) - **Auction** system

Web based **auction** server (102)

Conventional Internet terminal connections to the **auction** (104,106)

Mobile telephone **auction** connection via proxy server (108)

Wireless network based proxy server (116)

pp; 13 DwgNo 1/4

Title Terms: MOBILE; BASED; **AUCTION** ; INTERACT; MOBILE; TELEPHONE; CONNECT ; SERVE; LINK; **AUCTION** ; SERVE

Derwent Class: T01; W01; W02

International Patent Class (Main): G06F-017/60; G06F-019/00; H04Q-007/24

International Patent Class (Additional): G06F-009/445; H04Q-007/32

File Segment: EPI

**10/5/13 (Item 12 from file: 350)**

DIALOG(R)File 350:Derwent WPIX



013023860 **Image available**

WPI Acc No: 2000-195711/200017

Related WPI Acc No: 2001-307838

XRPX Acc No: N00-144751

**Universal** auction **system for trading market using computer network**

Patent Assignee: TRADING DYNAMICS INC (TRAD-N); ARIBA INC (ARIB-N)

Inventor: EPHRATI E; SHOHAM Y; WELLMAN M

Number of Countries: 087 Number of Patents: 008

Patent Family:

| Patent No | Kind | Date | Applicat No | Kind | Date | Week | |
|---|---|---|---|---|---|---|---|
| WO 200008578 | A1 | 20000217 | WO 99US17248 | A | 19990729 | 200017 | B |
| AU 9952433 | A | 20000228 | AU 9952433 | A | 19990729 | 200030 | |
| EP 1101180 | A1 | 20010523 | EP 99937641 | A | 19990729 | 200130 | |
| | | | WO 99US17248 | A | 19990729 | | |
| US 6285989 | B1 | 20010904 | US 98131048 | A | 19980807 | 200154 | |
| BR 9912851 | A | 20011009 | BR 9912851 | A | 19990729 | 200168 | |
| | | | WO 99US17248 | A | 19990729 | | |
| KR 2001079626 | A | 20010822 | KR 2001701663 | A | 20010207 | 200213 | |
| JP 2002526820 | W | 20020820 | WO 99US17248 | A | 19990729 | 200258 | |
| | | | JP 2000564145 | A | 19990729 | | |
| KR 2002026886 | A | 20020412 | KR 2001716418 | A | 20011221 | 200267 | |

Priority Applications (No Type Date): US 99339325 A 19990623; US 98131048 A 19980807; US 99410856 A 19991001

Patent Details:

| Patent No | Kind | Lan | Pg | Main IPC | Filing Notes |
|---|---|---|---|---|---|
| WO 200008578 | A1 | E | 34 | G06F-017/60 | |

Designated States (National): AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DK EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ

LC LK LR LS LT LU LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT UA UG US UZ VN YU ZA ZW
Designated States (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR IE IT KE LS LU MC MW NL OA PT SD SE SL SZ UG ZW

AU 9952433 A G06F-017/60 Based on patent WO 200008578

EP 1101180 A1 E G06F-017/60 Based on patent WO 200008578
Designated States (Regional): AT BE CH CY DE DK ES FI FR GB GR IE IT LI LU MC NL PT SE

US 6285989 B1 G06F-017/60

BR 9912851 A G06F-017/60 Based on patent WO 200008578

KR 2001079626 A G06F-017/60

JP 2002526820 W 35 G06F-017/60 Based on patent WO 200008578

KR 2002026886 A G06F-017/60

Abstract (Basic): WO 200008578 A1

NOVELTY - A programmable **auction** server (140) has predetermined number of **auction** modules which individually correspond to one function of an **auction** selected from a group of **auctions** consisting of a bid verifier, an information manager, a clearer, a registration manager, and a **proxy bidder** .

DETAILED DESCRIPTION - INDEPENDENT CLAIMS are also included for the following:

(a) a programmable auction server;

(b) a market specification console;

(c) and a designing method for universal **auction** system.

USE - For trading market using computer network.

ADVANTAGE - Serves traders communicating through the internet and similar networks by processing a bid, releasing **auction** information, and clearing the **auction** .

DESCRIPTION OF DRAWING(S) - The figure shows the system block diagram of the universal **auction** system for trading market.

Programmable **auction** server (140)

pp; 34 DwgNo 1/5

Title Terms: UNIVERSAL; **AUCTION** ; SYSTEM; TRADE; MARKET; COMPUTER; NETWORK

Derwent Class: T01; T05

International Patent Class (Main): G06F-017/60

File Segment: EPI

**16/5/1 (Item 1 from file: 347)**
DIALOG(R)File 347:JAPIO


07564899 **Image available**
METHOD, DEVICE AND PROGRAM FOR **AUCTION** , AND MEDIUM WITH THE PROGRAM RECORDED THEREON

PUB. NO.: 2003-058740 [JP 2003058740 A]
PUBLISHED: February 28, 2003 (20030228)
INVENTOR(s): YOKOO MAKOTO
SAKURAI YUKO
APPLICANT(s): NIPPON TELEGR & TELEPH CORP (NTT)
APPL. NO.: 2001-246401 [JP 2001246401]
FILED: August 15, 2001 (20010815)
INTL CLASS: **G06F-017/60** ; G06F-017/10

ABSTRACT
PROBLEM TO BE SOLVED: To provide a method, a device and a program for **auction** and a medium with the recorded thereon program by which the incentive compatibility is guaranteed even when not only a **bid** price but also the **characteristic** value of a **bidder** are considered.

SOLUTION: On the basis of the name of a bidder contained in received **bid** data, the **characteristic** value of each **bidder** is acquired from a characteristic storage device previously storing the characteristic value showing the credit or the like of the bidder (s4), the evaluation value of each bidder corresponding to an object article is respectively calculated on the basis of the **bid** price and the **characteristic** value, and the **bidder** of the smallest evaluation value is determined as a successful bidder of the object article (s5). When a minimum value in the evaluation values of respective bidders except for the successful bidder is equal with the evaluation value of the successful bidder, the **bid** price of the correspondent successful bidder is determined as an amount of reward to the successful bidder (s6).



**16/5/2 (Item 2 from file: 347)**
DIALOG(R)File 347:JAPIO


07515297 **Image available**
VIEWER PARTICIPATING BROADCAST SYSTEM

PUB. NO.: 2003-009127 [JP 2003009127 A]
PUBLISHED: January 10, 2003 (20030110)
INVENTOR(s): IMAI NAOKI
APPLICANT(s): NEC CORP
APPL. NO.: 2001-193198 [JP 2001193198]
FILED: June 26, 2001 (20010626)
INTL CLASS: H04N-007/173; G06F-013/00; **G06F-017/60**

ABSTRACT
PROBLEM TO BE SOLVED: To obtain a viewer participating broadcasting system having two-way properties capable of increasing audience rating for a television program itself.

SOLUTION: A data broadcast and originating system 16 multiplexes data

broadcast contents 17 and the television program, and transmits the multiplexed program to a receiving terminal equipment 21. The equipment 21 accesses to an application server 13A via an internet network 23 when purchasing an article appearing in the television program, and can purchase the articles handled by a sales server 51 within a limiting **time** up to an end of the program as a **rule** . The article handled in the program can be purchased by responding to a program questionnaires via an **auction** . The purchasing price can be discounted in response to the program questionnaires. Thus, these contribute to improvement in the audience rating together with the questionnaires result.



**16/5/3 (Item 3 from file: 347)**
DIALOG(R)File 347:JAPIO


07391247 **Image available**
HAIRSTYLING REVERSE **AUCTION** SYSTEM

PUB. NO.: 2002-259748 [JP 2002259748 A]
PUBLISHED: September 13, 2002 (20020913)
INVENTOR(s): MIYANO KENTARO
APPLICANT(s): MATSUSHITA ELECTRIC IND CO LTD
APPL. NO.: 2001-061756 [JP 200161756]
FILED: March 06, 2001 (20010306)
INTL CLASS: **G06F-017/60** ; G06T-001/00

ABSTRACT

PROBLEM TO BE SOLVED: To provide hairstylist information proposing hairstyling proper to each user by effectively mediating between a hairstylist and the user.

SOLUTION: A data mediating means 1 manages image data on the face, head and the like of a **user** and **character** data on the name, address and the like of the user transmitted from a user terminal 3. The data are provided for a plurality of hairstylist terminals 2. A plurality of pieces of hairstyling data recommended for each user by a plurality of hairstylists from the data are managed by the data mediating means 1, and provided for the user terminal 3.



**16/5/4 (Item 4 from file: 347)**
DIALOG(R)File 347:JAPIO


07315022 **Image available**
COMMODITY SELLING METHOD BY ELECTRONIC COMMERCE SYSTEM

PUB. NO.: 2002-183508 [JP 2002183508 A]
PUBLISHED: June 28, 2002 (20020628)
INVENTOR(s): TAKAGI IWAO
OGAWA KATSUHIKO
APPLICANT(s): NIPPON TELEGR & TELEPH CORP (NTT)
APPL. NO.: 2000-384981 [JP 2000384981]
FILED: December 19, 2000 (20001219)
INTL CLASS: **G06F-017/60**

ABSTRACT

PROBLEM TO BE SOLVED: To provide a commodity selling method by an electronic commerce system, in which an exhibitor can safely carry out a transaction with a successful bidder having excellent credit information.

SOLUTION: In the commodity selling method by an electronic commerce system, a successful bidder is determined by an **auction** and a commercial transaction evaluation is carried out with respect to the transaction interested party after a transaction action between the exhibitor and the successful bidder is completed. In the case where a bidder carries out a **bid** lower than the **bid** price of a first candidate of the commodity with respect to the commodity at an **auction** , the system compares the difference between the **bid** price of the bidder and the **bid** price of the first candidate and the difference between the commercial transaction evaluation of the bidder and the commercial transaction evaluation of the first candidate and determines an order at this **time** , in accordance with **rules** regarding a preference order.



**16/5/5 (Item 5 from file: 347)**



07248818 **Image available**

METHOD FOR NET **AUCTION** AND USER INFORMATION MANAGEMENT SERVER USED FOR THE METHOD

PUB. NO.: 2002-117272 [JP 2002117272 A]
PUBLISHED: April 19, 2002 (20020419)
INVENTOR(s): MIYAJIMA ASAMI
MAEDA YUJI
ITO YASUYUKI
APPLICANT(s): NIPPON TELEGR & TELEPH CORP (NTT)
APPL. NO.: 2000-305855 [JP 2000305855]
FILED: October 05, 2000 (20001005)
INTL CLASS: **G06F-017/60** ; G06F-012/00; G06F-012/14

ABSTRACT

PROBLEM TO BE SOLVED: To provide a method for net **auction** by which a load for inputting work on the side of a user is relived and a user information management server used for the method.

SOLUTION: When selling side/buying side users 20-1 to 20-n input information on merchandise sold relating to merchandise desired to be sold, its displaying limit, its selling condition, etc., or information on merchandise bought relating to merchandise desired to be bought, its buying condition, etc., from a user terminal 21, the user information management server 22 installed on the side of a **user** add **characteristic user** information unrelated to the merchandise desired to be sold or the merchandise desired to be bought to the information automatically to transmit it to an **auction** information management server 12. When receiving the information on merchandise sold or the information on merchandise bought and the user information, the server 12 regards the user as a participant to the **auction** .

**16/5/6 (Item 6 from file: 347)**
DIALOG(R)File 347:JAPIO


07104034 **Image available**
**BIDDING** SYSTEM USING INTERNET, MARKET PRICE PREDICTION SYSTEM, OPTIMUM BIT QUANTITY AND PRICE LAYING SYSTEM, STRATEGY LAYING SYSTEM, AND **BIDDING** SYSTEM WITH RISK MANAGEMENT

PUB. NO.: 2001-331691 [JP 2001331691 A]
PUBLISHED: November 30, 2001 (20011130)
INVENTOR(s): ICHIDA YOSHIO
AKIYOSHI MASANORI
APPLICANT(s): MITSUBISHI ELECTRIC CORP
APPL. NO.: 2000-153016 [JP 2000153016]
FILED: May 24, 2000 (20000524)
INTL CLASS: **G06F-017/60**

ABSTRACT
PROBLEM TO BE SOLVED: To manage risk by supporting **bid** price determination in an Internet **bidding** system.

SOLUTION: The market price of article transaction is predicted from history data of the article price and predicted article demands. The best **bid** quantity and price of the article transaction are laid from (1) One ore more specific numerals regarding production facilities for the article that an article selling wisher or article buying wisher uses and (2) an article price predicted in the market. Specific conditions at the **time** of **bidding** are matched with respect to one or more **rules** extracted from the past action history data of competing producers to predict the **bid** prices of the competing producers. The result is properly used to carry out **bidding** by the **bidding** system. Further, a risk managing function is added for **bidding** when the **bid** quantity and price of the article transaction are inputted.



**16/5/7 (Item 7 from file: 347)**
DIALOG(R)File 347:JAPIO


07069576 **Image available**
INFORMATION PROVIDING METHOD FOR COMMUNICATION SYSTEM

PUB. NO.: 2001-297221 [JP 2001297221 A]
PUBLISHED: October 26, 2001 (20011026)
INVENTOR(s): TAKAGI IWAO
OGAWA KATSUHIKO
APPLICANT(s): NIPPON TELEGR & TELEPH CORP (NTT)
APPL. NO.: 2000-111001 [JP 2000111001]
FILED: April 12, 2000 (20000412)
INTL CLASS: **G06F-017/60**

ABSTRACT
PROBLEM TO BE SOLVED: To provide an information providing method for a communication system by which advertisement effect by information provision by an information provider is improved, the information provider obtains large-amount advertisement income, and a user does not bear a communication

charge.

SOLUTION: This information providing method for the communication system which has many information providers and also has an **auction** function is **characterized** by that a **user** divides the communication band of the subscriber line of the user into a communication band over which the user sends and receives information by one's intention and a communication band that is leased to one or more information providers with a charge to provided their information, the communication band to be leased to information providers is put at an **auction** , and information providers presenting high charges provide information by using the communication band.



**16/5/8 (Item 1 from file: 350)**
DIALOG(R)File 350:Derwent WPIX


016065183 **Image available**
WPI Acc No: 2004-223034/200421
**Method for** bidding **on internet site**
Patent Assignee: JHIN J H (JHIN-I); SEO M G (SEOM-I)
Inventor: JHIN J H; SEO M G
Number of Countries: 001 Number of Patents: 001
Patent Family:

| Patent No | Kind | Date | Applicat No | Kind | Date | Week | |
|---|---|---|---|---|---|---|---|
| KR 2003089655 | A | 20031122 | KR 200367974 | A | 20030930 | 200421 | B |

Priority Applications (No Type Date): KR 200367974 A 20030930
Patent Details:

| Patent No | Kind | Lan | Pg | Main IPC | Filing Notes |
|---|---|---|---|---|---|
| KR 2003089655 | A | | 1 | G06F-017/60 | |

Abstract (Basic): KR 2003089655 A
NOVELTY - A method for **bidding** on an Internet site is provided to join in the **bidding** as receiving a **bid** number and selecting the successful bidder according to a **rule** using a selection number and the **bid** number.
DETAILED DESCRIPTION - A user registers to a homepage of a web site as a member. The user logs in to the homepage. The user inputs the **bid** information by selecting an article or an item displayed on the homepage. When the user inputs the **bid** information, the **bid** number generated in a random method is received from the web site. After a constant **time** , the successful bidder is selected from many bidders joining in the **bidding** .
pp; 1 DwgNo 1/10
Title Terms: METHOD; **BID** ; SITE
Derwent Class: T01
International Patent Class (Main): **G06F-017/60**
File Segment: EPI

**16/5/9 (Item 2 from file: 350)**
DIALOG(R)File 350:Derwent WPIX


015684345 **Image available**
WPI Acc No: 2003-746534/200370

XRPX Acc No: N03-598215
Auction **methodology selection method for buyer/seller procurement process, involves determining** auction **scope,** auction **iteration,** auction **control,** auction **pricing,** auction **closing** rules **, for selecting methodology**
Patent Assignee: INT BUSINESS MACHINES CORP (IBMC )
Inventor: COYNE J P; DAUB H A; FIORE T G; FORTINE M A; KOGUT-O'CONNELL J J; LOWE P K; MICHEL RODRIGUEZ J D J; MORANDIN A; OHSUMI Y; TEN DYKE A C; WU W J; YUSKIS J J; ZULPA P A
Number of Countries: 001 Number of Patents: 001
Patent Family:

| Patent No | Kind | Date | Applicat No | Kind | Date | Week |
|---|---|---|---|---|---|---|
| US 20030172022 | A1 | 20030911 | US 200295237 | A | 20020311 | 200370 B |

Priority Applications (No Type Date): US 200295237 A 20020311
Patent Details:

| Patent No | Kind | Lan | Pg | Main IPC | Filing Notes |
|---|---|---|---|---|---|
| US 20030172022 | A1 | | 16 | G06F-017/60 | |

Abstract (Basic): US 20030172022 A1

NOVELTY - An **auction** scope, **auction** interaction, **auction** control, **auction** pricing, a set of **auction** closing **rules** , and **auction** iteration in the data processing system are determined. An **auction** methodology for buyer/seller procurement process is selected, based on any of the determined result.

DETAILED DESCRIPTION - An INDEPENDENT CLAIM is also included for program storage device for storing instructions for selecting **auction** methodology.

USE - For selecting automated **auction** methodology to be used during request for quotation (RFQ), request for proposal (RFP) or request for information (RFI) process.

ADVANTAGE - Allows suppliers the ability to essentially **bid** against one another as one would do in an **auction** setting, thereby reducing cycle **times** and administrative costs for the buyer, as the buyer would no longer be required to review every **bid** entered by the various suppliers. The supplier who out bits the various suppliers would simply be selected by the buyer. Assures the buyer that its procurement process is functionally optimal and is saving the buyer resources and money, as it results in an efficient procurement system.

DESCRIPTION OF DRAWING(S) - The figure shows a flow diagram explaining the steps involved in selecting **auction** methodology.

pp; 16 DwgNo 1/9

Title Terms: **AUCTION** ; SELECT; METHOD; BUY; PROCESS; DETERMINE; **AUCTION** ; SCOPE; **AUCTION** ; ITERATIVE; **AUCTION** ; CONTROL; **AUCTION** ; PRICE; **AUCTION** ; CLOSE; **RULE** ; SELECT
Derwent Class: T01
International Patent Class (Main): **G06F-017/60**
File Segment: EPI

**16/5/10 (Item 3 from file: 350)**
DIALOG(R)File 350:Derwent WPIX


015494752 **Image available**
WPI Acc No: 2003-556899/200352
XRPX Acc No: N03-442533
**Computer for financial product transactions displays** bid **side and offer side information including lists of montage rows comprising respective market** participants **, prices and routing** characters
Patent Assignee: MCLISTER R (MCLI-I)

Inventor: MCLISTER R
Number of Countries: 001 Number of Patents: 001
Patent Family:

| Patent No | Kind | Date | Applicat No | Kind | Date | Week | |
|---|---|---|---|---|---|---|---|
| US 20030083976 | A1 | 20030501 | US 200127878 | A | 20011026 | 200352 | B |

Priority Applications (No Type Date): US 200127878 A 20011026
Patent Details:

| Patent No | Kind | Lan | Pg | Main IPC | Filing Notes |
|---|---|---|---|---|---|
| US 20030083976 | A1 | | 11 | G06F-017/60 | |

Abstract (Basic): US 20030083976 A1

NOVELTY - The computer displays a symbol (14) for tradable, **bid** side (16) and offer side (26). The **bid** and offer sides include lists of montage rows comprising respective market **participants** , prices and routing **characters** . A routing character key in conjunction with a corresponding quantity key define a transaction of specific number of units of a specific tradable with specific market participants at a specific price.

DETAILED DESCRIPTION - An INDEPENDENT CLAIM is also included for financial market information processing method.

USE - Computer for transactions of financial products such as stocks, bonds, futures.

ADVANTAGE - Provides significantly quicker order entry times when buying or selling stocks, bonds, futures and other tradable. A single routing character can be used to identify both the desired order destination and the desired transaction price, thereby eliminating the need for an additional step.

DESCRIPTION OF DRAWING(S) - The figure shows the montage window.
symbol (14)
**bid** side (16)
**bid** market participant (20)
**bid** price (22)
**bid** routing character (24)
offer side (26)
offer market participant (30)
offer price (32)
offer routing character (34)
pp; 11 DwgNo 4/6

Title Terms: COMPUTER; FINANCIAL; PRODUCT; TRANSACTION; DISPLAY; **BID** ; SIDE; OFFER; SIDE; INFORMATION; LIST; MONTAGE; ROW; COMPRISE; RESPECTIVE; MARKET; PARTICIPATING; PRICE; ROUTE; CHARACTER
Derwent Class: T01
International Patent Class (Main): **G06F-017/60**
File Segment: EPI

**16/5/11 (Item 4 from file: 350)**
DIALOG(R)File 350:Derwent WPIX


015301199 **Image available**
WPI Acc No: 2003-362133/200334
XRPX Acc No: N03-289178

**Exchange transaction processing method involves analyzing and modifying new action formats and rules, based on previous market transaction outcome data and analysis of initial estimate**

Patent Assignee: ZHANG A X (ZHAN-I)
Inventor: ZHANG A X
Number of Countries: 001 Number of Patents: 001

Patent Family:
Patent No Kind Date Applicat No Kind Date Week
US 20030014346 A1 20030116 US 2001895690 A 20010629 200334 B

Priority Applications (No Type Date): US 2001895690 A 20010629
Patent Details:
Patent No Kind Lan Pg Main IPC Filing Notes
US 20030014346 A1 13 G06F-017/60

Abstract (Basic): US 20030014346 A1
NOVELTY - The analysis of initial estimate of market **participants characteristics** , market transaction outcome data, precious transactions data and operating rules, is performed . The analysis result is compared with the market transaction outcome data and the estimate in revised based on the comparison.
DETAILED DESCRIPTION - INDEPENDENT CLAIMS are included for the following:
(1) computer system;
(2) computer readable medium storing exchange transaction processing program.
USE - For processing exchange transaction during electronic forum based **auctions** through Internet.
ADVANTAGE - Enables to easily and accurately predict **bidder characteristics** and modify estimates accordingly.
DESCRIPTION OF DRAWING(S) - The figure shows a flowchart of **auction** implementation method.
pp; 13 DwgNo 4A/4
Title Terms: EXCHANGE; TRANSACTION; PROCESS; METHOD; MODIFIED; NEW; ACTION; FORMAT; RULE; BASED; MARKET; TRANSACTION; DATA; ANALYSE; INITIAL; ESTIMATE
Derwent Class: T01
International Patent Class (Main): **G06F-017/60**
File Segment: EPI

**16/5/12 (Item 5 from file: 350)**
DIALOG(R)File 350:Derwent WPIX


015139266 **Image available**
WPI Acc No: 2003-199793/200319
XRPX Acc No: N03-158997
Auction **method in distributed data processing system, involves providing non-price parameter for** bidding **on items and receiving set of** bids **based on provided parameter**
Patent Assignee: INT BUSINESS MACHINES CORP (IBMC )
Inventor: BOIES S J; GREY W; MOSKOWITZ P A; PICKOVER C A; SHI D
Number of Countries: 001 Number of Patents: 001
Patent Family:
Patent No Kind Date Applicat No Kind Date Week
US 20020174054 A1 20021121 US 2001859712 A 20010517 200319 B

Priority Applications (No Type Date): US 2001859712 A 20010517
Patent Details:
Patent No Kind Lan Pg Main IPC Filing Notes
US 20020174054 A1 10 G06F-017/60

Abstract (Basic): US 20020174054 A1
NOVELTY - A non-price parameter including quantity, quality, lead time, delivery date, physical distribution **characteristics** , **customer**

demographics or **customer** location is provided for **bidding** on items. A set of **bids** for the items, is received based on the provided parameter. A winning **bid** is identified from the received set of **bids** .

DETAILED DESCRIPTION - INDEPENDENT CLAIMS are included for the following:

(1) Data processing system; and

(2) Computer program product for **auction** method.

USE - For **auctioning** items in distributed data processing system connected to network e.g. Internet.

ADVANTAGE - Combination of goods or services at fixed rate, are offered by **bidding** , using several non-price parameters. Thus, additional flexibility in **auction** is provided.

DESCRIPTION OF DRAWING(S) - The figure shows the block diagram of the data processing system.

pp; 10 DwgNo 2/6

Title Terms: **AUCTION** ; METHOD; DISTRIBUTE; DATA; PROCESS; SYSTEM; NON; PRICE; PARAMETER; **BID** ; ITEM; RECEIVE; SET; **BID** ; BASED; PARAMETER

Derwent Class: T01

International Patent Class (Main): **G06F-017/60**

File Segment: EPI

**16/5/13 (Item 6 from file: 350)**

DIALOG(R)File 350:Derwent WPIX



015079105 **Image available**

WPI Acc No: 2003-139623/200313

XRPX Acc No: N03-110933

**Computer-based automated decision support system, for** auction **analysis, generates evaluation of** auction , **using evaluation criteria based on estimated unknown elements of market structure and predicted** bidding **behavior**

Patent Assignee: CHEN K (CHEN-I); FINE L R (FINE-I); GULER K (GULE-I); KARP A H (KARP-I); LIU T (LIUT-I); SAFAI F (SAFA-I); TANG H (TANG-I); WU R (WURR-I); ZHANG A (ZHAN-I)

Inventor: CHEN K; FINE L R; GULER K; KARP A H; LIU T; SAFAI F; TANG H; WU R ; ZHANG A

Number of Countries: 001 Number of Patents: 001

Patent Family:

| Patent No | Kind | Date | Applicat No | Kind | Date | Week | |
|---|---|---|---|---|---|---|---|
| US 20020174052 | A1 | 20021121 | US 2001858251 | A | 20010515 | 200313 | B |

Priority Applications (No Type Date): US 2001858251 A 20010515

Patent Details:

| Patent No | Kind | Lan | Pg | Main IPC | Filing Notes |
|---|---|---|---|---|---|
| US 20020174052 | A1 | | 18 | G06F-017/60 | |

Abstract (Basic): US 20020174052 A1

NOVELTY - A predictor predicts **bidding behavior** of **bidders** , based on the estimated unknown elements of market structure and characteristics of an **auction** . An optimizer generates an evaluation of **auction** , using an evaluation criteria based on the estimated unknown elements of the market structure and predicted **bidding** behavior. The optimizer selects best **auction** design candidates from the generated **auction** .

DETAILED DESCRIPTION - An INDEPENDENT CLAIM is included for computer-implemented automated **auction** analysis method.

USE - For designing and analyzing **auctions** .

ADVANTAGE - Allows **auction** design decisions to be adjusted dynamically for specific situations and enhances sales promotion.

DESCRIPTION OF DRAWING(S) - The figure shows a flowchart of operation of a data selection module in the support system.

pp; 18 DwgNo 5/10

Title Terms: COMPUTER; BASED; AUTOMATIC; DECIDE; SUPPORT; SYSTEM; **AUCTION** ; ANALYSE; GENERATE; EVALUATE; **AUCTION** ; EVALUATE; CRITERIA; BASED; ESTIMATE; UNKNOWN; ELEMENT; MARKET; STRUCTURE; PREDICT; **BID** ; BEHAVE

Derwent Class: T01

International Patent Class (Main): **G06F-017/60**

File Segment: EPI

**16/5/14 (Item 7 from file: 350)**

DIALOG(R)File 350:Derwent WPIX



015066859 **Image available**

WPI Acc No: 2003-127375/200312

**System and method for offering** auction **service by using character**

Patent Assignee: LEE S M (LEES-I)

Inventor: LEE S M

Number of Countries: 001 Number of Patents: 001

Patent Family:

| Patent No | Kind | Date | Applicat No | Kind | Date | Week |
|---|---|---|---|---|---|---|
| KR 2002071350 | A | 20020912 | KR 200111476 | A | 20010306 | 200312 B |

Priority Applications (No Type Date): KR 200111476 A 20010306

Patent Details:

| Patent No | Kind | Lan | Pg | Main IPC | Filing Notes |
|---|---|---|---|---|---|
| KR 2002071350 | A | | 1 | G06F-017/60 | |

Abstract (Basic): KR 2002071350 A

NOVELTY - An **auction** service system and method is provided to enable an **auctioneer** or a **bidder** to select a **character** and to display the selected character on a desired **auction** space so that it makes the **auctioneer** or the bidder feel like a real world **auction** environment and activates an **auction** progress.

DETAILED DESCRIPTION - The method comprises steps of authenticating an accessing **auctioneer** (S310), displaying plural characters stored at a database for enabling the **auctioneer** to select one character(S315), enabling the **auctioneer** to register the selected character(S316), enabling the **auctioneer** to input detailed data on a commodity to be **auctioned** (S320), storing the input data and registering the commodity(S330), enabling the **auctioneer** to select an **auction** space model and display the **auction** space model over a web browser(S340), registering the **auction** space model connected to data on the commodity stored at the database(S350), authenticating an accessing bidder, enabling the **bidder** to select one **character** among the characters stored at a database, enabling the bidder to register the selected character, displaying a list of commodities to be **auctioned** , displaying detailed data if the bidder selects one commodity among the list, displaying a scene of the **auction** space model with the **bidder** 's selected **character** if the **bidder** pushes an **auction** participation button, enabling the bidders to offer a price, and determining a **bid** winner to offer the highest price.

pp; 1 DwgNo 1/10

Title Terms: SYSTEM; METHOD; OFFER; **AUCTION** ; SERVICE; CHARACTER

Derwent Class: T01

International Patent Class (Main): **G06F-017/60**

File Segment: EPI

**16/5/15 (Item 8 from file: 350)**
DIALOG(R)File 350:Derwent WPIX
(c) 2004 Thomson Derwent. All rts. reserv.

014968117 **Image available**
WPI Acc No: 2003-028631/200302
XRPX Acc No: N03-022486
**Advertising opportunities selling method, involves updating user's bid account according to auction rules and conditions of campaign specified by winning bidder**
Patent Assignee: DETERING D (DETE-I)
Inventor: DETERING D
Number of Countries: 001 Number of Patents: 001
Patent Family:

| Patent No | Kind | Date | Applicat No | Kind | Date | Week | |
|---|---|---|---|---|---|---|---|
| US 20020116313 | A1 | 20020822 | US 2000737018 | A | 20001214 | 200302 | B |

Priority Applications (No Type Date): US 2000737018 A 20001214
Patent Details:

| Patent No | Kind | Lan | Pg | Main IPC | Filing Notes |
|---|---|---|---|---|---|
| US 20020116313 | A1 | | 9 | G06F-017/60 | |

Abstract (Basic): US 20020116313 A1
NOVELTY - Competing **bids** of all participating advertisers (110) to reach a same user (111-117) with an advertising message are stored in the user's **bid** account. Advertising message with the highest **bid** each **time** is determined and the winning advertiser is billed for calculated due fee. The user's **bid** account is updated according to **auction rules** and conditions of campaign specified by winning bidder.
USE - For selling advertising opportunities.
ADVANTAGE - The number of computing operations required for each advertising message at the very moment of its occurrence, is minimized.

DESCRIPTION OF DRAWING(S) - The figure shows the block diagram of **auction** environment.
Advertisers (110)
Users (111-117)
pp; 9 DwgNo 1/4
Title Terms: ADVERTISE; SELL; METHOD; UPDATE; USER; **BID** ; ACCOUNT; ACCORD; **AUCTION** ; **RULE** ; CONDITION; CAMPAIGN; SPECIFIED; WINNING
Derwent Class: T01
International Patent Class (Main): **G06F-017/60**
File Segment: EPI

**16/5/16 (Item 9 from file: 350)**
DIALOG(R)File 350:Derwent WPIX
(c) 2004 Thomson Derwent. All rts. reserv.

014821712 **Image available**
WPI Acc No: 2002-642418/200269
XRPX Acc No: N02-507773
**On-line financial product processing method involves submitting proposal for providing final product and generating rating corresponding to proposal**
Patent Assignee: EFFICIENT MARKETS CORP (EFFI-N); BROOKS J C (BROO-I);

KENDALL E O (KEND-I)
Inventor: BROOKS J C; KENDALL E O
Number of Countries: 098 Number of Patents: 004
Patent Family:

| Patent No | Kind | Date | Applicat No | Kind | Date | Week | |
|---|---|---|---|---|---|---|---|
| US 20020091613 | A1 | 20020711 | US 2001756906 | A | 20010110 | 200269 | B |
| WO 200256228 | A1 | 20020718 | WO 2001US29777 | A | 20010925 | 200269 | |
| EP 1358600 | A1 | 20031105 | EP 2001973424 | A | 20010925 | 200377 | |
| | | | WO 2001US29777 | A | 20010925 | | |
| AU 2001293003 | A1 | 20020724 | AU 2001293003 | A | 20010925 | 200427 | |

Priority Applications (No Type Date): US 2001756906 A 20010110
Patent Details:

| Patent No | Kind | Lan | Pg | Main IPC | Filing Notes |
|---|---|---|---|---|---|
| US 20020091613 | A1 | | 15 | G06F-017/60 | |
| WO 200256228 | A1 | E | | G06F-017/60 | |

Designated States (National): AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PH PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW
Designated States (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR IE IT KE LS LU MC MW MZ NL OA PT SD SE SL SZ TR TZ UG ZW

| | | | | | |
|---|---|---|---|---|---|
| EP 1358600 | A1 | E | | G06F-017/60 | Based on patent WO 200256228 |

Designated States (Regional): AL AT BE CH CY DE DK ES FI FR GB GR IE IT LI LT LU LV MC MK NL PT RO SE SI TR

| | | | | | |
|---|---|---|---|---|---|
| AU 2001293003 | A1 | | | G06F-017/60 | Based on patent WO 200256228 |

Abstract (Basic): US 20020091613 A1

NOVELTY - A request for a financial product and information about a requesting party, is received. A **bid** solicitation which is prepared for the financial product based on the request information, is transmitted to several product carriers. The product carrier submits a proposal for providing the final product, and a rating corresponding to the proposal is generated.

DETAILED DESCRIPTION - INDEPENDENT CLAIMS are included for the following:

(1) Financial product processing system;

(2) In-force insurance **policy** evaluation method; and

(3) In-force insurance **policy** evaluation system.

USE - For appraising value to consumers of financial products from financial services industry such as insurance and banking, who provide insurance products such as disability income insurance, long term care insurance, medical expense insurance, supplemental health and accident insurance, vehicle insurance, home owners insurance, life insurance which includes term life insurance, universal life insurance, variable life insurance, annuities, joint products, viatical settlements, preneed insurance and other forms of liability insurance, using internet, intranet or also by off-line.

ADVANTAGE - Provides an online, real **time** system for evaluating a proposed financial service product. Thereby enabling insurance companies and insurance distribution channels to serve their customers better, and to improve industry-wide profitability.

DESCRIPTION OF DRAWING(S) - The figure shows a block diagram illustrating the financial product process system.

pp; 15 DwgNo 1/6

Title Terms: LINE; FINANCIAL; PRODUCT; PROCESS; METHOD; SUBMIT; FINAL; PRODUCT; GENERATE; RATING; CORRESPOND
Derwent Class: T01; T05
International Patent Class (Main): **G06F-017/60**
File Segment: EPI

**16/5/17 (Item 10 from file: 350)**
DIALOG(R)File 350:Derwent WPIX


014443483 **Image available**
WPI Acc No: 2002-264186/200231
XRPX Acc No: N02-205396
**Electronic-commerce system calculates bid price based on goods variation price selected from database and transmits calculated bid price to user**
Patent Assignee: MEGA FUSION KK (MEGA-N)
Number of Countries: 001 Number of Patents: 001
Patent Family:

| Patent No | Kind | Date | Applicat No | Kind | Date | Week | |
|---|---|---|---|---|---|---|---|
| JP 2002063419 | A | 20020228 | JP 2000252758 | A | 20000823 | 200231 | B |

Priority Applications (No Type Date): JP 2000252758 A 20000823
Patent Details:

| Patent No | Kind | Lan | Pg | Main IPC | Filing Notes |
|---|---|---|---|---|---|
| JP 2002063419 | A | | 8 | G06F-017/60 | |

Abstract (Basic): JP 2002063419 A
NOVELTY - The price of desired goods chosen by the user, is estimated according to a predetermined **rule** . Based on the estimated price, the price variation of goods stored in a database (5) is selected. Based on the selected price variation, **bid** price is calculated by an execution unit (4) and is transmitted to user.
DETAILED DESCRIPTION - An INDEPENDENT CLAIM is also included for electronic-commerce method.
USE - Electronic-commerce system.
ADVANTAGE - Enables providing appropriate **bid** price to user, simply, quickly and flexibly, even when goods price and market price fluctuates while calculating **bid** price depending on various conditions. As the electronic-commerce system and the dispatch system of goods are in cooperation, **time** necessary for dispatch of goods from receiving goods order is reduced. Enables reducing distribution cost, management cost and goods marketing is performed efficiently through internet.
DESCRIPTION OF DRAWING(S) - The figure shows a schematic diagram of electronic-commerce system. (Drawing includes non-English language text).
Execution unit (4)
Database (5)
pp; 8 DwgNo 1/9
Title Terms: ELECTRONIC; SYSTEM; CALCULATE; **BID** ; PRICE; BASED; GOODS; VARIATION; PRICE; SELECT; DATABASE; TRANSMIT; CALCULATE; **BID** ; PRICE; USER
Derwent Class: T01
International Patent Class (Main): **G06F-017/60**
File Segment: EPI

**16/5/18 (Item 11 from file: 350)**
DIALOG(R)File 350:Derwent WPIX


014419644 **Image available**
WPI Acc No: 2002-240347/200229
XRPX Acc No: N02-185507

**Satellite broadcast resource automatic negotiation system categorizes offer terms and rules for allocation and control according to template parameters**

Patent Assignee: BURKHART R (BURK-I)
Inventor: BURKHART R
Number of Countries: 094 Number of Patents: 003
Patent Family:

| Patent No | Kind | Date | Applicat No | Kind | Date | Week | |
|---|---|---|---|---|---|---|---|
| WO 200184451 | A2 | 20011108 | WO 2001US14446 | A | 20010503 | 200229 | B |
| AU 200162977 | A | 20011112 | AU 200162977 | A | 20010503 | 200229 | |
| US 20030158923 | A1 | 20030821 | US 2000202369 | P | 20000504 | 200356 | |
| | | | US 2001847590 | A | 20010502 | | |

Priority Applications (No Type Date): US 2001847590 A 20010502; US 2000202369 P 20000504
Patent Details:

| Patent No | Kind | Lan | Pg | Main IPC | Filing Notes |
|---|---|---|---|---|---|
| WO 200184451 | A2 | E | 27 | G06F-017/60 | |
| AU 200162977 | A | | | G06F-017/60 | Based on patent WO 200184451 |
| US 20030158923 | A1 | | | G06F-015/173 | Provisional application US 2000202369 |

Designated States (National): AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW
Designated States (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR IE IT KE LS LU MC MW MZ NL OA PT SD SE SL SZ TR TZ UG ZW

Abstract (Basic): WO 200184451 A2

NOVELTY - System operates by inputting admission **rules** ( **auction** arrangement) into a computer, outputting a summary of the **rules** , inputting terms for admission and outputting intermediate determinations or final binding terms for successful offers. The computer outputs command signals to resource or system controllers that reflect binding determinations from the automated negotiation and allocation process and telemetry is input. The resources are a geosynchronous satellite or terrestrial-based wireless transport. A cache stores received content, inputs are from real- **time** elections, the steps are used for cooperative billing, conditional access etc. and an account is debited.

DETAILED DESCRIPTION - There is an INDEPENDENT CLAIM for a method of aggregating system users into a communications neighborhood or community.

USE - System is for automatic negotiation and allocation of a broadcast satellite, communication and caching system resource.

DESCRIPTION OF DRAWING(S) - The figure shows a block diagram of the method.

pp; 27 DwgNo 1/5

Title Terms: SATELLITE; BROADCAST; RESOURCE; AUTOMATIC; NEGOTIATE; SYSTEM; CATEGORY; OFFER; TERM; **RULE** ; ALLOCATE; CONTROL; ACCORD; TEMPLATE; PARAMETER
Derwent Class: T01; T05; W02
International Patent Class (Main): G06F-015/173; **G06F-017/60**
File Segment: EPI

**16/5/19 (Item 12 from file: 350)**
DIALOG(R)File 350:Derwent WPIX


014417344 **Image available**

WPI Acc No: 2002-238047/200229
XRPX Acc No: N02-183314
**Trading facilitation method through Internet and private networks, involves accepting certain purchase instructions for progressive price offers for respective quantities of specific tradeable item**
Patent Assignee: YEO D C L (YEOD-I)
Inventor: YEO D C L
Number of Countries: 002 Number of Patents: 002
Patent Family:

| Patent No | Kind | Date | Applicat No | Kind | Date | Week |
|---|---|---|---|---|---|---|
| US 20010037279 | A1 | 20011101 | US 2001798697 | A | 20010302 | 200229 B |
| SG 93228 | A1 | 20021217 | SG 20001128 | A | 20000303 | 200319 |

Priority Applications (No Type Date): SG 20001128 A 20000303
Patent Details:

| Patent No | Kind | Lan | Pg | Main IPC | Filing Notes |
|---|---|---|---|---|---|
| US 20010037279 | A1 | | 10 | G06F-017/60 | |
| SG 93228 | A1 | | | G06F-017/60 | |

Abstract (Basic): US 20010037279 A1
NOVELTY - Information defining different offer prices for respective quantities of a tradeable item, is provided. Purchase instructions are registered for the respective quantities of the item. Certain purchase instructions are accepted for progressively increasing the price offers.
DETAILED DESCRIPTION - An INDEPENDENT CLAIM is also included for trading facilitation system.
USE - For facilitating trading through Internet, private networks using phone, radio television, facsimile and radio television, public announcement systems, **auction** rooms, etc.
ADVANTAGE - Achieves quick supply of large quantity of stock as the prices start relatively low and increase in small increments. Captures the quick responses from the buyers and allows purchasing at a desired price level. Provides access to information concerning price spread, **policies** , contracts, prices, quantity, **time** and date, etc to the prospective buyers. Avoids artificial inflation of prices through false purchases as the prices are re-determined whenever an offer price is withdrawn in relation to discarded items. Avoids the need of intermediate parties between the buyers and sellers and thereby saves cost for both.
DESCRIPTION OF DRAWING(S) - The figure shows a flowchart of the market facilitation method till the start of sales session by the administrator.
pp; 10 DwgNo 1/2
Title Terms: TRADE; FACILITATE; METHOD; THROUGH; PRIVATE; NETWORK; ACCEPT; PURCHASE; INSTRUCTION; PROGRESS; PRICE; OFFER; RESPECTIVE; QUANTITY; SPECIFIC; ITEM
Derwent Class: T01
International Patent Class (Main): **G06F-017/60**
File Segment: EPI

**16/5/20 (Item 13 from file: 350)**
DIALOG(R)File 350:Derwent WPIX


014325523 **Image available**
WPI Acc No: 2002-146225/200219
**Method for** auctioning **character over online network**
Patent Assignee: KIM J S (KIMJ-I)
Inventor: KIM J S

Number of Countries: 001 Number of Patents: 001
Patent Family:

| Patent No | Kind | Date | Applicat No | Kind | Date | Week |
|---|---|---|---|---|---|---|
| KR 2001085069 | A | 20010907 | KR 200145831 | A | 20010730 | 200219 B |

Priority Applications (No Type Date): KR 200145831 A 20010730
Patent Details:

| Patent No | Kind | Lan | Pg | Main IPC | Filing Notes |
|---|---|---|---|---|---|
| KR 2001085069 | A | | 1 | G06F-017/60 | |

Abstract (Basic): KR 2001085069 A

NOVELTY - An online character **auction** method is provided to enable a user to receive an account from an online game provider, to generate a character on the account, to grow the character via an online game, and to transact the grown game with others over the network.

DETAILED DESCRIPTION - The method comprises the steps of enabling an online game **member** to register a **character** on an **auction** site, generating a list record with a current owner, a game provider, an ID and a password, and generating a state check record for checking a possibility to be listed on an **auction** market(204-212), updating the list record and listing the character in the case that it is possible to list the **character** , enabling **users** to make a **bid** on the character at a price more than a current price(232-241), selecting a user offering the highest **bid** price for the **character** , enabling the successful **bidder** to pay for the character within the time set, and transferring an ownership of the **character** to the successful **bidder** while depositing the payment money at a cyber account of the character seller.

pp; 1 DwgNo 1/10

Title Terms: METHOD; CHARACTER; NETWORK
Derwent Class: T01
International Patent Class (Main): **G06F-017/60**
File Segment: EPI

**16/5/21 (Item 14 from file: 350)**
DIALOG(R)File 350:Derwent WPIX


014299502 **Image available**
WPI Acc No: 2002-120206/200216
**Advertising method using internet game**
Patent Assignee: YANG C S (YANG-I)
Inventor: YANG C S
Number of Countries: 001 Number of Patents: 001
Patent Family:

| Patent No | Kind | Date | Applicat No | Kind | Date | Week |
|---|---|---|---|---|---|---|
| KR 2001082435 | A | 20010830 | KR 200141765 | A | 20010709 | 200216 B |

Priority Applications (No Type Date): KR 200141765 A 20010709
Patent Details:

| Patent No | Kind | Lan | Pg | Main IPC | Filing Notes |
|---|---|---|---|---|---|
| KR 2001082435 | A | | 1 | G06F-017/60 | |

Abstract (Basic): KR 2001082435 A

NOVELTY - An advertising method using an Internet game is provided to obtain an advertising effect continuously by integrally linking a game and an advertisement, thereby inducing a user to play a game with watching the advertisement.

DETAILED DESCRIPTION - A window(6) is provided for inserting a name of a sponsor. In addition, a game card(2) of a user, a cyber money throwing window(3) for a game, a table(4), function keys(5), and a small guiding(buying a commodity, **auction** , official announcement) window(7) for only the sponsor are provided, respectively. If distributing game cards are distributed from an advertisement(9) to each game participator, the order of priority is decided by **rules** in accordance with each picture printed on the front surface of the cards being distributed to the game participators. At this **time** , an advertisement is printed the rear surface of the cards respectively, and the advertisement is naturally shown during the game.

pp; 1 DwgNo 1/10

Title Terms: ADVERTISE; METHOD; GAME
Derwent Class: T01
International Patent Class (Main): **G06F-017/60**
File Segment: EPI

**16/5/22 (Item 15 from file: 350)**



014285201 **Image available**
WPI Acc No: 2002-105902/200214
XRPX Acc No: N02-078824

**Tender inviting method for online** auctions **, involves generating quotes by applying business** rules **obtained from responders to quote request received from requester**

Patent Assignee: PERFECT.COM (PERF-N)
Inventor: GALL U; LAVIN J K; MILGROM P R; MINES R F; SAKOVA Z
Number of Countries: 093 Number of Patents: 002
Patent Family:

| Patent No | Kind | Date | Applicat No | Kind | Date | Week | |
|---|---|---|---|---|---|---|---|
| WO 200171626 | A2 | 20010927 | WO 2001US9024 | A | 20010320 | 200214 | B |
| AU 200145910 | A | 20011003 | AU 200145910 | A | 20010320 | 200214 | |

Priority Applications (No Type Date): US 2000532663 A 20000321
Patent Details:

| Patent No | Kind | Lan | Pg | Main IPC | Filing Notes |
|---|---|---|---|---|---|
| WO 200171626 | A2 | E | 48 | G06F-017/60 | |

Designated States (National): AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW
Designated States (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR IE IT KE LS LU MC MW MZ NL OA PT SD SE SL SZ TR TZ UG ZW

| | | | | |
|---|---|---|---|---|
| AU 200145910 | A | | G06F-017/60 | Based on patent WO 200171626 |

Abstract (Basic): WO 200171626 A2

NOVELTY - Business **rules** obtained from multiple responders are applied to a quote request (RFQ), containing information about the requester's needs and requester's preferences for purchasing or selling a product received from the requester. Quotes are generated automatically based on the business **rules** .

DETAILED DESCRIPTION - INDEPENDENT CLAIMS are also included for the following:

(a) Online **auction** facilitating method;

(b) Computerized system for facilitating online **auctions**

USE - For inviting tenders in online **auctions** .

ADVANTAGE - Since the buyer receives a list of offers in a very

short **time** , amount of **time** needed to complete a transaction is reduced. Allows buyers to submit complex requests for quotation and sellers to provide quotation, cost effectively and efficiently.

DESCRIPTION OF DRAWING(S) - The figure shows a flow chart illustrating steps of the present invention.

pp; 48 DwgNo 1/6

Title Terms: TENDER; METHOD; **AUCTION** ; GENERATE; APPLY; BUSINESS; **RULE** ; OBTAIN; RESPOND; REQUEST; RECEIVE

Derwent Class: T01

International Patent Class (Main): **G06F-017/60**

File Segment: EPI

**16/5/23 (Item 16 from file: 350)**

DIALOG(R)File 350:Derwent WPIX



014172842 **Image available**

WPI Acc No: 2001-657070/200175

Related WPI Acc No: 1998-495418

XRPX Acc No: N01-489784

**Automated** auction **for energy providers and buyers uses moderating computer to transmit and receive** bids **from energy suppliers**

Patent Assignee: GEOPHONIC NETWORKS INC (GEOP-N); US GOVERNMENT (USGO )

Inventor: COYLE W F; JOHNSON J J

Number of Countries: 088 Number of Patents: 004

Patent Family:

| Patent No | Kind | Date | Applicat No | Kind | Date | Week | |
|---|---|---|---|---|---|---|---|
| WO 200175756 | A1 | 20011011 | WO 2001US8639 | A | 20010404 | 200175 | B |
| AU 200150869 | A | 20011015 | AU 200150869 | A | 20010404 | 200209 | |
| US 20030023540 | A2 | 20030130 | US 2000542451 | A | 20000404 | 200311 | |
| | | | US 200262798 | A | 20020131 | | |
| US 6598029 | B1 | 20030722 | US 9739041 | P | 19970224 | 200354 | |
| | | | US 9764421 | P | 19971030 | | |
| | | | US 9823968 | A | 19980213 | | |
| | | | US 2000542451 | A | 20000404 | | |

Priority Applications (No Type Date): US 2000542451 A 20000404; US 200262798 A 20020131; US 9739041 P 19970224; US 9764421 P 19971030; US 9823968 A 19980213

Patent Details:

| Patent No | Kind | Lan | Pg | Main IPC | Filing Notes |
|---|---|---|---|---|---|
| WO 200175756 | A1 | E | 99 | G06F-017/60 | |

Designated States (National): AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DK EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT UA UG US UZ VN YU ZA ZW

Designated States (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR IE IT KE LS LU MC MW MZ NL OA PT SD SE SL SZ TR TZ UG ZW

| | | | |
|---|---|---|---|
| AU 200150869 | A | G06F-017/60 | Based on patent WO 200175756 |
| US 20030023540 | A2 | G06F-017/60 | Cont of application US 2000542451 |
| US 6598029 | B1 | G06F-017/60 | Provisional application US 9739041 |
| | | | Provisional application US 9764421 |
| | | | CIP of application US 9823968 |
| | | | CIP of patent US 6047274 |

Abstract (Basic): WO 200175756 A1

NOVELTY - Moderating computer receives **bids** and transmits them to other energy suppliers who can adjust **bids** depending on whether they wish to encourage or discourage additional energy supply to particular geographic area.

DETAILED DESCRIPTION - Method consists in formulating a request for energy supply delivery at a future **time** and transmitting it to a moderating computer which sends the request to energy providers. These send a response with economic incentive data to the computer which then sends the relevant incentive or derivative data to buyers and providers using decision **rules** . The buyer transmits the request by entering the data into a software defined template and the computer posts processed requests on a computer bulletin board. The provider responds to requests by entering data in a second software defined template There are INDEPENDENT CLAIMS for (1) a **bidding** moderator for an automated **auction** , (2) a method of billing a buyer for energy used, (3) a method of managing energy provision.

USE - **Auction** is for providing energy supplies such as electrical power and natural gas.

DESCRIPTION OF DRAWING(S) - The figure shows shared data links between different entities in the system.

pp; 99 DwgNo 1/16

Title Terms: AUTOMATIC; **AUCTION** ; ENERGY; BUY; MODERATE; COMPUTER; TRANSMIT; RECEIVE; **BID** ; ENERGY; SUPPLY

Derwent Class: T01

International Patent Class (Main): **G06F-017/60**

International Patent Class (Additional): G01R-011/56; G01R-021/133; G06F-017/00

File Segment: EPI

**16/5/24 (Item 17 from file: 350)**

DIALOG(R)File 350:Derwent WPIX



014132111 **Image available**

WPI Acc No: 2001-616322/200171

Related WPI Acc No: 2001-408797

XRPX Acc No: N01-459741

**Interactive business process setting-up method in transportation industry, involves allowing user to create user definable business process by accepting definition instructions from user**

Patent Assignee: FULTON INT CORP (FULT-N)

Inventor: QI-BIN B; SHAO W; SUN J J; SUN K; ZHANG J; ZHANG Y

Number of Countries: 093 Number of Patents: 002

Patent Family:

| Patent No | Kind | Date | Applicat No | Kind | Date | Week | |
|---|---|---|---|---|---|---|---|
| WO 200169485 | A1 | 20010920 | WO 2001US4770 | A | 20010214 | 200171 | B |
| AU 200138272 | A | 20010924 | AU 200138272 | A | 20010214 | 200208 | |

Priority Applications (No Type Date): US 2000649830 A 20000829; US 2000189104 P 20000314

Patent Details:

| Patent No | Kind | Lan | Pg | Main IPC | Filing Notes |
|---|---|---|---|---|---|
| WO 200169485 | A1 | E | 103 | G06F-017/60 | |

Designated States (National): AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW

Designated States (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR IE IT KE LS LU MC MW MZ NL OA PT SD SE SL SZ TR TZ UG ZW

AU 200138272 A G06F-017/60 Based on patent WO 200169485

Abstract (Basic): WO 200169485 A1

NOVELTY - An user is allowed to create a user definable business

process by accepting definition instructions including **rules** , roles to be taken by system users and data fields containing information for a business process from the user. The accessibility of certain information within the data fields is a function of **time** and system authority.

DETAILED DESCRIPTION - INDEPENDENT CLAIMS are also included for the following:

(a) Method for defining an interactive business process;
(b) Apparatus for defining an interactive business process;
(c) Method for performing a business process;
(d) System for performing a business process;
(e) Method for automating communication between business users;
(f) Apparatus for automating communication between business users;
(g) Method for conducting interactive business processes;
(h) Method for evaluating a school admission application;
(i) Method for processing a purchase order;
(j) Method for delivering a product

USE - For conducting interactive business-to-consumer, consumer-to-business, business-to-business and/or person-to-person business processing and communications used in transportation industry processes such as airline ticket reservations, shipping, forwarding, trucking or delivery, in financial industry processes such as on-line banking, lending and borrowing, security exchange, mortgages, or accounting, in educational industry processes such as school admission, grant applications, project management and monitoring, on-line conferencing, homework assignments for school or on-line examinations, in procurement industry processes such as **bidding** , buying, selling or **auctioning** of goods, in retail and service industries processes such as on-line shopping, reservations and confirmation for car rentals, hotels or restaurants, in medical, pharmaceutical and health care industries processes such as clinic visit scheduling or on-line medical expert consulting, in insurance industry processes such as application and approval for insurance and advertising industry.

ADVANTAGE - The distribution of information to various parties to business processes, is automated. A detailed business process is carried out in a structured approach in which various users of the process are kept appraised of the status of the process.

DESCRIPTION OF DRAWING(S) - The figure shows the block diagram of a central computer system.

pp; 103 DwgNo 2/29

Title Terms: INTERACT; BUSINESS; PROCESS; SET; UP; METHOD; TRANSPORT; INDUSTRIAL; ALLOW; USER; USER; DEFINE; BUSINESS; PROCESS; ACCEPT; DEFINE; INSTRUCTION; USER

Derwent Class: T01

International Patent Class (Main): **G06F-017/60**

File Segment: EPI

**16/5/25 (Item 18 from file: 350)**

DIALOG(R)File 350:Derwent WPIX



014030608 **Image available**

WPI Acc No: 2001-514822/200156

XRPX Acc No: N01-381328

**Computerized community rating determining method for on-line commerce, involves performing function on** characteristic **value of all** user **related to one particular user**

Patent Assignee: EBAY INC (EBAY-N)

Inventor: KNEPFLE J D; MALTZMAN R; RATTERMAN R J

Number of Countries: 094 Number of Patents: 002
Patent Family:

| Patent No | Kind | Date | Applicat No | Kind | Date | Week | |
|---|---|---|---|---|---|---|---|
| WO 200161601 | A1 | 20010823 | WO 2001US4811 | A | 20010214 | 200156 | B |
| AU 200139769 | A | 20010827 | AU 200139769 | A | 20010214 | 200176 | |

Priority Applications (No Type Date): US 2000503960 A 20000214
Patent Details:

| Patent No | Kind | Lan | Pg | Main IPC | Filing Notes |
|---|---|---|---|---|---|
| WO 200161601 | A1 | E | 27 | G06F-017/60 | |
| AU 200139769 | A | | | G06F-017/60 | Based on patent WO 200161601 |

Designated States (National): AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW
Designated States (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR IE IT KE LS LU MC MW MZ NL OA PT SD SE SL SZ TR TZ UG ZW

Abstract (Basic): WO 200161601 A1

NOVELTY - A **characteristic** value for each **user** among multiple users and a set of relationships between the users (121-127) are maintained. A community ratings (231-237) for one particular user is derived, by performing a function on **characteristic** valve of all **user** related to that particular user.

DETAILED DESCRIPTION - INDEPENDENT CLAIMS are also included for the following:

(a) Computer-readable medium storing computer executable instructions;

(b) Computer system for determining community rating for one particular user

USE - For electronic environments like online trading environment, online shopping site, online **auctioning** site, online person-to-person trading site, online gaming site, etc.

ADVANTAGE - Enhances on-line trading experience for both buyers and sellers, thereby increasing community registrations and pool of potential trading partners.

DESCRIPTION OF DRAWING(S) - The figure shows the block diagram of community feedback rating determining system.

Users (121-127)

Community ratings (231-237)

pp; 27 DwgNo 2/6

Title Terms: COMMUNAL; RATING; DETERMINE; METHOD; LINE; PERFORMANCE; FUNCTION; CHARACTERISTIC; VALUE; USER; RELATED; ONE; USER
Derwent Class: T01
International Patent Class (Main): **G06F-017/60**
File Segment: EPI

**16/5/26 (Item 19 from file: 350)**
DIALOG(R)File 350:Derwent WPIX


013981450 **Image available**
WPI Acc No: 2001-465664/200150
XRPX Acc No: N01-345441

**On-line** bid **management system for automatically managing an** auction **for determining relative priority for a service in a system, includes checking** bids **for continuing priority and incrementing** bid **values to influence priority**

Patent Assignee: WEB MARKETING NU INC (WEBM-N)

Inventor: KONIA B S
Number of Countries: 093 Number of Patents: 002
Patent Family:

| Patent No | Kind | Date | Applicat No | Kind | Date | Week | |
|---|---|---|---|---|---|---|---|
| WO 200155929 | A1 | 20010802 | WO 2001US1136 | A | 20010111 | 200150 | B |
| AU 200129428 | A | 20010807 | AU 200129428 | A | 20010111 | 200174 | |

Priority Applications (No Type Date): US 2000491747 A 20000127
Patent Details:

| Patent No | Kind | Lan | Pg | Main IPC | Filing Notes |
|---|---|---|---|---|---|
| WO 200155929 | A1 | E | 38 | G06F-017/60 | |

Designated States (National): AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW
Designated States (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR IE IT KE LS LU MC MW MZ NL OA PT SD SE SL SZ TR TZ UG ZW

| | | | | |
|---|---|---|---|---|
| AU 200129428 | A | | G06F-017/60 | Based on patent WO 200155929 |

Abstract (Basic): WO 200155929 A1

NOVELTY - At **timed intervals** , e.g. each quarter day (200), **bids** are checked (208) for all bidders (202) and search engines (204) to determine which **bid** value is highest. **Bids** may be decremented/incremented within user specified limits, the checking and incrementing steps may be executed a number of **times** (216,222,224) to achieve desired positions.

DETAILED DESCRIPTION - An INDEPENDENT CLAIM is also included for a vendor inventory control system.

USE - For use in on-line **auctions** to determine relative priority for a service, e.g. **bidding** for Internet search engine key-phrases where the advertiser with the highest **bid** for a given key-phrase appears first in the list, the second appears second, etc..

ADVANTAGE - The ability to check priorities at specified **time intervals** and to increment **bids** , provides a system that regularly monitors current rankings and can adjust **bids** according specified **rules** .

DESCRIPTION OF DRAWING(S) - The figure is a flow diagram illustrating a method of on-line **bid** management.

pp; 38 DwgNo 2/12

Title Terms: LINE; **BID** ; MANAGEMENT; SYSTEM; AUTOMATIC; MANAGE; **AUCTION** ; DETERMINE; RELATIVE; PRIORITY; SERVICE; SYSTEM; CHECK; **BID** ; CONTINUE; PRIORITY; INCREMENT; **BID** ; VALUE; INFLUENCE; PRIORITY
Derwent Class: T01
International Patent Class (Main): **G06F-017/60**
File Segment: EPI

**16/5/27 (Item 20 from file: 350)**
DIALOG(R)File 350:Derwent WPIX


013967058 **Image available**
WPI Acc No: 2001-451272/200148
Related WPI Acc No: 2001-441185; 2001-451269; 2001-638742
XRPX Acc No: N01-334168
**Computerized** auction **system using multiple purchase media with different program units to receive and display** bids **according to a particular program allowing use of different media types and currencies**
Patent Assignee: SCHOENECKERS INC (SCHO-N)
Inventor: BINZEN S; JACK J M

Number of Countries: 026 Number of Patents: 002
Patent Family:

| Patent No | Kind | Date | Applicat No | Kind | Date | Week | |
|---|---|---|---|---|---|---|---|
| WO 200122321 | A2 | 20010329 | WO 2000US25777 | A | 20000921 | 200148 | B |
| EP 1218840 | A2 | 20020703 | EP 2000965200 | A | 20000921 | 200251 | |
| | | | WO 2000US25777 | A | 20000921 | | |

Priority Applications (No Type Date): US 2000637728 A 20000811; US 99155282 P 19990921
Patent Details:

| Patent No | Kind | Lan | Pg | Main IPC | Filing Notes |
|---|---|---|---|---|---|
| WO 200122321 | A2 | E | 62 | G06F-017/60 | |
| Designated States (National): CA | | | | | |
| Designated States (Regional): AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE | | | | | |
| EP 1218840 | A2 | E | | G06F-017/60 | Based on patent WO 200122321 |
| Designated States (Regional): AL AT BE CH CY DE DK ES FI FR GB GR IE IT LI LT LU LV MC MK NL PT RO SE SI | | | | | |

Abstract (Basic): WO 200122321 A2
NOVELTY - An **auction** server (204) provides web pages to and receives input from a client web browser (202) and can maintain an **auction** database (210) of items available for **bidding** . The start **auction** process continually monitors an **auction** table of the database and denomination maintenance functions are performed by back-end services (208) also providing product category functions.
DETAILED DESCRIPTION - An incentive reward system (206) implements **rules** of incentive rewards and a participant supplies personal details and then goes to the **auction** page showing **bid** items, **time** remaining and the current **bid** , while the **auction** server calculates conversions between different media types and currencies.
INDEPENDENT CLAIMS are included for methods for displaying **auction** **bid** data and for updating **auction** **bid** data and for a computer readable medium with instructions.
USE - Computerized **auction** **bidding** using different purchase media.
ADVANTAGE - Providing **auction** services using multiple media types.
DESCRIPTION OF DRAWING(S) - The drawing is a diagram illustrating the system
**Auction** server (204)
Web browser (202)
**Auction** database (210)
Back-end services (208)
Reward system (206)
pp; 62 DwgNo 2/13
Title Terms: COMPUTER; **AUCTION** ; SYSTEM; MULTIPLE; PURCHASE; MEDIUM; PROGRAM; UNIT; RECEIVE; DISPLAY; **BID** ; ACCORD; PROGRAM; ALLOW; MEDIUM; TYPE
Derwent Class: T01
International Patent Class (Main): **G06F-017/60**
File Segment: EPI

**16/5/28 (Item 21 from file: 350)**
DIALOG(R)File 350:Derwent WPIX


013251161 **Image available**
WPI Acc No: 2000-423044/200036

Related WPI Acc No: 2000-412468; 2000-423042
XRPX Acc No: N00-315699
**Advertisement** auctioning **method involves transmitting advertisement from provider computer system to consumer corresponding to advertisement opportunity**
Patent Assignee: TELECOM PARTNERS LTD (TELE-N); EXPANSE NETWORKS INC (EXPA-N)
Inventor: ELDERING C A
Number of Countries: 089 Number of Patents: 003
Patent Family:

| Patent No | Kind | Date | Applicat No | Kind | Date | Week | |
|---|---|---|---|---|---|---|---|
| WO 200033163 | A2 | 20000608 | WO 99US28629 | A | 19991202 | 200036 | B |
| AU 200020386 | A | 20000619 | AU 200020386 | A | 19991202 | 200044 | |
| US 6324519 | B1 | 20011127 | US 99268520 | A | 19990312 | 200175 | |

Priority Applications (No Type Date): US 99268520 A 19990312; US 98204888 A 19981203
Patent Details:

| Patent No | Kind | Lan | Pg | Main IPC | Filing Notes |
|---|---|---|---|---|---|
| WO 200033163 | A2 | E | 47 | G06F-000/00 | |

Designated States (National): AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK DM EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW
Designated States (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR IE IT KE LS LU MC MW NL OA PT SD SE SL SZ TZ UG ZW

| | | | |
|---|---|---|---|
| AU 200020386 | A | G06F-000/00 | Based on patent WO 200033163 |
| US 6324519 | B1 | G06F-017/60 | |

Abstract (Basic): WO 200033163 A2
NOVELTY - A provider system provides advertisement opportunity notification, based on opportunity to transmit an advertisement to consumer. Correlation factor between advertisement **characterization** and **consumer** in profiler computer system is computed and sent to advertiser computer system. The provider system successfully sends advertisement to consumer based on advertisement opportunity.
DETAILED DESCRIPTION - INDEPENDENT CLAIMS are also included for the following:
(a) data processing system;
(b) computer program for data processing
USE - Advertisement **auctioning** system.
ADVANTAGE - The provider has the ability to maximize revenue for the advertising opportunity, when the advertisers can target their advertisements at **consumers** with appropriate demographic **characteristics** .
DESCRIPTION OF DRAWING(S) - The figure shows the relationship diagram of advertisement **auctioning** system.
pp; 47 DwgNo 1A/7
Title Terms: ADVERTISE; METHOD; TRANSMIT; ADVERTISE; COMPUTER; SYSTEM; CONSUME; CORRESPOND; ADVERTISE
Derwent Class: T01
International Patent Class (Main): G06F-000/00; **G06F-017/60**
File Segment: EPI

**16/5/29 (Item 22 from file: 350)**
DIALOG(R)File 350:Derwent WPIX


013166931 **Image available**

WPI Acc No: 2000-338804/200029
Related WPI Acc No: 2001-080053; 2001-522234; 2001-557232; 2001-625024; 2002-040740; 2002-040793; 2002-589837
XRPX Acc No: N00-254336
**Online** bidding auction **conducting method, involves extending closing** time **of secondary lot, when extended closing** time **of primary lot precedes that of secondary lot by less than preset** time interval
Patent Assignee: FREEMARKETS INC (FREE-N); FREE MARKETS ONLINE INC (FREE-N); FREEMARKETS ONLINE INC (FREE-N)
Inventor: ALAIA M; BECKER D J; BERNARD A F; HECKMANN D C; KINNEY S E; MEAKAM G T; RAGO V E; RENEAU J; ROBERTS F W; RUPP W D; STEVENS R G; MEAKEM G T; RAGO V F
Number of Countries: 090 Number of Patents: 018
Patent Family:

| Patent No | Kind | Date | Applicat No | Kind | Date | Week | |
|---|---|---|---|---|---|---|---|
| WO 200017797 | A1 | 20000330 | WO 99US21600 | A | 19990917 | 200029 | B |
| AU 9963929 | A | 20000410 | AU 9963929 | A | 19990917 | 200035 | |
| US 6199050 | B1 | 20010306 | US 98101141 | A | 19980918 | 200115 | |
| | | | US 98110846 | A | 19981204 | | |
| | | | US 99252790 | A | 19990219 | | |
| | | | US 99311556 | A | 19990514 | | |
| US 6216114 | B1 | 20010410 | US 98101141 | A | 19980918 | 200122 | |
| | | | US 98110846 | A | 19981204 | | |
| | | | US 99252790 | A | 19990219 | | |
| | | | US 99311557 | A | 19990514 | | |
| US 6223167 | B1 | 20010424 | US 98101141 | A | 19980918 | 200125 | |
| | | | US 98110846 | A | 19981204 | | |
| | | | US 99252790 | A | 19990219 | | |
| | | | US 99311559 | A | 19990514 | | |
| US 6230146 | B1 | 20010508 | US 98101141 | A | 19980918 | 200128 | |
| | | | US 98110846 | A | 19981204 | | |
| | | | US 99252790 | A | 19990219 | | |
| US 6230147 | B1 | 20010508 | US 98101141 | A | 19980918 | 200128 | |
| | | | US 98110846 | A | 19981204 | | |
| | | | US 99252790 | A | 19990219 | | |
| | | | US 99311555 | A | 19990514 | | |
| EP 1114384 | A1 | 20010711 | EP 99951498 | A | 19990917 | 200140 | |
| | | | WO 99US21600 | A | 19990917 | | |
| EP 1122667 | A2 | 20010808 | EP 99951498 | A | 19990917 | 200146 | |
| | | | EP 2001111702 | A | 19990917 | | |
| EP 1122668 | A2 | 20010808 | EP 99951498 | A | 19990917 | 200146 | |
| | | | EP 2001111703 | A | 19990917 | | |
| EP 1122669 | A2 | 20010808 | EP 99951498 | A | 19990917 | 200146 | |
| | | | EP 2001111712 | A | 19990917 | | |
| US 20010027434 | A1 | 20011004 | US 99311557 | A | 19990514 | 200161 | N |
| | | | US 2001828731 | A | 20010409 | | |
| US 20010032173 | A1 | 20011018 | US 99252790 | A | 19990219 | 200166 | |
| | | | US 2001832381 | A | 20010411 | | |
| US 20010037285 | A1 | 20011101 | US 99311559 | A | 19990514 | 200168 | N |
| | | | US 2001832408 | A | 20010411 | | |
| US 20020046148 | A1 | 20020418 | US 99252790 | A | 19990219 | 200228 | |
| | | | US 99311555 | A | 19990514 | | |
| | | | US 2001832437 | A | 20010411 | | |
| US 6408283 | B1 | 20020618 | US 98101141 | A | 19980918 | 200244 | |
| | | | US 98110846 | A | 19981204 | | |
| | | | US 99252790 | A | 19990219 | | |
| | | | US 99311582 | A | 19990514 | | |
| JP 2002525760 | W | 20020813 | WO 99US21600 | A | 19990917 | 200267 | |
| | | | JP 2000571387 | A | 19990917 | | |
| US 6499018 | B1 | 20021224 | US 98101141 | A | 19980918 | 200303 | |
| | | | US 98110846 | A | 19981204 | | |

US 99252790 A 19990219
US 99311558 A 19990514

Priority Applications (No Type Date): US 99252790 A 19990219; US 98101141 P 19980918; US 98110846 P 19981204; US 99311556 A 19990514; US 99311557 A 19990514; US 99311559 A 19990514; US 99311555 A 19990514; US 2001828731 A 20010409; US 2001832381 A 20010411; US 2001832408 A 20010411; US 2001832437 A 20010411; US 99311582 A 19990514; US 99311558 A 19990514

Patent Details:

| Patent No | Kind | Lan | Pg | Main IPC | Filing Notes |
|---|---|---|---|---|---|
| WO 200017797 | A1 | E | 69 | G06F-017/60 | |
| | Designated States (National): AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK DM EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW | | | | |
| | Designated States (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR IE IT KE LS LU MC MW NL OA PT SD SE SL SZ TZ UG ZW | | | | |
| AU 9963929 | A | | | G06F-017/60 | Based on patent WO 200017797 |
| US 6199050 | B1 | | | G06F-015/30 | Provisional application US 98101141<br>Provisional application US 98110846<br>Div ex application US 99252790 |
| US 6216114 | B1 | | | G06F-017/60 | Provisional application US 98101141<br>Provisional application US 98110846<br>Div ex application US 99252790 |
| US 6223167 | B1 | | | G06F-017/60 | Provisional application US 98101141<br>Provisional application US 98110846<br>Div ex application US 99252790 |
| US 6230146 | B1 | | | G06F-017/60 | Provisional application US 98101141<br>Provisional application US 98110846 |
| US 6230147 | B1 | | | G06F-017/60 | Provisional application US 98101141<br>Provisional application US 98110846<br>Div ex application US 99252790 |
| EP 1114384 | A1 | E | | G06F-017/60 | Based on patent WO 200017797 |
| | Designated States (Regional): AT BE CH CY DE DK ES FI FR GB GR IE IT LI LU MC NL PT SE | | | | |
| EP 1122667 | A2 | E | | G06F-017/60 | Div ex application EP 99951498<br>Div ex patent EP 1114384 |
| | Designated States (Regional): AT BE CH CY DE DK ES FI FR GB GR IE IT LI LU MC NL PT SE | | | | |
| EP 1122668 | A2 | E | | G06F-017/60 | Div ex application EP 99951498<br>Div ex patent EP 1114384 |
| | Designated States (Regional): AT BE CH CY DE DK ES FI FR GB GR IE IT LI LU MC NL PT SE | | | | |
| EP 1122669 | A2 | E | | G06F-017/60 | Div ex application EP 99951498<br>Div ex patent EP 1114384 |
| | Designated States (Regional): AT BE CH CY DE DK ES FI FR GB GR IE IT LI LU MC NL PT SE | | | | |
| US 20010027434 | A1 | | | G06F-017/60 | Cont of application US 99311557<br>Cont of patent US 6216114 |
| US 20010032173 | A1 | | | G06F-017/60 | Cont of application US 99252790<br>Cont of patent US 6230146 |
| US 20010037285 | A1 | | | G06F-017/60 | Cont of application US 99311559<br>Cont of patent US 6223167 |
| US 20020046148 | A1 | | | G06F-017/60 | Div ex application US 99252790<br>Cont of application US 99311555<br>Div ex patent US 6230146<br>Cont of patent US 6230147 |
| US 6408283 | B1 | | | G06F-015/30 | Provisional application US 98101141<br>Provisional application US 98110846<br>Div ex application US 99252790 |
| JP 2002525760 | W | | 93 | G06F-017/60 | Based on patent WO 200017797 |
| US 6499018 | B1 | | | G06F-015/30 | Provisional application US 98101141 |

Provisional application US 98110846
Div ex application US 99252790

Abstract (Basic): WO 200017797 A1

NOVELTY - The closing **time** of primary lot is extended by an incremental amount of **time** , upon the occurrence of specific lot extension criterion related to the received **bids** . The closing **time** of secondary lot is extended when the closing **time** of primary lot precedes the closing **time** of secondary lot by less than specific **time interval** .

DETAILED DESCRIPTION - Initially, primary and secondary lots having at least one product defined at least on part by a buyer are offered to several potential sellers. Then, closing **time** for primary and secondary lots is defined, such that the **bids** for respective lots are to be submitted by the potential sells before the corresponding closing **times** . The closing **time** for secondary lot is being later than that for primary lot by preset **time interval** . INDEPENDENT CLAIMS are also included for the following:

(a) **bidding** method in **auction** between buyer and potential sellers;

(b) program for conducting online **bidding** electronic **auctions**

USE - For conducting online **bidding** electronic **auctions** for industrial purchasers and also between buyer and several potential sellers.

ADVANTAGE - Enables flexible dynamic alterations of market closing **times** , line item decision **rules** , **auction** pause, bidder-specific **bid** limits and to detect and prevent erroneous **bids** . The amount of overtime added each **time** to relevant new **bid** can be adjusted to suit the complexity and size of the market lots involved in the **bidding** event, thereby permitting bidders to have more overtime respond to each new **bid** when the commodity complexity or market lot size require additional **bid** calculation **time** .

DESCRIPTION OF DRAWING(S) - The figure shows the schematic illustration of elements and entities involved in the **auction** process.

pp; 69 DwgNo 1/15

Title Terms: **BID** ; **AUCTION** ; CONDUCTING; METHOD; EXTEND; CLOSE; **TIME** ; SECONDARY; LOT; EXTEND; CLOSE; **TIME** ; PRIMARY; LOT; PRECEDE; SECONDARY; LOT; LESS; PRESET; **TIME** ; **INTERVAL**

Derwent Class: T01

International Patent Class (Main): G06F-015/30; **G06F-017/60**

File Segment: EPI

**16/5/30 (Item 23 from file: 350)**

DIALOG(R)File 350:Derwent WPIX



012804267 **Image available**

WPI Acc No: 1999-610497/199952

XRPX Acc No: N99-449813

**Advertisement information access management method in internet**

Patent Assignee: AT & T CORP (AMTT )

Inventor: HANSON B L; HUBER K M

Number of Countries: 001 Number of Patents: 001

Patent Family:

| Patent No | Kind | Date | Applicat No | Kind | Date | Week | |
|---|---|---|---|---|---|---|---|
| US 5974398 | A | 19991026 | US 97838863 | A | 19970411 | 199952 | B |

Priority Applications (No Type Date): US 97838863 A 19970411

Patent Details:
Patent No  Kind Lan Pg  Main IPC  Filing Notes
US 5974398  A  25 G06F-017/60

Abstract (Basic): US 5974398 A

NOVELTY - The computed **bid** values related to retained advertiser, are transmitted from network to user. The selected **bid** value corresponding to selected advertiser is received from user and advertising message related to selected advertiser is transmitted from network to user, for display. A reward corresponding to selected **bid** value is offered to user, based on the selected advertiser.

DETAILED DESCRIPTION - The advertiser's maximum and minimum **bids** for absentee **bidding** , are received at the network side. The user profile and two **user characteristic** specifications associated with respective advertiser, on the network are accessed. The **user characteristics** from the **user** profile is compared with that from advertiser specification. If one of two selected advertisers has a maximum **bid** less than the first highest maximum **bid** , the corresponding advertiser is dropped from **bidding** . If the selected advertiser has a maximum **bid** greater than the second highest minimum **bid** , then the corresponding advertiser is retained in the **bidding** . An INDEPENDENT CLAIM is also included for advertisement information access management system in internet.

USE - For access management of advertisement information for online, interactive information and entertainment services in network such as internet, telephone, cable television, direct television, satellite communication and radio frequency communication networks, and also for tennis bulletin board.

ADVANTAGE - The database uses customer interest profiles and online service usage data to identify particular **user characteristic** to advertisers. The advertiser can also interact directly with the online service platform, for e.g. by interacting with a human representative of the online service, or automatically using conventional automated response platforms. Enables usage of conventional procedures for storing and retrieving from databases. The value of the offer or **bid auctioned** to the user can be established based on the user's income or age.

DESCRIPTION OF DRAWING(S) - The figure shows the online advertising service in internet.

pp; 25 DwgNo 12/15

Title Terms: ADVERTISE; INFORMATION; ACCESS; MANAGEMENT; METHOD
Derwent Class: T01
International Patent Class (Main): **G06F-017/60**
File Segment: EPI

**16/5/31  (Item 24 from file: 350)**
DIALOG(R)File 350:Derwent WPIX


011167242  **Image available**
WPI Acc No: 1997-145167/199713
XRPX Acc No: N97-120188
**Vacation and holiday scheduling system - uses number of objects for assisting business in managing vacation and holiday scheduling of employees, and enabling employees to select vacations and holidays**
Patent Assignee: IEX CORP (IEXI-N)
Inventor: GREEN E E
Number of Countries: 020  Number of Patents: 004
Patent Family:

| Patent No | Kind | Date | Applicat No | Kind | Date | Week | |
|---|---|---|---|---|---|---|---|
| WO 9642062 | A1 | 19961227 | WO 96US10088 | A | 19960610 | 199713 | B |
| AU 9662738 | A | 19970109 | AU 9662738 | A | 19960610 | 199717 | |
| EP 870259 | A1 | 19981014 | EP 96921532 | A | 19960610 | 199845 | |
| | | | WO 96US10088 | A | 19960610 | | |
| US 6192346 | B1 | 20010220 | US 95484207 | A | 19950608 | 200112 | |

Priority Applications (No Type Date): US 95484207 A 19950608
Cited Patents: US 5343387
Patent Details:

| Patent No | Kind | Lan | Pg | Main IPC | Filing Notes |
|---|---|---|---|---|---|
| WO 9642062 | A1 | E | 20 | G06F-017/60 | |
| Designated States (National): AU CA | | | | | |
| Designated States (Regional): AT BE CH DE DK ES FI FR GB GR IE IT LU MC NL PT SE | | | | | |
| AU 9662738 | A | | | G06F-017/60 | Based on patent WO 9642062 |
| EP 870259 | A1 | E | | G06F-017/60 | Based on patent WO 9642062 |
| Designated States (Regional): AT DE ES FR GB IE IT SE | | | | | |
| US 6192346 | B1 | | | G06F-017/60 | |

Abstract (Basic): WO 9642062 A

The vacation and holiday scheduling system includes a variety of objects (24,26,28,30,32) to assist a business in controlling and managing the scheduling of vacations by their employees, and for assisting the employees in **bidding** on vacation days and holidays based upon employee seniority. An administration object (24) enables a user to set up the conditions and **rules** by which the vacation and holiday scheduling system will function, and a plan object (26) enables the determination of business unit and management unit staffing requirements for a particular business.

These requirements can be downloaded from a remote force management system of may be generated locally. A calculate vacation days object (28) enables the system to determine the number of vacation days which have been earned by each agent within a business unit. The agent information and vacation days calculated are displayed via a vacation profile object which gives complete information concerning the vacation requirements and the selections of each employee. A **bidding** object (32) allows employees to access the vacation and holiday planning system, and select available holiday and vacation **periods** on a seniority basis.

USE - Scheduling of agent vacations over number of site locations, and allowing needs of business to be efficiently met.

Dwg.2/7

Title Terms: HOLIDAY; SCHEDULE; SYSTEM; NUMBER; OBJECT; ASSIST; BUSINESS; MANAGE; HOLIDAY; SCHEDULE; EMPLOY; ENABLE; EMPLOY; SELECT
Derwent Class: T01
International Patent Class (Main): **G06F-017/60**
File Segment: EPI

```
Set     Items   Description
S1          0   AU=(JORASCH J? OR JORASCH, J?)
S2     380139   AUCTION?
S3    1531880   BIDS OR BIDDED OR BID OR BIDDING
S4     108186   PROXY OR PROXIES
S5    1729531   BEHAVIOR? OR BEHAVIOUR? OR PERCEPT? OR PERCEIV? OR CHARACT-
            ER?
S6    2295242   RULE? ? OR GUIDELINE?
S7        447   S4(3N)S3
S8        182   S7(S)S2
S9          4   S8(S)S5
S10     123843   S5(5N)(BIDDER? OR CONSUMER? OR CUSTOMER? OR USER? OR MEMBE-
            R? ? OR PEOPLE OR CLIENT? OR SUBSCRIBER? OR PARTICIPANT?)
S11      11416   S10(20N)(TIME? ? OR INTERVAL? OR PERIOD? OR MINUTES OR HOU-
            R??)
S12         26   S11(S)S2
S13         32   S11(25N)S3
S14        235   S8 OR S12 OR S13
S15         49   S14 NOT PY>1999
S16         32   RD (unique items)
File  20:Dialog Global Reporter 1997-2004/May 14
         (c) 2004 The Dialog Corp.
File 476:Financial Times Fulltext 1982-2004/May 14
         (c) 2004 Financial Times Ltd
File 610:Business Wire 1999-2004/May 14
         (c) 2004 Business Wire.
File 613:PR Newswire 1999-2004/May 14
         (c) 2004 PR Newswire Association Inc
File 624:McGraw-Hill Publications 1985-2004/May 13
         (c) 2004 McGraw-Hill Co. Inc
File 634:San Jose Mercury  Jun 1985-2004/May 12
         (c) 2004 San Jose Mercury News
File 810:Business Wire 1986-1999/Feb 28
         (c) 1999 Business Wire
File 813:PR Newswire 1987-1999/Apr 30
         (c) 1999 PR Newswire Association Inc
File 626:Bond Buyer Full Text 1981-2004/May 14
         (c) 2004 Bond Buyer
File 267:Finance & Banking Newsletters 2004/May 12
         (c) 2004 The Dialog Corp.
```

**16/3,K/1 (Item 1 from file: 20)**
DIALOG(R)File 20:Dialog Global Reporter
(c) 2004 The Dialog Corp. All rts. reserv.

08487297 (USE FORMAT 7 OR 9 FOR FULLTEXT)
**hammerhead**
RICHARD LONGWILL
HERALD (UNITED KINGDOM)
November 20, 1999
JOURNAL CODE: FGH LANGUAGE: English RECORD TYPE: FULLTEXT
WORD COUNT: 486

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... bid by giving your name and address and some formal identification. Bidding by proxy: Most **auction** houses accept 'commission bids'. You write down your lots on a Bid Form together with your upper limit. Your bid will be written into the Auctioneer 's Book. You should secure the lot as cheaply as is allowed by competing bids...

**16/3,K/2 (Item 2 from file: 20)**
DIALOG(R)File 20:Dialog Global Reporter
(c) 2004 The Dialog Corp. All rts. reserv.

08486506 (USE FORMAT 7 OR 9 FOR FULLTEXT)
**15,000 Domain Names Up for Auction At Afternic.com; Auction Site Features More Domain Names Than Yahoo, Amazon, E-Bay, Lycos and Microsoft's MSN.com Combined**
BUSINESS WIRE
December 01, 1999
JOURNAL CODE: WBWE LANGUAGE: English RECORD TYPE: FULLTEXT
WORD COUNT: 659

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... easy-to-use auction mechanism allows both parties to follow individual names and auctions, place **proxy bids** and monitor the bidding on the names for sale.
People searching for a domain name...

**16/3,K/3 (Item 3 from file: 20)**
DIALOG(R)File 20:Dialog Global Reporter
(c) 2004 The Dialog Corp. All rts. reserv.

08377665 (USE FORMAT 7 OR 9 FOR FULLTEXT)
**eBay Great Collections Hosts Auctions of 36 Millennium Dresses by World's Top Fashion Designers**
PR NEWSWIRE
November 24, 1999
JOURNAL CODE: WPRW LANGUAGE: English RECORD TYPE: FULLTEXT
WORD COUNT: 800

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... the online auction, the highest bidders for each of the 36 dresses will have their **proxy bid** submitted to the live **auction** , and will participate in the live **auction**0 benefit to be held on Thursday, December 2, 1999 at the Roseland Ballroom in New York City. Patrick Meade, president

and CEO of Butterfield & Butterfield, will be the official event **auctioneer** and will start the bidding of each dress at the final online bid.

"The Millennium...

**16/3,K/4 (Item 4 from file: 20)**
DIALOG(R)File 20:Dialog Global Reporter


08229025 (USE FORMAT 7 OR 9 FOR FULLTEXT)
**Merisel's New Auction Corner Offers Margin Opportunities for Resellers**
BUSINESS WIRE
November 15, 1999
JOURNAL CODE: WBWE LANGUAGE: English RECORD TYPE: FULLTEXT
WORD COUNT: 431

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... and part numbers
and/or photos;
-- Proxy bids - Resellers can set a maximum bid, and **Auction** Corner will keep bidding for them until a reseller wins the bid or the maximum...

**16/3,K/5 (Item 5 from file: 20)**
DIALOG(R)File 20:Dialog Global Reporter


08057147 (USE FORMAT 7 OR 9 FOR FULLTEXT)
**ValueVision to Provide Online Auction Services From FairMarket; Home Shopping Network to Introduce Another 'First'**
PR NEWSWIRE
November 03, 1999
JOURNAL CODE: WPRW LANGUAGE: English RECORD TYPE: FULLTEXT
WORD COUNT: 929

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... AuctionPlace solution, ValueVision's auction service will provide real-time bidding, powerful search functionality, automatic **proxy bidding** , real-time notification on bid status changes, and a watch list to track specific **auctions** .

The FairMarket Auction Network is a collection of FairMarket-powered, private-label merchant and community...

**16/3,K/6 (Item 6 from file: 20)**
DIALOG(R)File 20:Dialog Global Reporter


07911821 (USE FORMAT 7 OR 9 FOR FULLTEXT)
**World's leading Messaging Companies to offer Interactive Internet Auction Service using XYPOINT Technology**
BUSINESS WIRE
October 25, 1999
JOURNAL CODE: WBWE LANGUAGE: English RECORD TYPE: FULLTEXT
WORD COUNT: 794

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... Internet auction site with the customers' bid -- all transactions are real-time. Additional features support **proxy bidding** and other services.
"One way notification to alpha numeric pagers has opened up the marketplace...

**16/3,K/7 (Item 7 from file: 20)**
DIALOG(R)File 20:Dialog Global Reporter
(c) 2004 The Dialog Corp. All rts. reserv.

07651823 (USE FORMAT 7 OR 9 FOR FULLTEXT)
**Autobytel.com Launches Industry's Most Comprehensive National Auction Program**
PR NEWSWIRE
October 08, 1999
JOURNAL CODE: WPRW LANGUAGE: English RECORD TYPE: FULLTEXT
WORD COUNT: 1361

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... Sellers can post vehicles for auction for up to two weeks and the integrated Seller **Proxy** allows for automated **bid** and reserve adjustments. A Buyer Proxy tool lets buyers continue to bid on their vehicle...

... their computer. Essentially, buyers select their minimum and maximum bids and are able to place **bids** by **proxy** .
Autobytel.com's Auto Auction also provides crucial data about the vehicles via the N...

**16/3,K/8 (Item 8 from file: 20)**
DIALOG(R)File 20:Dialog Global Reporter
(c) 2004 The Dialog Corp. All rts. reserv.

07508043 (USE FORMAT 7 OR 9 FOR FULLTEXT)
**Sura Maharaj Group Retains Control of Liquor Industry**
BUSINESS DAY (THAILAND)
September 30, 1999
JOURNAL CODE: FBDY LANGUAGE: English RECORD TYPE: FULLTEXT
WORD COUNT: 674

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... the highest price totalling 3.682 billion baht. The last two liquor factories placed on **auction** have been acquired by the newcomer liquor industrial group under King Chatchawal family. The group paid the total **auction** price of 1.612 billion baht.

**16/3,K/9 (Item 9 from file: 20)**
DIALOG(R)File 20:Dialog Global Reporter
(c) 2004 The Dialog Corp. All rts. reserv.

06646608 (USE FORMAT 7 OR 9 FOR FULLTEXT)
**Getty Images' Art.com Auctions Art for Everyone; Leading Online Art Brand Creates Bidder-Friendly Art Auction**

PR NEWSWIRE
August 11, 1999
JOURNAL CODE: WPRW LANGUAGE: English RECORD TYPE: FULLTEXT
WORD COUNT: 1158

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... addition to the hundreds of works currently posted, Art.com has created various features, including: -- **Proxy bidding** : An online **proxy** makes **bids** based on minimum and maximum parameters set by the bidder -- My **Auction** : Records the bidding status for each participant in the **Auction** , allowing participants to view the status of bids at any point. -- Free Bidding and Satisfaction...

**16/3,K/10 (Item 10 from file: 20)**
DIALOG(R)File 20:Dialog Global Reporter
(c) 2004 The Dialog Corp. All rts. reserv.

06574284 (USE FORMAT 7 OR 9 FOR FULLTEXT)
**Philippines: Local online auction site launched**
Jennifer E. Bagalawis
COMPUTERWORLD (PHILIPPINES)
July 31, 1999
JOURNAL CODE: FCWP LANGUAGE: English RECORD TYPE: FULLTEXT
WORD COUNT: 1264

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... sniper" protection and a reliable rating system of both buyers and sellers participating in the **auction** to assure a lively bidding and exchange of goods without the fear of prank or...

...bid until the deadline for the auction is reached. However, the site has provided a **proxy bidding** facility to free bidders from having to go back and forth to the site to place counter **bids** .
The **proxy bidding** is the facility that automatically submits bids for a buyer. It lets the buyer specify...

**16/3,K/11 (Item 11 from file: 20)**
DIALOG(R)File 20:Dialog Global Reporter
(c) 2004 The Dialog Corp. All rts. reserv.

06342220 (USE FORMAT 7 OR 9 FOR FULLTEXT)
**XOOM.com Launches XOOM.com Auctions, Bringing Benefits of Online Person-to-Person Auctions to XOOM.com's More Than 8.8 Million Members**
PR NEWSWIRE
July 22, 1999
JOURNAL CODE: WPRW LANGUAGE: English RECORD TYPE: FULLTEXT
WORD COUNT: 997

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... experience, including: * Real time bidding * Powerful search functionality * Seller rating system for buyer assurance * Automatic **proxy bidding** * Real time notification on bid status changes * Watch list to track specific **auctions**
For sellers, XOOM.com Auctions provides all the tools necessary to maximize their online auction...

**16/3,K/12 (Item 12 from file: 20)**
DIALOG(R)File 20:Dialog Global Reporter
(c) 2004 The Dialog Corp. All rts. reserv.

06205745 (USE FORMAT 7 OR 9 FOR FULLTEXT)
**AltaVista Beefs Up Finance, Localized Content**
NEWSBYTES
July 14, 1999
JOURNAL CODE: FNEW LANGUAGE: English RECORD TYPE: FULLTEXT
WORD COUNT: 448

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... services is an automated auction feature that allows advertisers to create and manage their own **bids** using an automated **proxy bidding** system. Advertisers are able to bid on keywords relevant to their businesses and therefore identify...

**16/3,K/13 (Item 13 from file: 20)**
DIALOG(R)File 20:Dialog Global Reporter
(c) 2004 The Dialog Corp. All rts. reserv.

05939075 (USE FORMAT 7 OR 9 FOR FULLTEXT)
**IDG's PC World Shows How to Win at Online Auctions**
PR NEWSWIRE
June 28, 1999
JOURNAL CODE: WPRW LANGUAGE: English RECORD TYPE: FULLTEXT
WORD COUNT: 633

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... watch).
A Time-Saving Tip: Many auction services offer automated **proxy bidding** . Specify the maximum you're willing to spend. The service then monitors the **auction** , placing bids that are just high enough to beat the current top bid. You drop out of the action only when bidding surpasses your maximum. All the **auction** sites PC World tested offered bidders a personal page for keeping track of their **auctions** .
In the final analysis, at Web auctions, there are good deals, so-so deals, a...

**16/3,K/14 (Item 14 from file: 20)**
DIALOG(R)File 20:Dialog Global Reporter
(c) 2004 The Dialog Corp. All rts. reserv.

05789794
**Special schools get technology lift**
NORTHERN ECHO, p6
June 17, 1999
JOURNAL CODE: FTNE LANGUAGE: English RECORD TYPE: FULLTEXT
WORD COUNT: 360

...for the next two years, before the Government assesses the schools' development. To submit their **bid** , the schools had to raise pounds 100,000 through sponsorship and donations, which they completed **hours** before the Government deadline. The status means that both schools will now be able to

provide a base for **people** with learning or **behavioural** disabilities throughout the Tees valley. Della Smith, headteacher of Beaumont Hill School, said the extra...

**16/3,K/15 (Item 15 from file: 20)**
DIALOG(R)File 20:Dialog Global Reporter


05789771
**Schools given technology college status**
NORTHERN ECHO, p06
June 17, 1999
JOURNAL CODE: FTNE LANGUAGE: English RECORD TYPE: FULLTEXT
WORD COUNT: 252

...for the next two years, before the Government assesses the schools' development. To submit their **bid** , the schools had to raise pounds 100,000 through sponsorship and donations, which they completed only **hours** before the Government's deadline. The status means that both schools will now be able to provide a base for **people** with learning or **behavioural** difficulties throughout the Tees valley. Abbey Hill School headteacher Mike Vening said they hope to...

**16/3,K/16 (Item 16 from file: 20)**
DIALOG(R)File 20:Dialog Global Reporter


05305457 (USE FORMAT 7 OR 9 FOR FULLTEXT)
**PaperDeals.com Launches First Internet-Based Auction Site Connecting Printers and Paper Supply Chain**
BUSINESS WIRE
May 13, 1999
JOURNAL CODE: WBWE LANGUAGE: English RECORD TYPE: FULLTEXT
WORD COUNT: 825

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... the entire lot is depleted or the auction expires. The system features "autobid," or automatic **proxy bidding** , and automatic notification to buyers when they have been outbid. Vendors can selectively choose **auctions** inside or outside specific geographical perimeters, to avoid problems with price conflicts in their primary...

**16/3,K/17 (Item 17 from file: 20)**
DIALOG(R)File 20:Dialog Global Reporter


05008803 (USE FORMAT 7 OR 9 FOR FULLTEXT)
**World's First Simultaneous Intercontinental Fine Art Sale Brings Prints & Drawings to Auction in April; International Auctioneers' Sale to Include Online Bidding**
BUSINESS WIRE
April 19, 1999
JOURNAL CODE: WBWE LANGUAGE: English RECORD TYPE: FULLTEXT
WORD COUNT: 1166

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... lots and close the sale. Each house will register bidders in its usual manner and **proxy bid** for clients via telephone.
This historic auction will be open to an unlimited number of...

**16/3,K/18 (Item 18 from file: 20)**
DIALOG(R)File 20:Dialog Global Reporter


04287579 (USE FORMAT 7 OR 9 FOR FULLTEXT)
**GOING ONCE THE HOUSE BOUGHT BY A MOUSE**
MATTHEW BEARD
EVENING STANDARD, p17
February 10, 1999
JOURNAL CODE: FES LANGUAGE: English RECORD TYPE: FULLTEXT
WORD COUNT: 443

... houses, flats and loft conversions. As auctioneer Gary Murphy took offers from the floor and **proxy bids** by telephone, Internet participants at home or in their offices followed the progress on screen.

**16/3,K/19 (Item 19 from file: 20)**
DIALOG(R)File 20:Dialog Global Reporter


04157430 (USE FORMAT 7 OR 9 FOR FULLTEXT)
**OFFICE OF ELECTRICITY REGULATION / POOL PRICES MUST COME DOWN (553)**
HERMES
January 27, 1999
JOURNAL CODE: WHER LANGUAGE: English RECORD TYPE: FULLTEXT
WORD COUNT: 487

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... much higher order than in gas. If in December 1998 there had been cost-effective **bidding** by the generators, SMP this winter would have been reduced by some oe90m compared to the same **periods** in 1996 and 1997. Such **behaviour** is not only unfair to **customers** , it brings all concerned with the Pool into disrepute.
'Market operators in other markets must...

**16/3,K/20 (Item 20 from file: 20)**
DIALOG(R)File 20:Dialog Global Reporter


04150438 (USE FORMAT 7 OR 9 FOR FULLTEXT)
**UK'S Offer says electricity pool prices remain 'unjustifiably high'**
AFX EUROPE
January 27, 1999
JOURNAL CODE: WAXE LANGUAGE: English RECORD TYPE: FULLTEXT
WORD COUNT: 388

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... much higher order than in gas. If in December 1998 there had been cost-effective **bidding** by the generators, SMP this winter would have been reduced by some 90 mln stg compared to the same **periods** in 1996 and 1997.

Such **behaviour** is not only unfair to **customers** , it brings all concerned with the Pool into disrepute."
rdw/

**16/3,K/21 (Item 21 from file: 20)**
DIALOG(R)File 20:Dialog Global Reporter


03910109 (USE FORMAT 7 OR 9 FOR FULLTEXT)
**Mark McGwire and Sammy Sosa 1998 Home Run Balls To Be Auctioned Online and On-Live**
PR NEWSWIRE
January 04, 1999
JOURNAL CODE: WPRW LANGUAGE: English RECORD TYPE: FULLTEXT
WORD COUNT: 1129

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... the highest bid from the online auction. Each of the three online bidders will place **bids** in traditional eBay **proxy** fashion -- placing the highest bid amount they would pay for the item. The eBay system...

**16/3,K/22 (Item 22 from file: 20)**
DIALOG(R)File 20:Dialog Global Reporter


03773997 (USE FORMAT 7 OR 9 FOR FULLTEXT)
**Olympic Games: Britain urged to refuse Olympic bid**
INDEPENDENT
December 17, 1998
JOURNAL CODE: FIND LANGUAGE: English RECORD TYPE: FULLTEXT
WORD COUNT: 318

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... IOC committee is currently investigating accusations of payments made in Salt Lake City's successful **bid** for the 2002 Winter Games.
Stringer, a member of the lower house, said he had first hand experience of the **behaviour** of some IOC **members** from his **time** at Manchester city council. He said that two IOC members, from different parts of the...

**16/3,K/23 (Item 23 from file: 20)**
DIALOG(R)File 20:Dialog Global Reporter


03423387 (USE FORMAT 7 OR 9 FOR FULLTEXT)
**Netmerchants, Inc. Announces the Release of Auctioneer V3.0, the Latest and Most Feature-Rich Version of its Powerful and Versatile Online Auction Software**
PR NEWSWIRE
November 12, 1998
JOURNAL CODE: WPRW LANGUAGE: English RECORD TYPE: FULLTEXT
WORD COUNT: 418

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... it operable under virtually any Windows-based web server. Product features include: credit card integration, **proxy bidding** , fee profiles, feedback system, HTML customization, chat rooms, customized reporting, search capabilities, site security, and...

... computer to bid on behalf of sellers until reserve pricing is met. More information about **Auctioneer** can be found at http://www. **auctioneer32** .com .

Coinciding with the release of Auctioneer V3.0 and in keeping with its new...

**16/3,K/24 (Item 24 from file: 20)**
DIALOG(R)File 20:Dialog Global Reporter
(c) 2004 The Dialog Corp. All rts. reserv.

03360756 (USE FORMAT 7 OR 9 FOR FULLTEXT)
**Bid.Com Launches Personalized Marketing Service For Its Customers**
CANADIAN CORPORATE NEWS
November 05, 1998
JOURNAL CODE: WCCN LANGUAGE: English RECORD TYPE: FULLTEXT
WORD COUNT: 667

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... Com Recommends" will be the first feature implemented. Based on an individual's viewing and **bidding** history, the engine will score and then recommend other items targeted to that individual's specific preferences. The recommendations will be provided in real **time** . Additional functionality is also planned for release in the near future. **Bid** .Com will use the Net **Perceptions** engine to alert **customers** in advance, of items that will be coming up for **auction** , which fit the customer's interests.

"This powerful engine will enable us to offer customers...

**16/3,K/25 (Item 25 from file: 20)**
DIALOG(R)File 20:Dialog Global Reporter
(c) 2004 The Dialog Corp. All rts. reserv.

01456201
**Tom Exploration Inc.: Dasserat Property Acquisition of 18 Claims and Drilling Underway**
CANADIAN CORPORATE NEWS
April 30, 1998
JOURNAL CODE: WCCN LANGUAGE: English RECORD TYPE: FULLTEXT
WORD COUNT: 143

... Dasserat Township in which Tom Exploration Inc. holds 50 percent interest and Loubel Exploration Inc. **holds** the other 50 percent. The geology on the property is **characteristic of** hydrothermal deposits. An intrusion and breccia zones occupy part of the property. The Corporation will

**16/3,K/26 (Item 1 from file: 476)**
DIALOG(R)File 476:Financial Times Fulltext
(c) 2004 Financial Times Ltd. All rts. reserv.

0007554094 B0EJQD5AC0FT

**People: The commuter who will regulate the railway - Competition lawyer John Swift tells Charles Batchelor that he is intrigued by the chance to change sides and start setting the rules**
CHARLES BATCHELOR
Financial Times, P 26
Monday, October 17, 1994
DOCUMENT TYPE: NEWSPAPER LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT
Word Count: 1,011

...issues. He was also an adviser in some of the big takeover battles of the **period** , including the **bid** by GEC and Siemens for Plessey, and of Guinness for Distillers.

Having defended many **clients** against charges of anti-competitive **behaviour** , Swift was intrigued by the chance to change sides and start setting the rules. He...

**16/3,K/27 (Item 2 from file: 476)**
DIALOG(R)File 476:Financial Times Fulltext


0007041852 B0DGPB4AG3FT
**FT Quarterly Review of Personal Finance (4): Do some homework before you make a bid - There are bargains, but a cheap property is not necessarily a good buy. Many will have been repossessed - and empty houses can deteriorate / Buying your house 3, At auction**
BETHAN HUTTON
Financial Times, P VII
Friday, July 16, 1993
DOCUMENT TYPE: NEWSPAPER LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT
Word Count: 1,092

...still for sale before you go along to the auction.

A large proportion of property **auctions** are held in London, even if the houses for sale are scattered around the country...

...local paper. If you cannot attend the sale in person, you can usually arrange to **bid** by **proxy** or over the telephone.

Stickley & Kent is one company that is trying to demystify the...

**16/3,K/28 (Item 1 from file: 624)**
DIALOG(R)File 624:McGraw-Hill Publications


0306567
**DELMARVA POWER'S 150-MW RFP DELAYED BY SELF-DEALING CHARGES IN MARYLAND**
Cogeneration Report May 24, 1991; Pg 9
Journal Code: COG ISSN: 1049-0744
Section Heading: Rates & Regulation
Word Count: 536 *Full text available in Formats 5, 7 and 9*

TEXT:
...it is to have a one-third interest. That project will be bid in the **auction** and was originally proposed as the **proxy** plant for **bidding** as well, until commission concerns spurred the utility to change the proxy to a 150...

**16/3,K/29 (Item 2 from file: 624)**
DIALOG(R)File 624:McGraw-Hill Publications


0263494
**WUNSCH'S SINGLE-PRICE AUCTION SYSTEM SET FOR CONDITIONAL SEC APPROVAL**
Securities Week November 5, 1990; Pg 6
Journal Code: SW ISSN: 0149-3582
Word Count: 426 *Full text available in Formats 5, 7 and 9*

TEXT:
... the WASI system as a percentage of the total market, or if the number and **characteristics** of **participants** and **subscribers** trading in the system should be considered.

If the Wunsch System holds **auctions** only three **times** a week, and there are no broker-dealers with market maker obligations participating in the those **auctions** , the SEC said it believes trading in absolute terms or as a percentage of other...

**16/3,K/30 (Item 1 from file: 810)**
DIALOG(R)File 810:Business Wire


0664891 BW1297

**ADBOT INC INTERNET ADS: Adbot, Inc., announces debut of Internet advertising network**

January 23, 1997

Byline: Business Editors, Interactive/Multimedia & Advertising Writers

...pre-qualified
participants from across the nation who will have a "virtual presence" at the **auction** or who have placed **proxy bids** with Adbot brokers. Adbot's brokers will cry out the bids of participants according to...

**16/3,K/31 (Item 2 from file: 810)**
DIALOG(R)File 810:Business Wire


0356556 BW732

**NATL AUCTION GROUP: Athens cutting horse arena Eddings Farms sold to Little Rock woman for $1.05 million**

September 16, 1993

Byline: Business Editors/Real Estate Industry Writers

...distributes shopping
carts to supermarkets and discount chains. She did not attend the Wednesday afternoon **auctions** , but conducted her **bidding** by **proxy**

with
Athens rancher and cutting horse rider A.P. Bud Wilson.
While her plans for...

**16/3,K/32 (Item 1 from file: 267)**
DIALOG(R)File 267:Finance & Banking Newsletters


04548385
**Buyouts Become Riskier, As Liability Increases**
Josh Kosman
Buyouts
April 19,1999 DOCUMENT TYPE: NEWSLETTER
PUBLISHER: SECURITIES DATA PUBLISHING
LANGUAGE: ENGLISH WORD COUNT: 2165 RECORD TYPE: FULLTEXT



TEXT:
...assessment that it could quantify those risks, Mr. Ayres says. The firm has owned 24 **Hour** Fitness in the U.S. since 1994 and Fitness Holdings Europe since 1996.
" **People perceive** risk differently," says Franci Blassberg, a partner at Debevoise & Plimpton. "It doesn't mean one...through more minefields when making these investments today.
For one thing, because of the frantic **auction** process G.P.s are having a difficult time getting information from sellers about liability...

...baseball bats and hockey sticks, is a case in point.
The company held a limited **auction** last year that included financial buyers, says a G.P. who looked at Easton but...

company by Dynamics Corp of America; accord gives Dynamics three of seven seats on CTS board and could allow co to increase its stake by as much as 35% (S)

COMPANY NAMES: CTS CORP; DYNAMICS CORP OF AMERICA
DESCRIPTORS: MERGERS, ACQUISITIONS AND DIVESTITURES; STOCKS AND BONDS; BOARDS OF DIRECTORS

**15/5/35 (Item 18 from file: 475)**
DIALOG(R)File 475:Wall Street Journal Abs


05009029
**INVESTOR JACOBS TO TURN TO PROXY FIGHT IN BID TO PUT GILLETTE INTO TAKEOVER PLAY**
BURROUGH, BRYAN
Wall Street Journal, Col. 3, Pg. 2, Sec. 1
Monday September 14 1987
DOCUMENT TYPE: Newspaper JOURNAL CODE: WSJ LANGUAGE: English
RECORD TYPE: Abstract

ABSTRACT:
Investor Irwin Jacobs, irate at Gillette Co for rejecting repeated takeover proposals from Revlon Group Inc, is expected to begin proxy fight in effort to force sale of company (M)

COMPANY NAMES: GILLETTE CO; REVLON GROUP INC
DESCRIPTORS: PROXY CONTESTS; MERGERS, ACQUISITIONS AND DIVESTITURES
PERSONAL NAMES: JACOBS, IRWIN; BURROUGH, BRYAN

**15/5/36 (Item 19 from file: 475)**
DIALOG(R)File 475:Wall Street Journal Abs


04781788
**AVX PROPOSES TO BUY CTS CORP. FOR $196 MILLION**
Wall Street Journal, Col. 2, Pg. 17, Sec. 1
Wednesday December 17 1986
DOCUMENT TYPE: Newspaper JOURNAL CODE: WSJ LANGUAGE: English
RECORD TYPE: Abstract

ABSTRACT:
AVX Corp proposes to acquire CTS Corp for $196 million; CTS welcomes **bid** ; during **proxy** contest with Dynamics Corp of America in April, CTS decided to sell company to keep Dynamics from gaining control (S)

COMPANY NAMES: AVX CORP; CTS CORP; DYNAMICS CORP OF AMERICA
DESCRIPTORS: MERGERS, ACQUISITIONS AND DIVESTITURES; PROXY CONTESTS; STOCKS AND BONDS

**15/5/37 (Item 20 from file: 475)**
DIALOG(R)File 475:Wall Street Journal Abs


04779863
**REQUEST BY KAISER STEEL FOR INJUNCTION IS DENIED**
Wall Street Journal, Col. 4, Pg. 22, Sec. 1
Tuesday November 25 1986

DOCUMENT TYPE: Newspaper JOURNAL CODE: WSJ LANGUAGE: English
RECORD TYPE: Abstract

ABSTRACT:
Federal District Judge John Kane denies Kaiser Steel Corp's request for injunction that would have blocked Minneapolis investor Bruce Hendry from voting his **proxies** in his **bid** to win control of company (S)

COMPANY NAMES: KAISER STEEL CORP
DESCRIPTORS: MERGERS, ACQUISITIONS AND DIVESTITURES; DECISIONS AND VERDICTS; SUITS AND LITIGATION
PERSONAL NAMES: HENDRY, BRUCE; KANE, JOHN (JUDGE)

**15/5/38 (Item 21 from file: 475)**
DIALOG(R)File 475:Wall Street Journal Abs


04506900
**Unocal Corporation announces that shareholders defeated by 3-to-2 margin** proxy bid **by Mesa Petroleum Company chairman T Boone Pickens Jr and partners that was part of group's aborted offer for control of company (M))**
Wall Street Journal, Col. 3, Pg. 16, Sec. 1
Thursday May 30 1985
DOCUMENT TYPE: Newspaper JOURNAL CODE: WSJ LANGUAGE: English
RECORD TYPE: Abstract

COMPANY NAMES: UNOCAL CORP
DESCRIPTORS: PROXY CONTESTS; MERGERS, ACQUISITIONS AND DIVESTITURES
PERSONAL NAMES: PICKENS, T BOONE

**15/5/39 (Item 22 from file: 475)**
DIALOG(R)File 475:Wall Street Journal Abs


01012538 NYT Sequence Number: 012537730604
**Hi-Shear Corp postpones annual meeting to prepare** proxy **material for takeover** bid **by Rexnord Inc (S))**
Wall Street Journal, Col. 5, Pg. 13
Monday June 4 1973
DOCUMENT TYPE: Newspaper JOURNAL CODE: WSJ LANGUAGE: English
RECORD TYPE: Abstract

COMPANY NAMES: HI-SHEAR INDUSTRIES INC; REXNORD INC
DESCRIPTORS: MERGERS, ACQUISITIONS AND DIVESTITURES

**15/5/40 (Item 1 from file: 583)**
DIALOG(R)File 583:Gale Group Globalbase(TM)


06115300
1-year trail to curb double transfers
SINGAPORE: TRIAL TO CURB DOUBLE TRANSFERS OF COE
The Straits Times (XBB) 20 Feb 1995 P.1
Language: ENGLISH

According to the Communications Ministry, a 12-month trial to curb double

transfers of car Certificates of Entitlement (COE) will effectively begin from 1 March 1995. Included in the trial are cars registered with COEs, with exception made to open category ones, commercial cars, and motorcycles. During the trial, car owners are not permitted to transfer their vehicles within three months of registration. If they want to do so in the following three months, they will have to pay an additional fee to the Registry of Vehicles. The fee is the difference between the car's COE and the price of a similar COE at the time of transfer. The trial aims to prevent an industry practice of **bidding** for COEs using **proxies** .

PRODUCT: Automotive Sales & Services (5500); Motor Vehicles & Parts (3710 );
EVENT: Commodity & Service Prices (72); Government Regulations (93);
COUNTRY: Singapore (9SIN);

**15/5/41 (Item 2 from file: 583)**
DIALOG(R)File 583:Gale Group Globalbase(TM)


05728228
Sparks flying over Turkish utility
TURKEY - SPARKS FLYING OVER UTILITY
Financial Times (C) 1992 (FT) 5 March 1993 p23

ILLUSTRATING how an outsider can shatter the cosy habits of Turkey's business establishment, Rumeli Holding is watching the dust settle after its **bid** to buy the **proxy** voting rights of shareholders in Cukurova Elektrik, the country's best known public company. On Tuesday, Turkey's newest and brashest family-owned industrial group, an 11 per cent minority holder of Cukurova, took the market by surprise. It solicited shareholders' proxies in return for a cash offer equivalent to 20 per cent of par value of any holding - in effect an offer for the company. It is probably more likely the legislation is not yet in place to prevent such a share assault. Cukurova, which has a market capitalisation of around Dollars 440m, is described by brokers as one of the few 'truly public' companies in Turkey. However, with 70 per cent of its shares floating, it is also uniquely vulnerable to takeover threats.**


COMPANY: CUKUROVA ELEKTRIK
PRODUCT: Electric Utilities (4910);
EVENT: COMPANY ACQUISITION - 75% TO 100% (16);
COUNTRY: Turkey (8TUR); OECD Europe (415); NATO Countries (420);

**15/5/42 (Item 3 from file: 583)**
DIALOG(R)File 583:Gale Group Globalbase(TM)


04944573
Auction Slated To Relieve Japan's Helicopter Glut
JAPAN - HELICOPTER LESSORS WILL AUCTION OFF OVER 30 AIRCRAFT
Rotor & Wing International (RWI) 0 February 1992 p8
ISSN: 0191-6408

ITC Aerospace and Air Supply (both Tokyo, Japan), helicopter lessors, will auction off over 30 helicopters on 3-5 March 1992. Japan has suplus capacity, with more than 1,200 helicopters whose flight hours are fewer than 200/y on average. Interested buyers can pay USDlr250 registration fee to see an audiovisual preview and inspect logbooks and aircraft, and **proxy**

**bids** will be accepted.

COMPANY: ITC AEROSPACE; AIR SUPPLY

PRODUCT: Civil Helicopters (3721CH);
EVENT: PLANT/FACILITIES CLOSURE (44);
COUNTRY: Japan (9JPN); OECD Pacific (915);

**15/5/43 (Item 4 from file: 583)**
DIALOG(R)File 583:Gale Group Globalbase(TM)
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03878543
CALVARY TO BID FOR OUTSTANDING DICEON STOCK
US - CALVARY TO BID FOR OUTSTANDING DICEON STOCK
Wall Street Journal Europe (WSJ) 4 December 1990 p14

Diceon Electronics, printed circuit board manufacturer, will see all its common stock outstanding bid for by Calvary Holdings for USDlr27.3 mil, or USDlr5.25/share. However, the bid will depend on receiving the necessary funds and on legal considerations, according to Calvary. Calvary will also introduce a **proxy bid** for control of Diceon's board.*

PRODUCT: Printed Circuits Boards (3679PC);
EVENT: COMPANIES ACTIVITIES (10);
COUNTRY: United States (1USA); NATO Countries (420); South East Asia Treaty Organisation (913);

**15/5/44 (Item 5 from file: 583)**
DIALOG(R)File 583:Gale Group Globalbase(TM)
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02604060
NWA BIDDER TO FIGHT POISON PILL DEFENCE
US - NWA BIDDER TO FIGHT POISON PILL DEFENCE
Wall Street Journal Europe (WSJ) 12 April 1989 p3

NWA (US) Northwest Airlines (US) parent firm, has adopted a poison-pill anti-takeover defence. M Davis, based in Los Angeles, mounting a USDlr2.7 bil takeover **bid** , proposes a **proxy** fight against NWA and has filed a legal challenge against its poison- pill approach in the Delaware Chancery Court. In response, NWA filed a law suit in a Minnesota federal court which accused Mr Davis of interfering with NWA'S labour talks.

PRODUCT: Aircraft Engines & Parts (3724); Helicopter Engines (DEAV);
EVENT: COMPANIES ACTIVITIES (10);
COUNTRY: United States (1USA); NATO Countries (420); South East Asia Treaty Organisation (913);

**15/5/45 (Item 1 from file: 256)**
DIALOG(R)File 256:SoftBase:Reviews,Companies&Prods.
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00121257 DOCUMENT TYPE: Review

**PRODUCT NAMES: LiveExchange (730921)**

**TITLE: Moai makes its move: Anne Perlman makes her bid for the business...**

AUTHOR: Avoy, Katie
SOURCE: Upside, p81(3) Oct 1999
ISSN: 1052-0341
HOMEPAGE: http://www.upside.com

RECORD TYPE: Review
REVIEW TYPE: Product Analysis
GRADE: Product Analysis, No Rating

Moai Technologies' LiveExchange software and services lets businesses sell excess inventory through consumer or private auctions with their distributors, resellers, and corporate accounts. The LiveExchange software can adapt to a variety of business environments. Customers can run LiveExchange in a 'lights-out' mode, simply setting up the system and walking away, or they can periodically monitor an auction, gauge buyer activity, and shut down when the activity subsides. Buyers can **bid** by **proxy** and place a maximum bid at several auctions at the same time without having to watch any of them. LiveExchange's customers include large corporations and application service providers (ASPs), but a fast- growing market is 'market makers,' which are services that act as facilitators for distributors and resellers. Customers pay Moai about $50,000 in licensing and transaction fees per year, and Moai provides maintenance, upgrades, and updates.

COMPANY NAME: Moai Technologies Inc (651915)
DESCRIPTORS: Auctions; E-Commerce; Internet Marketing; Purchasing
REVISION DATE: 20000330

**15/5/46 (Item 2 from file: 256)**
DIALOG(R)File 256:SoftBase:Reviews,Companies&Prods.


00119344 DOCUMENT TYPE: Review

**PRODUCT NAMES: Amazon Auctions (745901); Yahoo! Auctions (767832); FirstAuction (743704); Egghead Auctions (773271); eBay (736414)**

**TITLE: savvy shopper: Sold! How to Win at Web Auctions**
AUTHOR: McDonald, Glenn
SOURCE: PC World, v17 n8 p195(7) Aug 1999
ISSN: 0737-8939
HOMEPAGE: http://www.pcworld.com

RECORD TYPE: Review
REVIEW TYPE: Product Comparison
GRADE: Product Comparison, No Rating

Web site auctions are compared for auction type, special features, advantages, functions, and overall ratings. Amazon Auctions, a person-to-person auction, is an attractive site but has fewer products for sale than eBay and Yahoo! Auctions. eBay, also person-to-person, specializes in all types of goods and is unequivocally the best designed and best stocked auction. It has no direct competitor, if quality of site, value-added features, and selection of goods are the criteria. Egghead Auctions offers computer equipment and has good, but perhaps last-year's, devices and software. Its interface is awkward and confusing, and no **proxy bidding** is allowed. Auction tracking tools could be better. Internet Shopping Network's FirstAuction is a merchant network that specializes in household goods, collectibles, and jewelry, with a superior selection of furniture, clothes, jewelry, food, and other noncomputer products. No

**proxy bidding** is permitted, and the site is reminiscent of the Home Shopping Network on TV. Onsales is a recommended merchant auction specializing in computer and office equipment, with many items, a speedy and logical interface, and many item tracking and help features. **Proxy bidding** should be easier. UBid, a merchant auction specializing in computer equipment, provides many types of products and has a convenient auction tracker. Its item descriptions vary too much in quality. **Proxy bidding** is partly supported. Yahoo! Auctions specializes in toys, collectibles, and flea market items, with a savvy interface, easy browsing, and a good selection of items.

COMPANY NAME: Amazon.com Inc (646547); Yahoo! Inc (610909); Internet Shopping Network Inc (660701); egghead.com Superstores (639397); eBay Inc (658545)
SPECIAL FEATURE: Screen Layouts Buyers Guides
DESCRIPTORS: Auctions; Computer Equipment; Internet Marketing; Internet Shopping; Recreation & Hobbies
REVISION DATE: 20010330

```
Set     Items   Description
S1          0   AU=(JORASCH J? OR JORASCH, J?)
S2      25616   AUCTION?
S3     126475   BIDS OR BIDDED OR BID OR BIDDING
S4      12702   PROXY OR PROXIES
S5    2328298   BEHAVIOR? OR BEHAVIOUR? OR PERCEPT? OR PERCEIV? OR CHARACT-
            ER?
S6     373251   RULE? ? OR GUIDELINE?
S7    1742651   BIDDER? OR CONSUMER? OR CUSTOMER? OR USER? OR MEMBER? ? OR
           PEOPLE OR CLIENT? OR SUBSCRIBER? OR PARTICIPANT?
S8    2995263   TIME? ? OR INTERVAL? OR PERIOD? OR MINUTES OR HOUR??
S9         51   S4(3N)S3
S10         7   S9 AND (S6 OR S5)
S11      1620   S2 AND S3 AND S7
S12        13   S11 AND S5 AND S8 AND S6
S13        64   S9 OR S10 OR S12
S14        47   S13 NOT PY>1999
S15        46   RD (unique items)
File   2:INSPEC 1969-2004/May W1
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**15/5/1 (Item 1 from file: 2)**
DIALOG(R)File 2:INSPEC


5705310 INSPEC Abstract Number: C9711-7120-013
**Title: Building and running online auctions**
Author(s): Garda, B.; Wilson, G.V.
Journal: Dr. Dobb's Journal vol.22, no.10 p.84, 86-8, 91, 104
Publisher: Miller Freeman,
Publication Date: Oct. 1997 Country of Publication: USA
CODEN: DDJSDM ISSN: 1044-789X
SICI: 1044-789X(199710)22:10L.84:BROA;1-U
Material Identity Number: B719-97009
Language: English Document Type: Journal Paper (JP)
Treatment: Practical (P)
Abstract: We describe a web-site toolkit called Webalog, developed by Bonsai Software, which is being used to construct online auctions and various similar web-based applications. In an auction, users can browse the items for sale and enter a maximum ( **proxy** ) **bid** price. The Webalog server then plays out the bidding until at least one bidder's maximum price is reached. Other bidders are then asked whether they want to go higher. This cycle repeats until a time deadline is reached, or until an undisputed winner emerges. Online visitors can participate in multiple auctions at the same time, relying on Webalog to keep them abreast of their position in each. Webalog not only demonstrates how commerce can take advantage of the web's strengths, but also shows how freeware can be leveraged to build useful, flexible applications as new opportunities arise. In addition to being used for online auctions and catalogs, Webalog is the basis for a benefits-selection system, a biological data registry site, a time-sheet reporting system, a task-management system, and an intranet customer-list system. Webalog works by embedding commands and data in HTML pages, then interpreting them on the fly. Commands are written in Tcl. (0 Refs)
Subfile: C
Descriptors: electronic trading; Internet; software tools
Identifiers: online auctions; web-site toolkit; Webalog; Bonsai Software; freeware; benefits-selection system; biological data registry site; time-sheet reporting system; task-management system; intranet customer-list system; HTML pages; Tcl
Class Codes: C7120 (Financial computing); C6150N (Distributed systems software); C6115 (Programming support); C7210 (Information services and centres)


**15/5/2 (Item 1 from file: 35)**
DIALOG(R)File 35:Dissertation Abs Online


01802028 ORDER NO: AADAA-I9942968
**ESSAYS ON** AUCTIONS **AND INTERJURISDICTIONAL COMPETITION (AGGLOMERATION ECONOMIES)**
Author: MARTIN, LAURENT
Degree: PH.D.
Year: 1999
Corporate Source/Institution: UNIVERSITY OF MARYLAND COLLEGE PARK (0117)
Chair: PETER C. CRAMTON
Source: VOLUME 60/08-A OF DISSERTATION ABSTRACTS INTERNATIONAL.
PAGE 3039. 149 PAGES
Descriptors: ECONOMICS, GENERAL
Descriptor Codes: 0501

This dissertation presents an **auction** -theoretic analysis of firm-specific interjurisdictional competition. In the public economics literature, the competition for business is modeled as a market in which communities compete for a share of a homogeneous stock of capital. However, interjurisdictional **bidding** wars to recruit specific firms with valued **characteristics** resemble **auctions** more than they resemble markets. Firms' operating costs differ across locations so, unlike in standard **auctions** , the "seller" has preferences over who among the **bidders** wins. This asymmetry differs from the one emphasized in **auction** theory, that is, differences among buyers.

Chapter 2 shows, using mechanism design, that the firm's optimal **auction rules** solve the trade-off between subsidy extraction and cost minimization in a way that is inconsistent with locational efficiency. The firm handicaps the **bids** of high cost regions by less than the cost difference with lower cost regions, reducing the advantage of the latter and increasing the subsidy. Jurisdictions may overbid when a conflict of interest distorts the **bid** -making process or when locations generate negative spillovers. A welfare analysis of a policy banning **bidding** wars highlights the efficiency/equity trade-off facing the policymaker. Location contests facilitate an efficient matching of firms and jurisdictions when recruitment benefits vary across communities, but they are negative-sum games when subsidies shift distortionary taxes from firm-owners to residents of the jurisdiction that would have been chosen without incentives.

Chapter 3 models interjurisdictional competition for two firms in the same industry as a sequential **auction** . In the presence of agglomeration economies, winning the first location contest makes a region more attractive for subsequent firms. The first firm receives a higher recruitment subsidy and the second firm a lower one. Moreover, the complementarity effect often increases total subsidies across **periods** . Consistent with empirical findings, the size of the agglomeration benefit affects positively the formation of industry clusters. The sequential **auction** does not support the first-best location outcome. In particular, the industry may be, in equilibrium, locked in the "wrong" site. However, when the path-dependence of location decisions is taken as given, a sequential **auction** with contingent contracts or Coasean bargaining can be constrained efficient.

**15/5/3 (Item 2 from file: 35)**



01554795 ORDER NO: AAD97-16166
BIDDER BEHAVIOR **AND MARKET OUTCOMES IN TREASURY BILL** AUCTIONS **(TURKEY)**
Author: BAYAZITOGLU, BERNA SANIYE
Degree: PH.D.
Year: 1997
Corporate Source/Institution: CORNELL UNIVERSITY (0058)
Source: VOLUME 57/12-A OF DISSERTATION ABSTRACTS INTERNATIONAL. PAGE 5230. 191 PAGES
Descriptors: ECONOMICS, GENERAL ; ECONOMICS, FINANCE
Descriptor Codes: 0501; 0508

This dissertation is an empirical attempt to discover **characteristics** of **bidder behavior** in Treasury bill **auctions** . The dataset we use includes **participant** -identified **bids** in 29 discriminatory **auctions** from Turkey. The complexity of the **auction** environment, the lack of a firm theoretical framework and the heterogeneity of market **participants**

present a real challenge for a sound analysis of this dataset.

In the first part of the dissertation, reduced form econometric models are estimated to test the implications of the theory on common value first-price sealed- **bid** **auctions** , as there does not exist a satisfactory theoretical model of discriminatory Treasury bill **auctions** . Results suggest that the **bidders** mark down their **bid** prices more as the expected dispersion of opinion about the true value of the bill increases, as is the case in the simple analogue of the Treasury bill **auctions** . It is also the case that as the number of **bidders** increases in these **auctions** , increased competition overcomes the considerations of winner's curse, and the **bidders** mark down their **bid** prices less if they expect high participation in these **auctions** . We also show that the Treasury benefits from a policy of revealing any information that will reduce the uncertainty about the true value of the bills, provided that the **bidding** strategies remain unchanged. The information spillover from the **auction** to the secondary market is also documented in this part.

In the second part of the dissertation, we adopt an alternative econometric approach to the study of the Treasury bill **auctions** . The approach is reduced form in the descriptive analysis of the **behavior** of the agents and is structural in the analysis of the equilibrium implications of these. We break down the complicated decision problem of the **bidder** into its components and analyze each of the components separately. The main finding from this part of the dissertation is that the dataset can be **characterized** by the **bidders** ' use of a simple decision **rule** : **Bidders** can be described as forming minimum and maximum **bid** prices based on the result of the previous **auction** held by the Treasury, then picking a random number of prices in this **interval** and deciding on the **bid** quantities. This decision **rule** , coupled with the Treasury's interest rate targeting policy, performs remarkably well in mimicking both the market outcomes and the **bidder** -specific statistics.

The last part of the dissertation builds upon the main finding from the previous part. We analyze the performance of a single- **bid** decision **rule** for a specific **bidder** in the simulated data, given the **bidding** decisions of the remaining **bidders** . Our results indicate that the single-**bid** decision **rule** based on the primary source of public information cannot improve upon the payoffs from the multiple **bids** generated on the basis of the decision **rule** "uncovered" in the previous part.

**15/5/4 (Item 3 from file: 35)**
DIALOG(R)File 35:Dissertation Abs Online


01352377 ORDER NO: AAD94-13388
**THE ROLE OF ACCOUNTING INFORMATION IN INVESTOR ASSESSMENTS OF CORPORATE TAKEOVERS**
Author: THORNTON, PHILLIP WYNN
Degree: PH.D.
Year: 1993
Corporate Source/Institution: NORTH TEXAS STATE UNIVERSITY (0158)
Major Professor: KRIS RAMON
Source: VOLUME 54/12-A OF DISSERTATION ABSTRACTS INTERNATIONAL.
PAGE 4507. 114 PAGES
Descriptors: BUSINESS ADMINISTRATION, ACCOUNTING; ECONOMICS, FINANCE
Descriptor Codes: 0272; 0508

The objective of this research is to assess whether the financial markets impute motives to bidding firm managers in setting the new equilibrium share price at the time a tender offer is announced. Theorists suggest that takeovers may be motivated by the need to discipline poorly

managed firms, the failure of target firm managers to disperse firm free cash flow, the empire building of bidding firm managers, market exploitation or synergy.

This research postulates that there is a functional relationship between accounting variables of bidding and target firms and the risk-adjusted change in the bidding firm's share price cumulated in a test period around the takeover announcement. These variables are assumed to proxy for firm efficiency, firm free cash flow, or firm agency costs.

A stepwise ordinary least squares regression was run to develop an explanatory model. The dependent variable was the bidding firm's prediction errors cumulated for the day before and the day of the announcement of the tender offer. The set of possible independent variables includes all the accounting and growth variables which might **proxy** for those **bidding** and target firm **characteristics** implied by the various takeover theories.

The results of this research do not support a monolithic takeover theory. Bidding firms do not appear to be better managed or more profitable than the targets for which they bid, except possibly in a minority of takeover attempts wherein the bidder is much smaller than the target. In fact, the relative profitability of bidders and targets appears to play little or no part in the market's assessment of takeover bids. Buyers and sellers in the capital markets appear to use the relative size of bidder and targets as a way of distinguishing empire building from other productive bidding firm motives.

**15/5/5 (Item 4 from file: 35)**
DIALOG(R)File 35:Dissertation Abs Online


01352349 ORDER NO: AAD94-13347
**THREE ESSAYS IN CORPORATE GOVERNANCE (SHAREHOLDER PROPOSALS, RIGHTS PLANS)**
Author: FORJAN, JAMES MARTIN
Degree: PH.D.
Year: 1993
Corporate Source/Institution: NORTH TEXAS STATE UNIVERSITY (0158)
Source: VOLUME 54/12-A OF DISSERTATION ABSTRACTS INTERNATIONAL. PAGE 4537. 136 PAGES
Descriptors: ECONOMICS, FINANCE; BUSINESS ADMINISTRATION, MANAGEMENT
Descriptor Codes: 0508; 0454

Corporate governance issues have become increasingly important to financial managers and shareholders. Firms that are plagued by poor performance, incompetent managers, or excess agency costs have become the subject of a dramatic increase in shareholder activism. Dissident shareholders, who are unable to launch costly takeover **bids** or **proxy** contests, have initiated a process of governance reform through the use of shareholder sponsored proposals. Shareholder proposals are a direct attempt to reverse operating or voting policies, such as a proposal to repeal a classified board.

Managers announce shareholder proposals in a proxy statement and typically include a vote recommendation against the proposal. In the first essay, I find an unfavorable stock price reaction to the announcement of a shareholder proposal. In some cases, however, management supports the proposal and negotiates an agreement with the proposing shareholder. Stock prices react favorably to a settlement announcement. If managers are willing to negotiate with shareholders, they are **perceived** to be acting in the best interest of shareholders. If managers are unwilling, shareholders believe a severe agency problem exists.

In the second essay, the effect that ownership structure has on voting outcomes of shareholder proposals is examined. I find a direct relationship between the percentage of votes cast in favor of the proposal and levels of

institutional ownership. There is an inverse relationship between the percentage of votes and managerial ownership and firm size. Large firms with powerful owner-managers present the greatest obstacle to the success of shareholder proposals.

The repeal of shareholder rights plans is one of the most frequently used shareholder proposals. By adopting the rights plan, managers increase the probability of defeating a takeover, but increase their power in negotiating with a potential acquiring firm. In the third essay, I find that firms who combine a rights plan with high debt levels construct a powerful defense against a hostile takeover. Shareholders target these high debt firms and design proposals to repeal the rights plan.

**15/5/6 (Item 5 from file: 35)**



01332170 ORDER NO: AAD93-31287

**STOCHASTIC PRECISION AND MARKET REACTIONS TO EARNINGS ANNOUNCEMENTS**

Author: SUBRAMANYAM, K. R.

Degree: PH.D.
Year: 1993
Corporate Source/Institution: THE UNIVERSITY OF WISCONSIN - MADISON ( 0262)
SUPERVISOR: JOHN J. WILD
Source: VOLUME 54/09-A OF DISSERTATION ABSTRACTS INTERNATIONAL. PAGE 3505. 151 PAGES
Descriptors: BUSINESS ADMINISTRATION, ACCOUNTING; BUSINESS ADMINISTRATION, GENERAL
Descriptor Codes: 0272; 0310

This thesis introduces the concept of stochastic precision of an information signal and examines its implications for stock market reactions to earnings reports. Extant analytical work in market microstructure generally assumes the precision of the information signal to be a constant. This implies that the market has perfect ex ante knowledge of all aspects of the precision (or quality) of the signal. While some determinants of signal quality are static and thus observable ex ante (such as the management and the auditor of the company), certain other determinants vary through time (such as transitory cash flows and abnormal accruals) and the market is unlikely to perfectly anticipate the impact of these factors. Therefore the concept of constant precision is not very descriptive. This thesis extends earlier work by modelling a more realistic situation where the market is uncertain about the signal quality; by assuming the signal precision as stochastic. The market's noisy ex ante information about the signal precision is captured through its prior distribution.

When precision is stochastic, it is shown analytically that the market updates its expectation about the precision based on the signal realization; the market associates lower precision with extreme news. An immediate implication of this phenomenon is that the returns-surprise relation has a specific nonlinear form that could be **characterized** as S-shaped. The extent of nonlinearity decreases in the accuracy of the market's priors regarding the precision. It is also shown that ex post uncertainty increases in the absolute magnitude of the surprise. Empirical tests of these predictions are undertaken with a sample of over 36,000 quarterly announcements. The predictions of the theory are generally supported by the data. A pronounced S-shape (with negative slopes at the extremes) is documented in the relation between unexpected earnings and abnormal returns. The extent of nonlinearity is lower for large firms and in regulated industries. A similar S-shape is documented in the analysts' forecast revisions. Finally **bid** -ask spreads ( **proxy** for ex post

uncertainty) are shown to increase in the absolute magnitude of surprise.

Finally, the thesis uses the concept of stochastic precision to distinguish between quality (realized precision) and credibility (expected precision). It is shown that the stock price is independent of the quality of the signal; it is affected purely by expected precision. However, the quality of the signal (realized precision) affects the magnitude of trading volume. This analysis underscores the need to study trading volume around earnings announcements.

**15/5/7 (Item 6 from file: 35)**



01155670 ORDER NO: AAD91-13736

**MULTIPLE UNIT** AUCTIONS **WITH AN APPLICATION TO FOREIGN EXCHANGE MARKETS (** AUCTIONS **)**

Author: TENORIO, RAFAEL ALBERTO
Degree: PH.D.
Year: 1991
Corporate Source/Institution: THE JOHNS HOPKINS UNIVERSITY (0098)
Source: VOLUME 51/12-A OF DISSERTATION ABSTRACTS INTERNATIONAL. PAGE 4216. 166 PAGES
Descriptors: ECONOMICS, GENERAL; ECONOMICS, THEORY; ECONOMICS, FINANCE
Descriptor Codes: 0501; 0511; 0508

This dissertation studies multiple unit **auctions** in which both **bid** prices and quantities are endogenously chosen by **bidders** . These **auctions** have been used for the allocation of large scale financial and monetary instruments. The U.S. Treasury Bill market and **auction** markets for foreign exchange are well-known examples. Two kinds of **rules** have been widely used: discriminatory, where **bidders** pay their own prices for each unit they win; and competitive, where **bidders** pay the lowest accepted price for each unit they win. This thesis uses the theory of Bayesian games to study price and quantity equilibria under both **rules** when **bidders** are risk-neutral and hold valuations that are private and independent.

Chapter 1 presents the background for the study and reviews the influential literature in the field. Chapter 2 introduces the theoretical framework to be used in the analysis. This framework is adapted from the original formulation by Harsanyi (1967, 1968) and the formalization by Mertens and Zamir (1985), and Myerson (1985).

Chapters 3, 4, and 5 analyze two- **bidder** environments. This setting captures the decision-making process of **bidders** with strategic interdependence. Optimal price/quantity strategies are analyzed both in **auctions** in which **bidders** display constant valuations for the **auctioned** units and face wealth constraints, and when **bidders** display decreasing marginal valuations. It is shown that endogenous quantity choice accounts for a good deal of the **characteristics** of equilibria as well as differences between expected outcomes in both **auctions** . A special version of the model results in explicit solutions for equilibrium strategies. In this setting the revenue-equivalence theorem, a milestone result in **auctions** with private values, does not necessarily hold. Also, equilibrium allocations need not be Pareto-Efficient.

In Chapter 6, a concrete experience is analyzed. In the mid 1980's, the country of Zambia sequentially implemented first the competitive and then the discriminatory foreign exchange **auctions** for a total of sixty-eight weeks. **Time** series tests of the effects of **auction rules** on **bidding behavior** are undertaken. The results indicate that the competitive **auction** produced a higher average price than the discriminatory **auction** . This finding lends support to the

correlated-value hypothesis in foreign exchange **auctions** .

**15/5/8 (Item 7 from file: 35)**
DIALOG(R)File 35:Dissertation Abs Online


01093354 ORDER NO: AAD90-06568
**A STUDY OF MULTIPLICATIVE-STRATEGY EQUILIBRIA IN SECOND-PRICE MULTICOMPONENT** AUCTIONS
Author: WOOD, DAVID JOSEPH
Degree: PH.D.
Year: 1989
Corporate Source/Institution: UNIVERSITY OF CALIFORNIA, BERKELEY (0028)
CHAIR: SHMUEL OREN
Source: VOLUME 50/10-B OF DISSERTATION ABSTRACTS INTERNATIONAL.
PAGE 4749. 325 PAGES
Descriptors: OPERATIONS RESEARCH; BUSINESS ADMINISTRATION, MANAGEMENT; ENGINEERING, INDUSTRIAL
Descriptor Codes: 0796; 0454; 0546

This thesis examines the Nash equilibria arising in a model of common-value second-price **auctions** . We assume that each **bidder** forms an unbiased estimate of the unknown true value of the **auction** , and submits a **bid** that is the product of that estimate and some pre-selected multiplier. Among our findings, we note that: (1) Assumptions about the probabilistic nature of the estimating error can be critical in the modeling process; differences in the shape of probability densities which are barely noticeable can produce significant changes in the Nash equilibria. (2) In a two- **bidder** **auction** , Nash equilibria in multiplicative strategies exist only if a particular kind of symmetry exists between the **bidders** ; if this symmetry is present, the resulting equilibria are not unique.

We also examine a particular class of **auctions** (exemplified by a US Forest Service timber **auction** ), in which there are multiple items of unknown quantity for sale, and in which bids are submitted as a vector of unit-prices. The inner product of this vector and a previously-published vector of bidtaker quantity estimates must equal the price agreed upon in the second-price **auction** . Since the bidtaker quantity estimates may be in error (even if statistically unbiased), the amount actually paid may turn out to be significantly different from the price anticipated at the **time** the **auction** was awarded.

This set of **auction** **rules** allows the **bidder** to attempt to gain extra profit by "skewing" his **bid** , i.e., offering a vector of unit-price **bids** that takes advantage of **perceived** inaccuracies in the bidtaker's quantity estimates. Optimal skewed **bidding** strategies for a risk-neutral **bidder** were worked out by Stark (1974), and successful application of them can produce significantly higher average profits for a **bidder** . We investigate the probabilistic nature of this improvement in profit due to Stark's optimal skewing strategies, and show how application of them affects the Nash equilibria of the multiplicative-strategy second-price **auction** .

Finally, we propose an additional component of strategy which seeks to exploit skewed **bidding** to improve average profits even beyond Stark's strategies. That component is shown to have no significant effect in second-price **auctions** , but is likely to be quite effective in first-price **auctions** .

**15/5/9 (Item 8 from file: 35)**

DIALOG(R)File 35:Dissertation Abs Online


01088288 ORDER NO: AAD90-04967
**THE EFFECTS OF ENDOGENOUSLY-GENERATED INFORMATION ON SPECIFIC ECONOMIC INSTITUTIONS**
Author: DORSEY, ROBERT EARL
Degree: PH.D.
Year: 1989
Corporate Source/Institution: THE UNIVERSITY OF ARIZONA (0009)
DIRECTOR: JAMES C. COX
Source: VOLUME 50/09-A OF DISSERTATION ABSTRACTS INTERNATIONAL. PAGE 2989. 262 PAGES
Descriptors: ECONOMICS, GENERAL; ECONOMICS, THEORY
Descriptor Codes: 0501; 0511

The effects of endogenously generated information on decision making is studied within three economic institutions. A non-market institution, (voluntary contributions for the provision of a public good), and a market institution, (sealed **bid auctions** ), which both have been extensively studied as non dynamic institutions were used to examine the effects of dynamically generated information. A third institution, the double **auction** , which has been studied as a dynamic institution, was used to develop prototype methodology for the simulation and estimation of decision strategies with dynamic environments.

Experimental results are presented showing the effects of allowing real **time** revisions of voluntary contributions for the provision of a public good. Four public good payoff functions are examined, each of which generates specific equilibria. Evidence of increased provision of the public good is demonstrated for: (i) the case in which revisions are limited to increases and a provision point exists, and also (ii) the case in which there is a high initial marginal return from the public good.

An experimental investigation of sequential first and second price sealed **bid auctions** is conducted examining the effects of known capacity constraints on **bidding behavior** . Results are presented and compared to a Nash equilibrium model developed by Robert Weber. These naturally occurring inter- **auction** limitations are shown to significantly affect revenue generation capabilities of sealed **bid auctions** . **Bidding** and price **behavior** is presented and is found to be inconsistent with the model.

A methodology is proposed as a means for attempting to identify and estimate strategies utilized by **participants** within dynamic institutions. The methodology was used on the double **auction** . Computer automata are used to examine the contracting **behavior** of a variety of decision **rules** . Simulations of the decision model are run using parameters common to past experiments. The resulting contracts are compared to corresponding experiment contracts and are found to follow similar patterns. Two double **auction** experiments, each with ten subjects were used to generate actual contracting data. The parameters of the proposed decision model are estimated from data on the individual decisions of the subjects.

**15/5/10 (Item 9 from file: 35)**
DIALOG(R)File 35:Dissertation Abs Online


852971 ORDER NO: AAD84-20180
**THEORY AND EVIDENCE ON THE CONSEQUENCES OF ALTERNATIVE** AUCTION RULES
Author: HANSEN, ROBERT GORDON
Degree: PH.D.

Year: 1984
Corporate Source/Institution: UNIVERSITY OF CALIFORNIA, LOS ANGELES ( 0031)
Source: VOLUME 45/06-A OF DISSERTATION ABSTRACTS INTERNATIONAL. PAGE 1816. 251 PAGES
Descriptors: ECONOMICS, GENERAL
Descriptor Codes: 0501

**Auctions** have been around for a long **time** , but only recently have they begun to be analyzed by economists. This dissertation addresses two aspects of **auctions** ; first, how do the various **auction** methods (oral, sealed- **bid** , Dutch) compare when **bidders** are **characterized** by asymmetric information; and second, how does the available empirical evidence compare to the predictions of the various theories?

With respect to the first question, this thesis derives theory implying that second-price **auctions** (oral) tend to yield lower expected prices for the seller and a higher value of information for informed **bidders** than do first-price **auctions** (sealed- **bids** ). Also, second-prize **auctions** will generate more information production than first-price **auctions** .

Two methods are employed to test **auction** theories. The first is statistical estimation of regression equations using data from U.S. Forest Service timber **auctions** . A variety of specifications and estimation methods point to the same conclusion: revenues from oral and sealed- **bid** **auctions** are not significantly different. The second method is to trace the evolution of **auction** methods for tobacco in the U.S. It is shown that this evolution can be explained by the earlier result on information production under different **auction** **rules** .

**15/5/11 (Item 1 from file: 474)**
DIALOG(R)File 474:New York Times Abs


06781661 NYT Sequence Number: 078727940825
**GREYHOUND OFFERS 3 BOARD SEATS TO INVESTOR GROUP**
Bloomberg Business News
New York Times, Col. 1, Pg. 3, Sec. D
Thursday August 25 1994
DOCUMENT TYPE: Newspaper JOURNAL CODE: NYT LANGUAGE: English
RECORD TYPE: Abstract

ABSTRACT:
Greyhound Lines Inc asks three nominees of Connor, Clark & Co, which owns 18.31% of Greyhound's shares, to join board in **bid** to avert **proxy** fight (S)

COMPANY NAMES: GREYHOUND LINES INC; GREYHOUND LINES INC; CONNOR CLARK & CO
DESCRIPTORS: BOARDS OF DIRECTORS; APPOINTMENTS AND EXECUTIVE CHANGES; PROXY CONTESTS

**15/5/12 (Item 2 from file: 474)**
DIALOG(R)File 474:New York Times Abs


05546049 NYT Sequence Number: 002441890627
**MARKET PLACE: INVESTOR'S BET IS AGAINST HORSES**
LEV, MICHAEL

New York Times, Col. 2, Pg. 10, Sec. 4
Tuesday June 27 1989
DOCUMENT TYPE: Newspaper JOURNAL CODE: NYT LANGUAGE: English
RECORD TYPE: Abstract

ABSTRACT:
Market Place column on plan devised by Thomas W Gamel, who owns 5.6 percent of Hollywood Park, to discontinue live thoroughbred racing at track, sell racing dates to competitors, convert $40 million pavillion at park into simulcast center and sell rest of property; weighs **proxy** fight or **bid** for two entities that control ailing track, Hollywood Park Realty Enterprises Inc and Hollywood Park Operating Co, which oppose plan (M)

COMPANY NAMES: HOLLYWOOD PARK REAL ESTATE ENTERPRISES INC; HOLLYWOOD PARK OPERATING CO; HOLLYWOOD PARK RACE TRACK (CALIF)
DESCRIPTORS: HORSE RACING; THOROUGHBRED RACING; PROXY CONTESTS; MARKET PLACE (TIMES COLUMN)
PERSONAL NAMES: GAMEL, THOMAS W; LEV, MICHAEL

**15/5/13 (Item 3 from file: 474)**
DIALOG(R)File 474:New York Times Abs


04582950 NYT Sequence Number: 235337851219
**AID FOR TARGETS OF TAKEOVER**
New York Times, Col. 1, Pg. 17, Sec. 4
Thursday December 19 1985
DOCUMENT TYPE: Newspaper JOURNAL CODE: NYT LANGUAGE: English
RECORD TYPE: Abstract

ABSTRACT:
Senate passes bill that could make it easier for companies that are targets of hostile takeover **bids** or **proxy** fights to communicate with their shareholders (S)

DESCRIPTORS: MERGERS, ACQUISITIONS AND DIVESTITURES; LAW AND LEGISLATION
GEOGRAPHIC NAMES: UNITED STATES

**15/5/14 (Item 4 from file: 474)**
DIALOG(R)File 474:New York Times Abs


00975783 NYT Sequence Number: 093619790202
**Security industry sources forsee possibility of proxy contest by unhappy arbitragers and shareholders of McGraw-Hill following co's rejection of $40 per share takeover** bid **by American Express.** Proxy **contest will require American Express holding its offer open until March 1 '79, which it plans to do, and presentation of new slate of directors at McGraw-Hill annual meeting in April. Comments of major McGraw-Hill s**hareholders noted **(S).)**
METZ, ROBERT
New York Times, Col. 3, Pg. 4, Sec. 4
Friday February 2 1979
DOCUMENT TYPE: Newspaper JOURNAL CODE: NYT LANGUAGE: English
RECORD TYPE: Abstract

COMPANY NAMES: AMERICAN EXPRESS CO; MCGRAW-HILL INC; ROWE, T, PRICE GROWTH FUND

DESCRIPTORS: FORECASTS; MARKET PLACE (TIMES COLUMN); MERGERS, ACQUISITIONS AND DIVESTITURES; PROXY CONTESTS; SHAREHOLDERS; STOCK AND ASSET OWNERSHIP ; STOCKS (CORPORATE); BOARDS OF DIRECTORS; CORPORATION DIRECTORS; BOARDS OF TRUSTEES; TRUSTEES, BOARDS OF
PERSONAL NAMES: METZ, ROBERT; GEFFEN, MAXWELL M; MCGRAW, DONALD C JR; MCGRAW, JOHN L

**15/5/15 (Item 5 from file: 474)**
DIALOG(R)File 474:New York Times Abs


00465034 NYT Sequence Number: 032064740627
**Ronson Corp annual meeting adjourns on June 27 to permit count of** proxies **in** bid **by Liquifin AG to take over corp (S).)**
New York Times, Col. 4, Pg. 74
Thursday June 27 1974
DOCUMENT TYPE: Newspaper JOURNAL CODE: NYT LANGUAGE: English
RECORD TYPE: Abstract

COMPANY NAMES: LIQUIFIN AG; LIQUIGAS SPA (ITALIAN CO); RONSON CORP
DESCRIPTORS: MERGERS, ACQUISITIONS AND DIVESTITURES; STOCKS AND BONDS

**15/5/16 (Item 6 from file: 474)**
DIALOG(R)File 474:New York Times Abs


00223777 NYT Sequence Number: 077520711113
**Irving B Kahn resigns as Teleprompter chmn, chief exec and dir but remains full-time employe at dirs bd request; bd says it feels Kahn and co will be absolved in cable TV case; elects pres H J Schlafly to additional post of chief exec; Cooke files with SEC in apparent** bid **for** proxy **fight; Judge Brieant to decide on Cooke bid to delay shareholds meeting)**
New York Times, Col. 6, Pg. 45
Saturday November 13 1971
DOCUMENT TYPE: Newspaper JOURNAL CODE: NYT LANGUAGE: English
RECORD TYPE: Abstract

COMPANY NAMES: GROUP W CABLE INC
DESCRIPTORS: BRIBERY; CABLE TELEVISION; EXTORTION AND BLACKMAIL; TELEVISION AND RADIO
PERSONAL NAMES: BRIEANT, CHARLES L JR (JUDGE); COOKE, JACK KENT; KAHN, IRVING B (CO EXEC); SCHLAFLY, HUBERT J; WILCKE, GERD
GEOGRAPHIC NAMES: JOHNSTOWN (PA)

**15/5/17 (Item 7 from file: 474)**
DIALOG(R)File 474:New York Times Abs


00169677 NYT Sequence Number: 023420710312
**Stockholder group, alleging ownership of 21% of Universal Container voting stock, announces plan to seek control of the co at Apr annual meeting; group chmn F L Corrado charges co with poor mgt resulting in waste of corp assets; co pres L Maslow says co will oppose** proxy bid **)**
New York Times, Col. 8, Pg. 54
Friday March 12 1971
DOCUMENT TYPE: Newspaper JOURNAL CODE: NYT LANGUAGE: English
RECORD TYPE: Abstract

COMPANY NAMES: UNIVERSAL CONTAINER CORP
PERSONAL NAMES: CORRADO, FRED L; MASLOW, LEWIS

**15/5/18 (Item 1 from file: 475)**
DIALOG(R)File 475:Wall Street Journal Abs


08013619 NYT Sequence Number: 000000990305
**PHILIPS ELECTRONICS ESCALATES VLSI** BID **INTO A** PROXY **FIGHT**
ROSE, MATTHEW
Wall Street Journal, Col. 3, Pg. 2, Sec. B
Friday March 5 1999
DOCUMENT TYPE: Newspaper JOURNAL CODE: WSJ LANGUAGE: English
RECORD TYPE: Abstract

ABSTRACT:
Philips Electronics NV launches full-blown proxy fight for VLSI Technology Inc after the chip maker declined to enter formal negotiations; Philips is offering $17 a share to VLSI shareholders, valuing the company at $777 million (M)

COMPANY NAMES: PHILIPS ELECTRONICS NV; VLSI TECHNOLOGY INC
DESCRIPTORS: MERGERS, ACQUISITIONS AND DIVESTITURES; PROXY CONTESTS
PERSONAL NAMES: ROSE, MATTHEW

**15/5/19 (Item 2 from file: 475)**
DIALOG(R)File 475:Wall Street Journal Abs


07977582 NYT Sequence Number: 000000980414
**NUEVO ENERGY SET TO NAME ACTIVIST, ELSON, TO BOARD**
COOPER, CHRISTOPHER
Wall Street Journal, Col. 4, Pg. 4, Sec. A
Tuesday April 14 1998
DOCUMENT TYPE: Newspaper JOURNAL CODE: WSJ LANGUAGE: English
RECORD TYPE: Abstract

ABSTRACT:
Nuevo Energy Co, which has been troubled by disappointing results and management turnover, agrees to name shareholder activist Charles Elson to its board, in **bid** to avoid **proxy** battle (M)

COMPANY NAMES: NUEVO ENERGY CO
DESCRIPTORS: BOARDS OF DIRECTORS; APPOINTMENTS AND EXECUTIVE CHANGES
PERSONAL NAMES: COOPER, CHRISTOPHER; ELSON, CHARLES (PROF)

**15/5/20 (Item 3 from file: 475)**
DIALOG(R)File 475:Wall Street Journal Abs


07975671 NYT Sequence Number: 000000980330
**FIRST UNION REIT FAILS IN** BID **TO BLOCK** PROXY **CONTEST WITH GOTHAM PARTNERS**
PACELLE, MITCHELL
Wall Street Journal, Col. 3, Pg. 4, Sec. A
Monday March 30 1998
DOCUMENT TYPE: Newspaper JOURNAL CODE: WSJ LANGUAGE: English

RECORD TYPE: Abstract

ABSTRACT:
Ohio state court rejects First Union Real Estate Investment's effort to block proxy contest, giving Gotham Partners Management Co and its allies advantage in showdown with First Union management over how to best take advantage of special tax status of paired-share REIT (M)

COMPANY NAMES: FIRST UNION REAL ESTATE INVESTMENTS; GOTHAM PARTNERS LP
DESCRIPTORS: REAL ESTATE INVESTMENT TRUSTS (REIT)
PERSONAL NAMES: PACELLE, MITCHELL

**15/5/21 (Item 4 from file: 475)**
DIALOG(R)File 475:Wall Street Journal Abs


07965360 NYT Sequence Number: 000000971231
**KOLLMORGEN HOLDERS GET** PROXY **TIED TO** BID **FOR PACIFIC SCIENTIFIC**
Wall Street Journal, Col. 6, Pg. 8, Sec. B
Wednesday December 31 1997
DOCUMENT TYPE: Newspaper JOURNAL CODE: WSJ LANGUAGE: English
RECORD TYPE: Abstract

ABSTRACT:
Kollmorgen Corp mails shareholders a definitive proxy statement in connection with its $254 million hostile takeover bid for Pacific Scientific Co (S)

COMPANY NAMES: Kollmorgen Corp; Pacific Scientific Corp
DESCRIPTORS: MERGERS, ACQUISITIONS AND DIVESTITURES

**15/5/22 (Item 5 from file: 475)**
DIALOG(R)File 475:Wall Street Journal Abs


07012097
**MOORE CORP. EXTENDS WALLACE TAKEOVER** BID , **PLANS** PROXY **BATTLE**
Wall Street Journal, Col. 3, Pg. 8, Sec. B
Tuesday August 29 1995
DOCUMENT TYPE: Newspaper JOURNAL CODE: WSJ LANGUAGE: English
RECORD TYPE: Abstract

ABSTRACT:
Moore Corp extends by three weeks its $1.3 billion hostile takeover bid for Wallace Computer Services Inc; adds it is planning a proxy battle to counter Wallace's poison-pill defense; says it will extend deadline to Sept 19 and notes it has received only about 1% of total shares (S)

COMPANY NAMES: MOORE CORP; WALLACE COMPUTER SERVICES INC
DESCRIPTORS: PROXY CONTESTS; MERGERS, ACQUISITIONS AND DIVESTITURES

**15/5/23 (Item 6 from file: 475)**
DIALOG(R)File 475:Wall Street Journal Abs


06758051
**UNION PACIFIC PLANS** PROXY BID **FOR SANTA FE**
Wall Street Journal, Col. 4, Pg. 3, Sec. A

Friday October 14 1994
DOCUMENT TYPE: Newspaper JOURNAL CODE: WSJ LANGUAGE: English
RECORD TYPE: Abstract

ABSTRACT:
Union Pacific Corp, intensifying the three-way battle over railroads in the West, says it plans a proxy fight to win control of Santa Fe Pacific Corp before Santa Fe can complete a merger with Burlington Northern Inc (M)

COMPANY NAMES: UNION PACIFIC CORP; SANTA FE PACIFIC CORP; BURLINGTON NORTHERN INC
DESCRIPTORS: RAILROADS; MERGERS, ACQUISITIONS AND DIVESTITURES
PERSONAL NAMES: STEINMETZ, GREG

**15/5/24 (Item 7 from file: 475)**
DIALOG(R)File 475:Wall Street Journal Abs


06260180
**VAN DORN CO FACES** PROXY BID **FOR CONTROL BY AN INVESTOR GROUP**
Wall Street Journal, Col. 3, Pg. 3, Sec. B
Wednesday April 1 1992
DOCUMENT TYPE: Newspaper JOURNAL CODE: WSJ LANGUAGE: English
RECORD TYPE: Abstract

ABSTRACT:
Investor group led by arbitrager Guy Wyser-Pratte says it plans proxy fight for control of Van Dorn Co (S)

COMPANY NAMES: VAN DORN CO
DESCRIPTORS: MERGERS, ACQUISITIONS AND DIVESTITURES
PERSONAL NAMES: WYSER-PRATTE, GUY

**15/5/25 (Item 8 from file: 475)**
DIALOG(R)File 475:Wall Street Journal Abs


06011363
**POSNER ASSOCIATE MOUNTS** PROXY BID **FOR TALLEY BOARD**
RUNDLE, RHONDA L
Wall Street Journal, Col. 5, Pg. 6, Sec. A
Friday April 26 1991
DOCUMENT TYPE: Newspaper JOURNAL CODE: WSJ LANGUAGE: English
RECORD TYPE: Abstract

ABSTRACT:
Donald J Glazer, associate of Victor Posner, financier who controls 7.7% stake in Talley Industries Inc, launches low-budget proxy contest against ailing company to install himself and three nominees on its 12-member board (M)

COMPANY NAMES: TALLEY INDUSTRIES INC
DESCRIPTORS: PROXY CONTESTS; BOARDS OF DIRECTORS
PERSONAL NAMES: RUNDLE, RHONDA L; GLAZER, DONALD J; POSNER, VICTOR

**15/5/26 (Item 9 from file: 475)**
DIALOG(R)File 475:Wall Street Journal Abs

06008508
**AT&T GETS LOWER-THAN-EXPECTED VOTE IN** PROXY **FIGHT OVER HOSTILE** BID **FOR NCR**
Wall Street Journal, Col. 2, Pg. 3, Sec. A
Friday March 29 1991
DOCUMENT TYPE: Newspaper JOURNAL CODE: WSJ LANGUAGE: English
RECORD TYPE: Abstract

ABSTRACT:
American Telephone & Telegraph Co receives weaker-than-expected vote of about 60% in its proxy battle over its $100-a-share, $6.8 billion hostile bid for NCR Corp at NCR's annual meeting; while vote is enough to unseat four of NCR's 12 directors, if falls far short of 80% level needed to oust entire board, and appears to reduce AT&T's leverage in gaining control of NCR (M)

COMPANY NAMES: AMERICAN TELEPHONE & TELEGRAPH CO INC (AT&T); NCR CORP
DESCRIPTORS: PROXY CONTESTS; MERGERS, ACQUISITIONS AND DIVESTITURES
PERSONAL NAMES: WILKE, JOHN R; SMITH, RANDALL

**15/5/27 (Item 10 from file: 475)**
DIALOG(R)File 475:Wall Street Journal Abs


05771896
**INVESTOR GROUP RAISES STAKE, MAY CHALLENGE ROBERTSON MERGER**
Wall Street Journal, Col. 4, Pg. 4, Sec. B
Friday August 24 1990
DOCUMENT TYPE: Newspaper JOURNAL CODE: WSJ LANGUAGE: English
RECORD TYPE: Abstract

ABSTRACT:
Investor group opposed to H H Robertson Co's pending merger with Ceco Industries Inc says it may seek to defeat transaction, perhaps through **proxy** challenge or **bid** for entire company (S)

COMPANY NAMES: ROBERTSON, H H, CO; CECO INDUSTRIES INC
DESCRIPTORS: MERGERS, ACQUISITIONS AND DIVESTITURES

**15/5/28 (Item 11 from file: 475)**
DIALOG(R)File 475:Wall Street Journal Abs


05761897
**ICAHN LOSES** PROXY BID **TO FORCE USX TO SPIN OFF STEEL BUSINESS**
Wall Street Journal, Col. 1, Pg. 3, Sec. A
Tuesday May 8 1990
DOCUMENT TYPE: Newspaper JOURNAL CODE: WSJ LANGUAGE: English
RECORD TYPE: Abstract

ABSTRACT:
Investor Carl Icahn loses his bid to force USX Corp out of steel; but bitter battle may prod company to sell at least part of core business (M)

COMPANY NAMES: USX CORP
DESCRIPTORS: MERGERS, ACQUISITIONS AND DIVESTITURES; STEEL AND IRON
PERSONAL NAMES: ANSBERRY, CLARE; O'BOYLE, THOMAS F; ICAHN, CARL C

**15/5/29 (Item 12 from file: 475)**
DIALOG(R)File 475:Wall Street Journal Abs


05759675
**BTR PLC BEGINS** PROXY **FIGHT IN** BID **FOR NORTON CO**
INGRASSIA, LAWRENCE
Wall Street Journal, Col. 5, Pg. 2, Sec. A
Friday April 13 1990
DOCUMENT TYPE: Newspaper JOURNAL CODE: WSJ LANGUAGE: English
RECORD TYPE: Abstract

ABSTRACT:
BTR PLC launches proxy fight to unseat board of Norton Co and demands it be allowed to inspect any confidential information Norton is allowing other potential bidders (M)

COMPANY NAMES: BTR PLC; NORTON CO
DESCRIPTORS: MERGERS, ACQUISITIONS AND DIVESTITURES; PROXY CONTESTS
PERSONAL NAMES: INGRASSIA, LAWRENCE

**15/5/30 (Item 13 from file: 475)**
DIALOG(R)File 475:Wall Street Journal Abs


05505196
**MCCAW BOOSTS OFFER FOR LIN TO $150 A SHARE**
Wall Street Journal, Col. 1, Pg. 3, Sec. 1
Tuesday November 21 1989
DOCUMENT TYPE: Newspaper JOURNAL CODE: WSJ LANGUAGE: English
RECORD TYPE: Abstract

ABSTRACT:
McCaw Cellular Communications Co, turning up pressure in its long siege of LIN Broadcasting Corp, significantly sweetens its takeover **bid** and threatens **proxy** fight to throw out LIN's board (M)

COMPANY NAMES: MCCAW CELLULAR COMMUNICATIONS INC; LIN BROADCASTING CORP
DESCRIPTORS: MERGERS, ACQUISITIONS AND DIVESTITURES
PERSONAL NAMES: MCCOY, CHARLES; LOPEZ, JULIE AMPARANO; KELLER, JOHN J

**15/5/31 (Item 14 from file: 475)**
DIALOG(R)File 475:Wall Street Journal Abs


05269383
**GROUP TO SEEK** PROXIES **IN** BID **TO OUST BOARD OF CONSOLIDATED OIL**
Wall Street Journal, Col. 6, Pg. 26, Sec. 2
Tuesday July 5 1988
DOCUMENT TYPE: Newspaper JOURNAL CODE: WSJ LANGUAGE: English
RECORD TYPE: Abstract

ABSTRACT:
Investor group says in Securities and Exchange Commission filing that it plans to form committee to solicit proxies to oust board of Consolidated Oil & Gas Inc

COMPANY NAMES: CONSOLIDATED OIL & GAS INC; SECURITIES AND EXCHANGE

COMMISSION (SEC)
DESCRIPTORS: BOARDS OF DIRECTORS

**15/5/32 (Item 15 from file: 475)**
DIALOG(R)File 475:Wall Street Journal Abs


05266712
**FARLEY INTENSIFIES PEPPERELL BID, ASKS MEETING OF HOLDERS**
SCHIFFMAN, JAMES R
Wall Street Journal, Col. 1, Pg. 5, Sec. 2
Monday December 5 1988
DOCUMENT TYPE: Newspaper JOURNAL CODE: WSJ LANGUAGE: English
RECORD TYPE: Abstract

ABSTRACT:
Chicago investor William Farley is moving to start **proxy** fight in his **bid** to take over West Point-Pepperell Inc (M)

COMPANY NAMES: WEST POINT-PEPPERELL INC
DESCRIPTORS: MERGERS, ACQUISITIONS AND DIVESTITURES; PROXY CONTESTS
PERSONAL NAMES: SCHIFFMAN, JAMES R; FARLEY, WILLIAM

**15/5/33 (Item 16 from file: 475)**
DIALOG(R)File 475:Wall Street Journal Abs


05258635
**MEDIA GENERAL SAYS SUGARMAN LOST** PROXY BID
ROBERTS, JOHNNIE L
Wall Street Journal, Col. 4, Pg. 32, Sec. 1
Thursday May 26 1988
DOCUMENT TYPE: Newspaper JOURNAL CODE: WSJ LANGUAGE: English
RECORD TYPE: Abstract

ABSTRACT:
Media General Inc has defated Hollywood producer Burt Sugarman in bitter proxy battle to elect rival slates of directors to represent company's Class A shareholders, according to preliminary results disclosed by pres James S Evans (S)

COMPANY NAMES: MEDIA GENERAL INC
DESCRIPTORS: PROXY CONTESTS; BOARDS OF DIRECTORS
PERSONAL NAMES: ROBERTS, JOHNNIE L; SUGARMAN, BURT; EVANS, JAMES S

**15/5/34 (Item 17 from file: 475)**
DIALOG(R)File 475:Wall Street Journal Abs


05024090
**CTS, DYNAMICS CORP SETTLE LONG DISPUTE OVER** BID , PROXY **FIGHT**
Wall Street Journal, Col. 1, Pg. 35, Sec. 1
Thursday March 5 1987
DOCUMENT TYPE: Newspaper JOURNAL CODE: WSJ LANGUAGE: English
RECORD TYPE: Abstract

ABSTRACT:
CTS Corp says it has reached agreement ending attempt to take over

17/3,K/27 (Item 21 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
(c) 2004 WIPO/Univentio. All rts. reserv.

00783283 **Image available**
**A METHOD AND SYSTEM FOR COMMUNICATING TARGETED INFORMATION**
**PROCEDE ET SYSTEME PERMETTANT DE COMMUNIQUER DES INFORMATIONS CIBLEES**
Patent Applicant/Assignee:
UNDERWRITERS DIGITAL RESEARCH INC, 28 Bayside Drive, Atlantic Highlands, NJ 07716, US, US (Residence), US (Nationality)
Inventor(s):
KOOPERSMITH Jeff, 28 Bayside Drive, Atlantic Highlands, NJ 07716, US,
Legal Representative:
LEVI Joseph E (agent), Clifford Chance Rogers & Wells LLP, 200 Park Avenue, New York, NY 10166, US,
Patent and Priority Information (Country, Number, Date):
Patent: WO 200116831 A1 20010308 (WO 0116831)
Application: WO 2000US23025 20000822 (PCT/WO US0023025)
Priority Application: US 99385200 19990830
Designated States: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW
(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE
(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG
(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW
(EA) AM AZ BY KG KZ MD RU TJ TM
Publication Language: English
Filing Language: English
Fulltext Word Count: 18537

Main International Patent Class: **G06F-017/60**
Fulltext Availability:
Detailed Description

Detailed Description
... s request with an offer to sell that product or service at that price. The **consumer** then accepts a suitable offer and consummates the transaction.

A drawback of the reverse **auction** method is that the offering suppliers know nothing about the **consumer** , for example the **consumer** 's demographic profile, buying **habits** or
3
SUBSTITUTE SHEET ( **RULE** 26)
interests, other than the **consumer** 's desire to purchase a product at a particular price. Because of this lack of...
...price, the offering supplier may offer, based on knowledge of the consumer's previous vacationing **habits** , a special deal for a ticket to Bermuda for the same price and also order...

17/3,K/28 (Item 22 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
(c) 2004 WIPO/Univentio. All rts. reserv.

00776235 **Image available**
**SELLING HEAVILY NEGOTIATED ITEMS**
**VENTE D'ARTICLES FORTEMENT NEGOCIES**

Patent Applicant/Assignee:
EREORG COM GROUP INC, 10 East 53 Street, Floor 25, New York, NY 10021, US , US (Residence), US (Nationality)
Inventor(s):
DEKOVEN Ronald, 41 Olmstead Rd., Scarsdale, NY 10583, US
Legal Representative:
POMERANCE Brenda, 260 West 52 St. Apt. 27B, New York, NY 10019, US
Patent and Priority Information (Country, Number, Date):
Patent: WO 200109788 A1 20010208 (WO 0109788)
Application: WO 2000US20428 20000727 (PCT/WO US0020428)
Priority Application: US 99363195 19990729
Designated States: AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK DM EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW
(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE
(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG
(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW
(EA) AM AZ BY KG KZ MD RU TJ TM
Publication Language: English
Filing Language: English
Fulltext Word Count: 15935

Main International Patent Class: **G06F-017/60**
Fulltext Availability:
Detailed Description

Detailed Description
... bidder obtains the item at the price bid by the next-to-highest bidder
Reverse **auctions** (users list items they wish to purchase)
Single items or multiple simultaneous items supported per **auction**
Auto-extend: closing **time** can be variable and can automatically extend while
bidding activity continues
Close by price: **auction** can automatically close upon reaching a certain price 0 Proxy bidding (system bids for participant...

**17/3,K/29 (Item 23 from file: 349)**
DIALOG(R)File 349:PCT FULLTEXT


00774487 **Image available**
**SYSTEMS AND METHODS FOR EVALUATING INFORMATION ASSOCIATED WITH A TRANSACTION TO DETERMINE A SUBSIDY OFFER**
**SYSTEMES ET PROCEDES POUR EVALUER DES INFORMATIONS ASSOCIEES A UNE TRANSACTION POUR DETERMINER UNE OFFRE DE SUBVENTION**
Patent Applicant/Assignee:
WALKER DIGITAL LLC, Five High Ridge Park, Stamford, CT 06905, US, US (Residence), US (Nationality), (For all designated states except: US)
Patent Applicant/Inventor:
WALKER Jay S, 124 Spectacle Lane, Ridgefield, CT 06977, US, US (Residence), US (Nationality), (Designated only for: US)
TEDESCO Daniel E, 49 Kings Highway North, Westport, CT 06880, US, US (Residence), US (Nationality), (Designated only for: US)
TULLEY Stephen C, 15 River Place, Stamford, CT 06907, US, US (Residence), US (Nationality), (Designated only for: US)
PACKES John M Jr, 21 Frankford Street, Hawthorne, NY 10532-1950, US, US (Residence), US (Nationality), (Designated only for: US)

BEMER Keith, 517 East 75th Street #2E, New York, NY 10021, US, US (Residence), US (Nationality), (Designated only for: US)
**JORASCH James A** , Apartment 5G, 25 Forest Street, Stamford, CT 06901, US , US (Residence), US (Nationality), (Designated only for: US
Legal Representative:
BUCKLEY Patrick J (et al) (agent), Walker Digital Corporation, Five High Ridge Park, Stamford, CT 06905, US,
Patent and Priority Information (Country, Number, Date):
Patent: WO 200108025 A2 20010201 (WO 0108025)
Application: WO 2000US18474 20000706 (PCT/WO US0018474)
Priority Application: US 99143396 19990712; US 2000579215 20000526
Designated States: AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CU CZ DE DK DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT UA UG US UZ VN YU ZW
(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE
(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG
(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW
(EA) AM AZ BY KG KZ MD RU TJ TM
Publication Language: English
Filing Language: English
Fulltext Word Count: 11692

Patent Applicant/Inventor:
... Designated only for: US)
**JORASCH James A** ...
Main International Patent Class: **G06F-017/60**
Fulltext Availability:
Detailed Description

Detailed Description
... in purchasing a similar camera made by a second manufacturer.

In the case of an **auction** , the indication that the customer is interested in purchasing the item may comprise a bid...may be evaluated. Consider a customer who bids $50 for an item associated with an **auction** reserve price (i.e., a price below which the item will not be sold) of...

**17/3,K/30 (Item 24 from file: 349)**
DIALOG(R)File 349:PCT FULLTEXT
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00769457 **Image available**
**VISUAL VEHICLE REPORT**
**COMPTE-RENDU VISUEL RELATIF A UN VEHICULE**
Patent Applicant/Assignee:
AUTOBYTEL COM INC, 18872 MacArthur Boulevard, Irvine, CA 29612, US, US (Residence), US (Nationality)
Inventor(s):
WALKER Timothy, 2855 Baxter, Tustin, CA 92782, US,
LE Dang H, 15288 Zaharias Street, Moreno Valley, CA 92555, US,
WAGONER Kevin, 3521 N. Tamarind Avenue, Rialto, CA 92377, US,
Legal Representative:
NATAUPSKY Steven J (agent), Knobbe, Martens, Olson And Bear, LLP, 620 Newport Center Drive, 16th Floor, Newport Beach, CA 92660, US,
Patent and Priority Information (Country, Number, Date):
Patent: WO 200102983 A2 20010111 (WO 0102983)
Application: WO 2000US17993 20000629 (PCT/WO US0017993)
Priority Application: US 99347248 19990702; US 99347895 19990706
Designated States: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ

(utility model) CZ DE (utility model) DE DK (utility model) DK DM DZ EE (utility model) EE ES FI (utility model) FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK (utility model) SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW
(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE
(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG
(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW
(EA) AM AZ BY KG KZ MD RU TJ TM
Publication Language: English
Filing Language: English
Fulltext Word Count: 13668

Main International Patent Class: **G06F-017/60**
Fulltext Availability:
Detailed Description

Detailed Description
... specify a bid increment to be used by the bid processing module in generating a **proxy bid** on the **bidder** 's behalf. In yet another embodiment, the bidder may further specify a **time** parameter which is used in delaying the **bidder** 's **proxy bids** . As an example, the bidder may specify that his or her **proxy bids** are to be submitted ten (10) **minutes** after a subsequent higher bid is received from a different bidder. As another example, the bidder may specify that his or her **proxy bids** are to be submitted twenty (20) **minutes** before the close of the **auction** .

5 In one embodiment, if the top bid specified by the bidder is insufficient for...

**17/3,K/31 (Item 25 from file: 349)**
DIALOG(R)File 349:PCT FULLTEXT


00769406 **Image available**
**INTEGRATED BUSINESS-TO-BUSINESS WEB COMMERCE AND BUSINESS AUTOMATION SYSTEM**
**SYSTEME INTEGRE D'AUTOMATISATION DES ECHANGES COMMERCIAUX ENTRE ENTREPRISES PAR L'INTERNET**
Patent Applicant/Inventor:
WONG Charles, 14250 Miranda Road, Los Altos Hills, CA 94022, US, US (Residence), US (Nationality)
Legal Representative:
COVERSTONE Thomas E (agent), Burns, Doane, Swecker & Mathis, LLP, P.O. Box 1404, Alexandria, VA 22313-1404, US,
Patent and Priority Information (Country, Number, Date):
Patent: WO 200102927 A2-A3 20010111 (WO 0102927)
Application: WO 2000US16739 20000616 (PCT/WO US0016739)
Priority Application: US 99334688 19990617
Parent Application/Grant:
Related by Continuation to: US 99334688 19990617 (CON)
Designated States: AE AG AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW
(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE
(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG
(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW
(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English
Filing Language: English
Fulltext Word Count: 51133

Main International Patent Class: **G06F-017/60**
Fulltext Availability:
Claims

Claim
... n "data window." Fur
down" levels at once. thermore, that movement is
always possible.
Secondary **characteristics** Featu res: Benefits:
follow:
Start with nothing and then Start with open "go anywhere" Users...

...all workflow) quotations, pro forconsistency and in some obey the same
data consis- mas or **bids** . Entire transaction cases, even data integrity
**rules** tency and integrity **rules** . Even sets may be duplicated or re
apparent violations of business routed to additional **customers**
rules (e.g., create a fictitious pro in a zero programming, zero
forma order with...data is "touched" only once, maximizing data
integrity, and data is always "in sync," defining **characteristics** of a
solid-state database. Because of the solid-state nature of the database,
the...that bears no demand relation to other business partners. As a
result the latency problem **characteristic** of the prior art is solved,
with "infrastructure on demand" being provided simply and cleanly...

**17/3,K/32 (Item 26 from file: 349)**
DIALOG(R)File 349:PCT FULLTEXT
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00766087 **Image available**
**METHOD AND APPARATUS FOR TRADING MARKET DESIGN AND DEPLOYMENT**
**PROCEDE ET SYSTEME DE CONCEPTION D'UN MARCHE BOURSIER, ET DEPLOIEMENT**
Patent Applicant/Assignee:
TRADING DYNAMICS INC, 313 West Evelyn Avenue, Mountain View, CA 94041, US , US (Residence), US (Nationality), (For all designated states except: US)
Patent Applicant/Inventor:
EPHRATI Eithan, Apt. C-3, 1575 Tenaka Place, Sunnyvale, CA 94087, US, US (Residence), IL (Nationality), (Designated only for: US )
SHOHAM Yoav, 4058 Orme Street, Palo Alto, CA 94306, US, US (Residence), IL (Nationality), (Designated only for: US )
WELLMAN Michael, 427 Riverview Drive, Ann Arbor, MI 48104, US, US (Residence), US (Nationality), (Designated only for: US )
Legal Representative:
MALLIE Michael J, Blakely, Sokoloff, Taylor & Zafman LLP, 7th Floor, 12400 Wilshire Boulevard, Los Angeles, CA 90025, US
Patent and Priority Information (Country, Number, Date):
Patent: WO 200079463 A1 20001228 (WO 0079463)
Application: WO 2000US17449 20000623 (PCT/WO US0017449)
Priority Application: US 99339325 19990623; US 99410856 19991001
Designated States: AE AG AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW
(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE
(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW
(EA) AM AZ BY KG KZ MD RU TJ TM
Publication Language: English
Filing Language: English
Fulltext Word Count: 6036

Main International Patent Class: **G06F-017/60**
Fulltext Availability:
Claims

Claim
... 110 BASE
SCRIPT 112
GENERATOR
121
WEB PAS
SERVER(S) 140
162
UTC
120 INTERNET
**PROXY** 4-Op 160
**BIDDER**
509
161
usc
130 MAC
150
Fig 1a
SUBSTITUTE SHEET ( **RULE** 26)
/6
SCRIPT INTERPRETER
BID VERIFIER 151
PAS
140 BID TRANSFORMER 155
INFORMATION MANAGER
ORDER BOOK/CLEARER 154
**PROXY** **BIDDER** 509
APPLICATION PROGRAM INTERFACE
Fig. 1b
SUBSTITUTE SHEET ( **RULE** 26)
/6
FIREWALL FIREWALL
DATA- **AUCTION**
SERVER
BASE
BID
TRANSFORMER
WEB 222
TRADER SERVER(S) PRIVATE
PARTY CLEARER
200 224
2...

...A) 630
@T
BID IS PLACED INTO THE
ORDER BOOK
640
Fig* 4
SUBSTITUTE SHEET ( **RULE** 26)
MARKET 700

**AUCTION** 710
PHASE 720
co
BID VERIFIER TRADE MANAGER
co
730 780
m
cn
x
m...

**17/3,K/33 (Item 27 from file: 349)**
DIALOG(R)File 349:PCT FULLTEXT


00752884
**SYSTEM AND METHOD FOR PROVIDING AN ELECTRONIC BUSINESS-TO-BUSINESS EXCHANGE FOR BUYERS AND SELLERS**
**SYSTEME ET PROCEDE ASSURANT DES ECHANGES COMMERCIAUX ELECTRONIQUES ENTRE ACHETEURS ET VENDEURS**
Patent Applicant/Assignee:
TRADEOUT COM INC, 410 Saw Mill River Road, Suite 2065, Ardsley, NY 10502, US, US (Residence), US (Nationality), (For all designated states except: US)
Patent Applicant/Inventor:
McCAGG Brin, 119 East 84th Street, #6A, New York, NY 10028, US, US (Residence), US (Nationality), (Designated only for: US)
BOYLE Thomas R, 362 Joan Drive, Fairfield, CT 06430, US, US (Residence), US (Nationality), (Designated only for: US)
SCHILLING Peter, 59 Woodleigh Road, Dedham, MA 02026, US, US (Residence), US (Nationality), (Designated only for: US)
Legal Representative:
SOFER Joseph (agent), Sofer & Haroun, LLP, Suite 1921, 342 Madison Avenue, New York, NY 10173, US,
Patent and Priority Information (Country, Number, Date):
Patent: WO 200065505 A2 20001102 (WO 0065505)
Application: WO 2000US10619 20000420 (PCT/WO US0010619)
Priority Application: US 99130607 19990422
Designated States: AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK DM EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW
(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE
(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG
(AP) GH GM KE LS MW SD SL SZ TZ UG ZW
(EA) AM AZ BY KG KZ MD RU TJ TM
Publication Language: English
Filing Language: English
Fulltext Word Count: 14319

Main International Patent Class: **G06F-017/60**
Fulltext Availability:
Claims

Claim
... CURRENT WINNI
i NO
435 ON CLOSE
iYES
4401REBALANCER D.ETERMINES FINAL WINNING 131DI

445FHIGHEST **BIDDER** AND SELLER INFORMED
SUBSTITUTE SHEET ( **RULE** 25)
/11
FlGe 5
FIRST BUYER ENTERS CURRENT HIGH 500
i
IFIRST BUYER SELECTS AUTOBID F 505
FIRST BUYER ENTERS AUTOBID DATA INCL. 12MAX **BID** "]510
SECOND BUYER LOGS ON AND ENTERS NEW 515
CURRENT HIGHEST BID
520 YES 545...

...IS NEW 535
ICURRENT HIGHEST BID
INFORM BUYERS AND SELLER OF NEW 540
ICURRENT HIGHEST **BID**
NO
**AUCTI**ON CLOSE'D?> 550
S
T HIGHEST **BIDDER** AND 55
SELLER OF WINNING **BID**
SUBSTMUTE SHEET ( **RULE** 26)
FlGo 6
600 SELLER ENTERS MINIMUM ACCEPTABLE **BID** P 600
i
605 SELLER SELECTS AUTO-REPOST F 605
61 0SELLER ENTERS AUTO-REPOST...

...INFORMATION TO LIST YOUR n'Em.
725
MOVERVIEW ILINITIQ STM
MY LISTINGS:
MPOST LISTINGS 75 **CHARACTER** INDEX. CTIP. AVOID ASTERISKS, PLUS SIGNS, ETC.)
MODIFY USTINGS SEARCH KEYICOMPAQ COMPRW@-- 730
NREPOST LISTINGS...

**17/3,K/34 (Item 28 from file: 349)**
DIALOG(R)File 349:PCT FULLTEXT


00745512 **Image available**
**CONTINUOUS ON LINE AUCTION SYSTEM AND METHOD**
**SYSTEME ET PROCEDE DE VENTE AUX ENCHERES EN LIGNE EN CONTINU**
Patent Applicant/Assignee:
AUTOBYTEL COM INC, 2nd Floor, 18872 Macarthur Boulevard, Irvine, CA 92612 , US, US (Residence), US (Nationality)
Inventor(s):
WAGONER Kevin J, 3521 North Tamarind Avenue, Rialto, CA California 92377, US,
WALKER Tim, 2855 Baxter, Tustin, CA 92782, US,
LEE Jin Seo, 200 West Columbine, #B6, Santa Ana, CA 92707, US,
TEDESCO Michael C, 4477 Heather Circle, Chino, CA 91710, US,
LE Danny, 15288 Zaharias Street, Moreno Valley, CA 92555, US,
RATHWICK Zane Adam, 7 Stone Creek Lane, Laguna Hills, CA 92653, US,
ADELI Max, 2233 Martin, Unit 406, Irvine, CA 92612, US,
Legal Representative:
ALTMAN Daniel E (agent), Knobbe, Martens, Olson And Bear, LLP, 16th Floor, 620 Newport Center Drive, Newport Beach, CA 92660, US,

Patent and Priority Information (Country, Number, Date):
Patent: WO 200058885 A2 20001005 (WO 0058885)
Application: WO 2000US4767 20000224 (PCT/WO US0004767)
Priority Application: US 99283120 19990331
Designated States: AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ CZ (utility model) DE DE (utility model) DK DK (utility model) DM EE EE (utility model) ES FI FI (utility model) GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SK (utility model) SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW
(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE
(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG
(AP) GH GM KE LS MW SD SL SZ TZ UG ZW
(EA) AM AZ BY KG KZ MD RU TJ TM
Publication Language: English
Filing Language: English
Fulltext Word Count: 16759

Main International Patent Class: **G06F-017/60**
Fulltext Availability:
Detailed Description

Detailed Description
... specify a bid increment to be used by the bid processing module in generating a **proxy bid** on the **bidder** 's behalf. In yet another embodiment, the bidder may further specify a **time** parameter which is used in delaying the **bidder** 's **proxy bids** . As an example, the bidder may specify that his or her **proxy bids** are to be submitted ten (10) **minutes** after a subsequent higher bid is received from a different bidder. As another example, the bidder may specify that his or her **proxy bids** are to be submitted twenty (20) **minutes** before the close of the **auction** .

In one embodiment, if the top bid specified by the bidder is insufficient for the...the same top bid. In one embodiment, the auction center 106 can associate a receive **time** for each bid data.

If the new bid's top bid is not larger than the **proxy** ceiling, the **bid** processing module determines if the new bid's top bid is larger than the current...the bidder's bid being out bid, the bidder's bid being determined a winning **bid** , and a **proxy bid** being performed on the bidder's behalf. The bidder may specify the method of notification at the **time** of submitting the bid. The bidder may specify notification methods such as e-mail, fax...

**17/3,K/35 (Item 29 from file: 349)**
DIALOG(R)File 349:PCT FULLTEXT


00742384 **Image available**
**E-COMMERCE METHOD AND SYSTEM FOR ONLINE OPPORTUNISTIC AUCTIONS IN COMMERCIAL SECONDARY MARKETS**
**PROCEDE ET SYSTEME DE COMMERCE ELECTRONIQUE POUR ENCHERES OPPORTUNISTES EN LIGNE SUR DES MARCHES COMMERCIAUX SECONDAIRES**
Patent Applicant/Assignee:
VIACHANGE COM INC, 2400 Lincoln Avenue, Altadena, CA 91001, US, US (Residence), US (Nationality), (For all designated states except: US)
Patent Applicant/Inventor:
GULATI Sandeep, 5467 La Forest Drive, La Canada, CA 91011, US, US

(Residence), IN (Nationality), (Designated only for: US)
Legal Representative:
SCHROEDER Robert A (agent), Christie, Parker [entity:amp] Hale, LLP, Post Office Box 7068, Pasadena, CA 91109-7068, US,
Patent and Priority Information (Country, Number, Date):
Patent: WO 200055754 A2 20000921 (WO 0055754)
Application: WO 2000US6299 20000310 (PCT/WO US0006299)
Priority Application: US 99271096 19990317
Designated States: AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW
(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE
(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG
(AP) GH GM KE LS MW SD SL SZ TZ UG ZW
(EA) AM AZ BY KG KZ MD RU TJ TM
Publication Language: English
Filing Language: English
Fulltext Word Count: 15355

Main International Patent Class: **G06F-017/60**
Fulltext Availability:
Claims

Claim
... 2416
Release Listing oc
u a r 2418
Locking Discoun
Lock Offeror
@ 1 2420
FEnter **Auction** Mode/
24 37
FIGURE 25
2500
Offered
Portfolio (listed at
Sub-exchange)
2502
Initiate Lock
2506a **Interval** 2506b
r
2506c
2504 U
Accumulate Lock/ umh r/r
so Requests
2508
ip e
S
NO Enter Lock **Auction**
2510 Mode
Activate and Acc 2516
Lock
251
Can transact Update Lock Price;
2512 (2512...

**17/3,K/36 (Item 30 from file: 349)**
DIALOG(R)File 349:PCT FULLTEXT

00738061
**METHOD AND SYSTEM CONSTITUTING A VIRTUAL COLLECTIVE ENTITY FOR MARKET-EFFICIENT RETAIL PURCHASE OF GOODS AND SERVICES**
**PROCEDE ET SYSTEME CONSTITUANT UNE ENTITE VIRTUELLE COLLECTIVE POUR L'ACHAT EFFICACE DE BIENS ET DE SERVICES AU DETAIL**
Patent Applicant/Assignee:
NEWCOGEN-IDEA ONE INC, 150 CambridgePark Drive, Cambridge, MA 02140, US, US (Residence), US (Nationality), (For all designated states except: US)
Patent Applicant/Inventor:
AFEYAN Noubar B, One Sunset Ridge, Lexington, MA 02421, US, US (Residence), CA (Nationality), (Designated only for: US)
Legal Representative:
PITCHER Edmund R (agent), Testa, Hurwitz & Thibeault L.L.P., High Street Tower, 125 High Street, Boston, MA 02110, US,
Patent and Priority Information (Country, Number, Date):
Patent: WO 200051048 A2 20000831 (WO 0051048)
Application: WO 2000US4369 20000222 (PCT/WO US0004369)
Priority Application: US 99255294 19990222
Designated States: AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK DM EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW
(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE
(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG
(AP) GH GM KE LS MW SD SL SZ TZ UG ZW
(EA) AM AZ BY KG KZ MD RU TJ TM
Publication Language: English
Filing Language: English
Fulltext Word Count: 10431

Main International Patent Class: **G06F-017/60**
Fulltext Availability:
Detailed Description

Detailed Description
... which facilitates secure information transfer, payment by successful purchasers, and delivery of goods purchased. The **rules** of the **auction** may be posted on the network or delivered to **subscribers** in hard copy. In any event, whether or not the system is run with a...who expressed interest in, but did not receive, units of the product. The identity and **characteristics** of the prospective retail purchasers may vary widely. By way of example in the area...

**17/3,K/37 (Item 31 from file: 349)**
DIALOG(R)File 349:PCT FULLTEXT


00730948 **Image available**
**SYSTEM AND METHOD AND ARTICLES OF MANUFACTURE FOR AUTOMATED ADVISORY DECISION AND CONTROL SERVICES USING DECISION SYSTEMS WITH MODEL LICENSE PROTECTION**
**SYSTEME, PROCEDE ET ARTICLES MANUFACTURES POUR DECISION CONSULTATIVE INFORMATISEE ET SERVICES DE SURVEILLANCE FAISANT APPEL A DES SYSTEMES DE DECISION AVEC PROTECTION DE LICENCE ET DE MODELE**
Patent Applicant/Assignee:
TECHNOLOGYEVALUATION COM (TEC), 500 Unicorn Park Drive, Suite 404, Woburn, MA 01801, US, US (Residence), US (Nationality), (For all

designated states except: US)
Patent Applicant/Inventor:
AFTAHI Mehdi, 2255 St. Jacques, Montreal, Quebec H3J 1H6, CA, CA (Residence), CA (Nationality), (Designated only for: US)
BOUDREAULT Pierre, 5000 Des Chenes, Ste. Catherine, Quebec J0L 1E0, CA, CA (Residence), CA (Nationality), (Designated only for: US)
DROBETSKY Perry, 4927 Connaught Avenue, Montreal, Quebec H4V 1X4, CA, CA (Residence), CA (Nationality), (Designated only for: US)
LOBLEY Donald J, 20730 Gay Cedars, Baie d'Urfe, Quebec H9X 2T4, CA, CA (Residence), CA (Nationality), (Designated only for: US)
ROBINS Edward S, 19 Ridge Street, Winchester, MA 01890, US, US (Residence), CA (Nationality), (Designated only for: US)
THARANI Salim, 1000 Stravinski, Brossard, Quebec J4X 1X4, CA, CA (Residence), CA (Nationality), (Designated only for: US)
Legal Representative:
GORDON Peter J (agent), Wolf, Greenfield & Sacks, P.C., 600 Atlantic Avenue, Boston, MA 02210, US,
Patent and Priority Information (Country, Number, Date):
Patent: WO 200043935 A2 20000727 (WO 0043935)
Application: WO 2000US335 20000107 (PCT/WO US0000335)
Priority Application: CA 2258383 19990108
Designated States: AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK DM EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW
(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE
(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG
(AP) GH GM KE LS MW SD SL SZ TZ UG ZW
(EA) AM AZ BY KG KZ MD RU TJ TM
Publication Language: English
Filing Language: English
Fulltext Word Count: 39131
Main International Patent Class: **G06F-017/60**
Fulltext Availability:
Claims

Claim
... 99 wherein at least one response from at least one scripted questionnaire is used to **characterize** at least one client and determine at least one client requirement;
101. An automated advisory service method as in 100 and 94 wherein said client **characterization** is used to determine a list of preferred vendors, said vendors determined as lo having **characteristics** most likely to meet client requirements amongst all considered vendors;
102. An automated advisory service...in 94 and 100 wherein processing means is provided to determine at least one attribute **characteristic** of said client; 114. An automated advisory service method as in 1 1 2 and...

...one client and at least one vendor, and-determine vendor implementation performance based on client **characteristics** as detennined in 94 and I 00, and vendor **characteristics** as determined in 1 0 1;
117. An automated advisory service method wherein processing means...
gqual to 250000
1505 1504
I Clear OK JF 'Cancel j
FIG. 21
SUBSTITUTE SHEET ( **RULE** 25)
/28 1600
1601
REQUIREMENTS

IDENTIFICATION
& DEFINITION
1602
VENDOR COMPARISON L
& CRITIQUE
AAS
160J ANALYST
FINAL SELECTION
& NEGOTIATION
1604 1
POST- **BID** PROJECT
DETAILING VENDOR <
PERFORMANCE ANALYSIS
1606
1605
KNOWLEDGE BASE
IND NDOR **CLIENT**
STANDARDS SPECIFIC HARATERISTIC
1608 1609
FIG* 22
SUBSTITUTE SHEET ( **RULE** 26)
1707,,@@ CRITERIA ELIMINATION -1709
F-NEED- DEFINITION MANDATORY
I REQUIREMENTS REQUIREMENTS 1710
ATTRIBUTES:
IGHTS...

**17/3,K/38 (Item 32 from file: 349)**
DIALOG(R)File 349:PCT FULLTEXT


00577746 **Image available**
**COMPUTER IMPLEMENTED MARKETING SYSTEM**
**SYSTEME DE MARKETING INFORMATISE**
Patent Applicant/Assignee:
REALTY ONE INC,
VERBA Stephen M,
CIEPIEL Anthony M,
Inventor(s):
VERBA Stephen M,
CIEPIEL Anthony M,
Patent and Priority Information (Country, Number, Date):
Patent: WO 200041119 A1 20000713 (WO 0041119)
Application: WO 2000US74 20000104 (PCT/WO US0000074)
Priority Application: US 99225283 19990104
Designated States: AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DK EE ES
FI GB GE GH GM HR HU ID IL IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MD
MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT UA UG US
UZ VN YU ZW GH GM KE LS MW SD SL SZ TZ UG ZW AM AZ BY KG KZ MD RU TJ TM
AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE BF BJ CF CG CI CM
GA GN GW ML MR NE SN TD TG
Publication Language: English
Fulltext Word Count: 14368

Main International Patent Class: **G06F-017/60**
Fulltext Availability:
Detailed Description

Detailed Description
... deals in order to come as close to the

campaign sponsor's goals as possible. **Bids** are generated based on **bidding** algorithm **rules** , targets, and budgets supplied by the **user** via virtual worker interfaces 62, 63, 54, 58 to the appropriate campaign. The **bidding** algorithm **rules** provided by the **user** essentially weigh the matching process 64 criteria for desires 66, and the relevant scoring process...

**17/3,K/39 (Item 33 from file: 349)**
DIALOG(R)File 349:PCT FULLTEXT


00577735 **Image available**
**SYSTEM AND METHOD FOR ENCOURAGING COMPETITIVE PARTICIPATION IN AN AUCTION**
**SYSTEME ET PROCEDE POUR ENCOURAGER LA PARTICIPATION CONCURRENTIELLE A UNE VENTE AUX ENCHERES**
Patent Applicant/Assignee:
WALKER DIGITAL LLC,
WALKER Jay S,
VAN LUCHENE Andrew S,
TEDESCO Daniel E,
Inventor(s):
WALKER Jay S,
VAN LUCHENE Andrew S,
TEDESCO Daniel E,
Patent and Priority Information (Country, Number, Date):
Patent: WO 200041108 A1 20000713 (WO 0041108)
Application: WO 99US23901 19991014 (PCT/WO US9923901)
Priority Application: US 98223901 19981231
Designated States: AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DK EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT UA UG US UZ VN YU ZA ZW GH GM KE LS MW SD SL SZ TZ UG ZW AM AZ BY KG KZ MD RU TJ TM AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG
Publication Language: English
Fulltext Word Count: 11034

Main International Patent Class: **G06F-017/60**
Fulltext Availability:
Detailed Description

Detailed Description
... any product, service or currency amount that the reward supplier sees fit to offer the **bidder** .

Reward rules field 706 contains a description of the one or more **rules** that must be met before a **bidder** may automatically receive the reward. These **rules** are preferably established by the **auctioneer** , but may be established by the reward supplier or the owner of the **auctioned** product if different than the **auctioneer** . Once the **rules** have been met, the **bidder** will receive notification that he has earned the reward.

In addition, reward **rules** field 706 may further contain one or more **rules** in which a penalty will be assessed against a **bidder** . The penalty is provided in order to discourage non-competitive **bidding behavior** . An example of a **rule** in which a penalty will be provided is where the **bidder** submits a **bid** that is less than the minimum starting **bid** listed in minimum starting bid field 306 for the auction. Another

example is where a **bidder** submits a bid that is lower than a previously submitted bid. Other examples will be...

**17/3,K/40 (Item 34 from file: 349)**
DIALOG(R)File 349:PCT FULLTEXT


00576362 **Image available**
**BID MESSAGE PROCESSING FOR REAL-TIME AUCTIONS**
**TRAITEMENT DE MESSAGES D'OFFRES POUR VENTES AUX ENCHERES EN TEMPS REEL**
Patent Applicant/Assignee:
LIVEBID COM,
Inventor(s):
FRIEDLAND Noah S,
KRUSE Sky T,
Patent and Priority Information (Country, Number, Date):
Patent: WO 200039735 A2 20000706 (WO 0039735)
Application: WO 99US31061 19991228 (PCT/WO US9931061)
Priority Application: US 98231127 19981230
Designated States: AE AL AM AT AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ CZ DE DE DK DK DM EE EE ES FI FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW GH GM KE LS MW SD SL SZ TZ UG ZW AM AZ BY KG KZ MD RU TJ TM AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG
Publication Language: English
Fulltext Word Count: 15200
Main International Patent Class: **G06F-017/60**
Fulltext Availability:
Detailed Description

Detailed Description
... bids or sales, as well as changes in the lot sequence or assignments via the **auction** console program to the **auction** server.

The DILA solves the problems associated with distributing a real- **time** , live auction using a combination of technologies, communications protocols, software programs, human proxies, centralized databases...in the live auction, the remote bidders need to receive status updates from the live **auction** in **time** **periods** on the order of a second or less, SUBSTITUTE SHEET ( **RULE** 26)
and, in the same **time** **interval** , need to be able to submit bids that appear on the OLA **auction** console running on the DLA human proxyrs computer 308.

Figure 4 illustrates the basic system...602, the client requests an auction list screen from the DLA via input to the **user** interface displayed to the **client** by the DLA **client** program. In step 604, **auction** list information is returned by the
SUBSTITUTE SHEET ( **RULE** 26)
DILA to the **client** and displayed to the **client** in an **auction** list screen 606. If there are many upcoming **auctions** , multiple **auction** list screens may be displayed, or the **client** may interact with the **user** interface displayed by the DILA client program to navigate through a hierarchical list of categories...

...lists of upcoming auctions based an the auction date, type of auction, or other such **characteristics** .

Each auction listed in the list of auctions displayed to the client 606 is associated...

**17/3,K/41 (Item 35 from file: 349)**
DIALOG(R)File 349:PCT FULLTEXT
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00559534 **Image available**
**METHOD, SYSTEM AND BUSINESS MODEL FOR PERFORMING AN AUCTION**
**PROCEDE, SYSTEME ET MODELE COMMERCIAL PERMETTANT D'EFFECTUER UNE MISE AUX ENCHERES**
Patent Applicant/Assignee:
WCL WIRELESS COMMERCE LTD OY,
KIVIMAKI Bjorn,
Inventor(s):
KIVIMAKI Bjorn,
Patent and Priority Information (Country, Number, Date):
Patent: WO 200022907 A2 20000427 (WO 0022907)
Application: WO 99FI1025 19991210 (PCT/WO FI9901025)
Priority Application: WO 99FI1025 19991210
Designated States: AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK DM EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW GH GM KE LS MW SD SL SZ TZ UG ZW AM AZ BY KG KZ MD RU TJ TM AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG
Publication Language: English
Fulltext Word Count: 6385
...International Patent Class: **G06F-017/60**
Fulltext Availability:
Claims

Claim
... subscribers mobile station. The auction service provider may get the name and address of the **subscriber** from the operator of the mobile communications system or the **auction** service provider may have its own list of subsciber information.
RECTIFIED SHEET ( **RULE** 91)
Since the short message from the buyer includes a certified telephone number of the...The identifier fields are separated with separating characters 520, 540. In this example the separating **character** is ":". The separating **character** can be any predetermined **character** or it may consist of more than one successive characters. The short message in this ...

...above, the present invention gives remarkable advantages over prior art systems for implementing an electronic **auction** . When mass media is used in informing the **user** about the products in sale and currentlv valid offers. the **user** urets
RECTIFIED SHEET ( **RULE** 91)
the infonnation instantly without any need to keep continuous telephone connection to the **auction** management system. If short messages are used in making offers, the **user** can make an offer quickly without any need to make a telephone connection and authentication...

**17/3,K/42 (Item 36 from file: 349)**
DIALOG(R)File 349:PCT FULLTEXT
(c) 2004 WIPO/Univentio. All rts. reserv.

00554424 **Image available**
**METHOD AND SYSTEM FOR CONDUCTING ELECTRONIC AUCTIONS**
**PROCEDE ET SYSTEME POUR CONDUIRE DES VENTES AUX ENCHERES ELECTRONIQUES**
Patent Applicant/Assignee:
FREEMARKETS INC,
Inventor(s):
ALAIA Marc,
BECKER David J,
BERNARD Anthony F,
HECKMANN Daniel C,
KINNEY Sam E Jr,
MEAKAM Glen T,
RAGO Vincent E,
RENEAU Jason,
ROBERTS Frederick W,
RUPP William D,
STEVENS Robert G,
Patent and Priority Information (Country, Number, Date):
Patent: WO 200017797 A1 20000330 (WO 0017797)
Application: WO 99US21600 19990917 (PCT/WO US9921600)
Priority Application: US 98101141 19980918; US 98110846 19981204; US 99252790 19990219
Designated States: AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK DM EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW GH GM KE LS MW SD SL SZ TZ UG ZW AM AZ BY KG KZ MD RU TJ TM AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG
Publication Language: English
Fulltext Word Count: 13930

Main International Patent Class: **G06F-017/60**
Fulltext Availability:
Detailed Description

Detailed Description
... be based upon evaluations of the characteristics of a subgroup or the entire group of **bids** (e.g., increase in frequency of **bids** , statistical analysis of **bid** values for entire group or particular supplier). As a general **rule** , the 1 5 overtime trigger seeks to extend the **auction** for a lot if there is any indication that further **bidding** **bid** .
Flexible Bidder-Determined Line Item Decision **Rules**
This **bidding** feature of the **auction** system of the invention provides a method for allowing **bidders** to **bid** at the lot or line item level, while maintaining flexible decision **rules** on individual line items. Generally, a flexible line-item decision **rule** enables a buyer to automatically adjust aspects of line item level **bids** based upon one or more inputs at the lot or line item level.

In one embodiment, a flexible line-item decision **rule** is implemented by creating fixed and variable components of a **bid** on each of the line items that comprise a lot. Limits for individual items can...

**17/3,K/43 (Item 37 from file: 349)**
DIALOG(R)File 349:PCT FULLTEXT

00545205 **Image available**

**A METHOD AND AN APPARATUS FOR A UNIVERSAL TRADING MARKET DESIGN AND DEPLOYMENT SYSTEM**

**PROCEDE ET APPAREIL RELATIFS A UN SYSTEME UNIVERSEL DE CONCEPTION ET DE MISE EN OEUVRE DE MARCHES D'ECHANGES**

Patent Applicant/Assignee:
TRADING DYNAMICS INC,
SHOHAM Yoav,
WELLMAN Michael,
EPHRATI Eithan,

Inventor(s):
SHOHAM Yoav,
WELLMAN Michael,
EPHRATI Eithan,

Patent and Priority Information (Country, Number, Date):
Patent: WO 200008578 A1 20000217 (WO 0008578)
Application: WO 99US17248 19990729 (PCT/WO US9917248)
Priority Application: US 98131048 19980807; US 99339325 19990623

Designated States: AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DK EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT UA UG US UZ VN YU ZA ZW GH GM KE LS MW SD SL SZ UG ZW AM AZ BY KG KZ MD RU TJ TM AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

Publication Language: English
Fulltext Word Count: 8012

Main International Patent Class: **G06F-017/60**
Fulltext Availability:
Detailed Description

Detailed Description
... an available TP or integrate an entirely new component into PAS 140 to supplement the **policy** .

6. **Proxy Bidder**
**Bids** submitted by a trader may be entered by direct **bidding** or **proxy**

**bidding** . In direct **bidding** , the bidder selects an auction and enters a bid using the
computer keyboard and mouse...

**17/3,K/44 (Item 38 from file: 349)**
DIALOG(R)File 349:PCT FULLTEXT


00488469 **Image available**

**SYSTEMS, METHODS AND COMPUTER PROGRAM PRODUCTS FOR ELECTRONIC TRADING OF FINANCIAL INSTRUMENTS**

**SYSTEMES, METHODES ET PROGRAMMES INFORMATIQUES DESTINES A LA NEGOCIATION ELECTRONIQUE D'INSTRUMENTS FINANCIERS**

Patent Applicant/Assignee:
DERIVATIVES NET INC,
MAY R Raymond,

Inventor(s):
MAY R Raymond,

Patent and Priority Information (Country, Number, Date):
Patent: WO 9919821 A1 19990422
Application: WO 98US21518 19981013 (PCT/WO US9821518)

Priority Application: US 9762410 19971014
Designated States: AL AM AT AT AU AZ BA BB BG BR BY CA CH CN CU CZ CZ DE DE
DK DK EE EE ES FI FI GB GD GE GH GM HR HU ID IL IS JP KE KG KP KR KZ LC
LK LR LS LT LU LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SK
SL TJ TM TR TT UA UG US UZ VN YU ZW GH GM KE LS MW SD SZ UG ZW AM AZ BY
KG KZ MD RU TJ TM AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE
BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG
Publication Language: English
Fulltext Word Count: 34553

Main International Patent Class: **G06F-017/60**
Fulltext Availability:
Claims

Claim
... is usually only required to provide the quantity. Thus, there can be
active or passive **bids** and offers.
SUBSTITUTE SHEET ( **RULE** 26)
The **user** may customize the market entry interface 250 by adding and
removing instruments (i.e., markets...

**17/3,K/45 (Item 39 from file: 349)**
DIALOG(R)File 349:PCT FULLTEXT
(c) 2004 WIPO/Univentio. All rts. reserv.

00419900 **Image available**
**CONDITIONAL PURCHASE OFFER MANAGEMENT SYSTEMS**
**SYSTEMES DE GESTION D'OFFRES D'ACHAT CONDITIONNELLES**
Patent Applicant/Assignee:
WALKER ASSET MANAGEMENT LIMITED PARTNERSHIP,
Inventor(s):
WALKER Jay S,
SCHNEIER Bruce,
SPARICO Thomas M,
CASE T Scott,
**JORASCH James A** ,
VAN LUCHENE Andrew S,
TEDESCO Daniel E,
JINDAL Sanjay K,
WEIR-JONES Toby,
LECH Robert R
Patent and Priority Information (Country, Number, Date):
Patent: WO 9810361 A1 19980312
Application: WO 97US15492 19970904 (PCT/WO US9715492)
Priority Application: US 96707660 19960904; US 97889319 19970708
Designated States: AL AM AT AT AU AZ BA BB BG BR BY CA CH CN CU CZ CZ DE DE
DK DK EE EE ES FI FI GB GE GH HU IL IS JP KE KG KP KR KZ LC LK LR LS LT
LU LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SK SL TJ TM TR
TT UA UG UZ VN YU ZW GH KE LS MW SD SZ UG ZW AM AZ BY KG KZ MD RU TJ TM
AT BE CH DE DK ES FI FR GB GR IE IT LU MC NL PT SE BF BJ CF CG CI CM GA
GN ML MR NE SN TD TG
Publication Language: English
Fulltext Word Count: 64791

Inventor(s):
... **JORASCH James A**
Main International Patent Class: **G06F-017/60**
Fulltext Availability:
Detailed Description

Detailed Description

... of commerce provide more flexibility and permit the exchange of offers and counteroffers. In an **auction** , for example, the buyer does not find the seller, rather the seller attracts numerous buyers...

...collectively determine the final selling price, which the seller may subsequently reject unless the item **auctioned** is being sold without a reserve. Other commerce systems, such as NASDAQ or the New...the Internet, many systems for processing the sale of products, such as malls, catalogs and **auction** houses, are being implemented on the Internet. These approaches generally seek to create better seller...

```
Set     Items   Description
S1          0   AU=(JORASCH J? OR JORASCH, J?)
S2     297899   AUCTION?
S3    1009519   BIDS OR BIDDED OR BID OR BIDDING
S4     150967   PROXY OR PROXIES
S5    2201960   BEHAVIOR? OR BEHAVIOUR? OR PERCEPT? OR PERCEIV? OR CHARACT-
             ER?
S6    2098306   RULE? ? OR GUIDELINE?
S7   16045112   BIDDER? OR CONSUMER? OR CUSTOMER? OR USER? OR MEMBER? ? OR
            PEOPLE OR CLIENT? OR SUBSCRIBER? OR PARTICIPANT?
S8   12819574   TIME? ? OR INTERVAL? OR PERIOD? OR MINUTES OR HOUR??
S9       6443   S4(3N)(S3 OR S7)
S10       232   S9(S)S2
S11        71   S10(15N)(S5 OR S6 OR S8)
S12     31912   (S2 OR S3)(25N)S6
S13      5358   S12(15N)S7
S14        73   S13(25N)S5
S15       143   S11 OR S14
S16        71   S15 NOT PY>1999
S17        52   RD (unique items)
File   9:Business & Industry(R) Jul/1994-2004/May 13
         (c) 2004  The Gale Group
File  15:ABI/Inform(R) 1971-2004/May 13
         (c) 2004 ProQuest Info&Learning
File  16:Gale Group PROMT(R) 1990-2004/May 14
         (c) 2004 The Gale Group
File 148:Gale Group Trade & Industry DB 1976-2004/May 14
         (c)2004 The Gale Group
File 160:Gale Group PROMT(R) 1972-1989
         (c) 1999 The Gale Group
File 275:Gale Group Computer DB(TM) 1983-2004/May 14
         (c) 2004 The Gale Group
File 621:Gale Group New Prod.Annou.(R) 1985-2004/May 13
         (c) 2004 The Gale Group
File 636:Gale Group Newsletter DB(TM) 1987-2004/May 14
         (c) 2004 The Gale Group
File 625:American Banker Publications 1981-2004/May 13
         (c) 2004 American Banker
File 268:Banking Info Source 1981-2004/Apr W4
         (c) 2004 ProQuest Info&Learning
```

**17/3,K/1 (Item 1 from file: 15)**
DIALOG(R)File 15:ABI/Inform(R)


02247381 84987517
**Auditor changes and tendering UK interview evidence**
Beattie, Vivien; Fearnley, Stella
Accounting, Auditing & Accountability Journal v11n1 PP: 72-98 1998
ISSN: 0951-3574 JRNL CODE: AAJ
WORD COUNT: 11902

...TEXT: theory we argue that, to explain actual auditor choices, the model will need to incorporate **behavioural** /social factors.

3. An **auction** is a market institution with an explicit set of **rules** determining resource allocation and prices on the basis of **bids** from the marketing **participants** (McAfee and McMillan, 1987, p. 701). There are two perspectives, depending on whether the auctioneer...

**17/3,K/2 (Item 2 from file: 15)**
DIALOG(R)File 15:ABI/Inform(R)


01964814 47414479
**Electricity markets: Should the rest of the world adopt the United Kingdom's reforms?**
Wolfram, Catherine D
Regulation v22n4 PP: 48-53 1999
ISSN: 0147-0590 JRNL CODE: RGO
WORD COUNT: 5039

...TEXT: s objectives. In so doing, I hope to dispel common misperceptions about electricity markets.

ELECTRICITY **AUCTIONS**

THE **CHARACTERIZATION** OF ELECTRICITY MARKETS AS **auctions** merits comment. **Auctions** are simply organized markets where goods are awarded to **bidders** based on specific **rules** that determine who wins the **auction** and the price the winning **bidder** pays. **Auctions** can be used either to sell products (e.g., wine, artwork, or the right to...

**17/3,K/3 (Item 3 from file: 15)**
DIALOG(R)File 15:ABI/Inform(R)


01952863 46311128
**3Com touts E-networks for its users**
Darrow, Barbara
Computer Reseller News n867 PP: 2 Nov 8, 1999
ISSN: 0893-8377 JRNL CODE: CRN
WORD COUNT: 509

...TEXT: financial benefit of whatever tracking stock Palm turns into, Henderson said.

3Com must overcome the **perception** that Cisco **rules** in networking hardware, **users** said.

The LAN administrator for an East Coast university said he invited 3Com to **bid** on his business as a courtesy. "We figured Cisco was it, but they recommended four...

**17/3,K/4 (Item 4 from file: 15)**
DIALOG(R)File 15:ABI/Inform(R)


01902873 05-53865
**Moai makes its move**
Avoy, Katie
Upside v11n10 PP: 81-84 Oct 1999
ISSN: 1052-0341 JRNL CODE: UPS
WORD COUNT: 1408

...TEXT: the auction down when activity subsides. On the other side of the transaction, buyers can **bid** by **proxy** , placing a maximum **bid** at several **auctions** at the same **time** without having to watch any of them.

Moai's combination of software and services costs...

**17/3,K/5 (Item 5 from file: 15)**
DIALOG(R)File 15:ABI/Inform(R)


01825955 04-76946
**Private property, economic efficiency, and spectrum policy in the wake of the C block auction**
Fritts, Brian C
Federal Communications Law Journal v51n3 PP: 849-885 May 1999
ISSN: 0163-7606 JRNL CODE: FCL
WORD COUNT: 15171

...TEXT: is difficult to prevent collusion because bidders need to have information on other participants' bidding **behavior** .47 Larger amounts of information available to all participants create a more efficient auction because bids are more likely to reflect a **participant** 's actual valuation.48 When various **participants** obtain more information on a competitor's **bidding behavior** , the opportunity to collude with other **participants** increases. This dual-edged sword **characterization** resulted in the strict FCC **rules** preventing collusion. Firms that applied for the right to **bid** for common markets were prohibited from discussing, collaborating, disclosing their **bidding** strategies, or revealing the substance of their bids.49 The FCC also relied on existing...

**17/3,K/6 (Item 6 from file: 15)**
DIALOG(R)File 15:ABI/Inform(R)


01698986 03-49976
**Using international institutions to improve public procurement**
Hoekman, Bernard
World Bank Research Observer v13n2 PP: 249-269 Aug 1998
ISSN: 0257-3032 JRNL CODE: WBA
WORD COUNT: 7619

...TEXT: action can be taken to intervene in the procurement process, firms are unlikely to contest **perceived** violations of the **rules** . A unique feature of the GPA is that it requires **members** to establish **bid** protest or challenge procedures, under which **bid**ders can correct breaches of the GPA in order to preserve commercial opportunities. Such measures may...

**17/3,K/7 (Item 7 from file: 15)**
DIALOG(R)File 15:ABI/Inform(R)


01641965 02-92954
**Top 25 financial services**
Anonymous
Marketing PP: 49 May 21, 1998
ISSN: 0025-3650 JRNL CODE: MAR
WORD COUNT: 668

...ABSTRACT: may affect share prices. What may appear a fairly mundane, if technically precise, routine changes **character** completely at the hint of a contested takeover **bid** . Then the plotting comes into play - all, of course, within strict ethical **guidelines** . Quite separate is the promotion of financial services - PEPs, pensions, insurance, banking - to **consumers** , as well as to brokers and independent consultants. ...

**17/3,K/8 (Item 8 from file: 15)**
DIALOG(R)File 15:ABI/Inform(R)


01476612 01-27600
**AIG denied on Golden Eagle**
Sclafane, Susanne
National Underwriter (Property & Casualty/Risk & Benefits Management) v101n30 PP: 2, 35 Jul 28, 1997
ISSN: 1042-6841 JRNL CODE: NUN
WORD COUNT: 776

...TEXT: is probably less concern than you would otherwise expect," he said.

"We played by the **rules** ," Mr. Marziano said, referring to inferences that Liberty Mutual circumvented the **bidding** process-inferences he said " **people** " are making in the press.

**Characterizing** the announcement that AIG had won the bid process as a "recommendation" by the department...

**17/3,K/9 (Item 9 from file: 15)**
DIALOG(R)File 15:ABI/Inform(R)


01267124 99-16520
**Not just a game**
Crainer, Stuart
Management Today PP: 66-68 Jul 1996
ISSN: 0025-1925 JRNL CODE: MTO
WORD COUNT: 2281

...TEXT: interest in Game Theory are in tightly regulated industries like power- generation - or they are **members** of cartels - or they take part in restricted competitions such as **auctions** or **bidding** for contracts. When limited numbers of **participants** are playing by accepted **rules** and behaving in a rational way, Game Theory is best able to point up the most advantageous competitive moves. **Auctions** for franchises and broadcasting rights, for example, are now common, and second guessing other **people** 's bidding strategies is ideally suited to the game theorist's blend of human dynamics and economics.

The **behaviour** of cartels is rich in material for game theorists. Members of OPEC - the best known...

**17/3,K/10 (Item 10 from file: 15)**
DIALOG(R)File 15:ABI/Inform(R)


01158176 98-07571
**Management of information systems outsourcing: A bidding perspective**
Chaudhury, A; Nam, Kichan; Rao, H Raghav
Journal of Management Information Systems: JMIS v12n2 PP: 131-159 Fall 1995
ISSN: 0742-1222 JRNL CODE: JMI
WORD COUNT: 10226

...TEXT: active to challengers and it not only induces more challengers to participate but also to **bid** aggressively. However, instead of providing a blanket subsidy or preferential treatment, which is the common method of practicing preference, the proposed model provides very detailed **guidelines** for the **user** firm managers. In addition, the model allows the **user** firm to devise a policy regarding how much to subsidize or how much preferential treatment to give under what conditions. It also parallels some bidding **behavior** practices observed in the real world. This research has implications for both the practitioner and...

**17/3,K/11 (Item 11 from file: 15)**
DIALOG(R)File 15:ABI/Inform(R)


01074052 97-23446
**There's more than one way to sell a security: The Treasury's auction experiment**
Mester, Loretta J
Business Review (Federal Reserve Bank of Philadelphia) PP: 3-17 Jul/Aug 1995
ISSN: 0007-7011 JRNL CODE: FRB
WORD COUNT: 7841

...TEXT: Federal Reserve to implement monetary policy. The health of the Treasury security market depends on **participants ' perception** that it isn't subject to manipulation.

However, the integrity of the Treasury securities **auction** market was called into question when Salomon Brothers, Inc., admitted in August 1991 to serious violations of the **auction rules** during 1990 and 1991. This led to Congressional hearings and a review of the market...

**17/3,K/12 (Item 12 from file: 15)**
DIALOG(R)File 15:ABI/Inform(R)


00893669 95-43061
**1-800-22 ETHIC**
Gilbert, Nick
Financial World Special Issue PP: 20-25 Aug 16, 1994
ISSN: 0015-2064 JRNL CODE: TWO
WORD COUNT: 3062

...TEXT: rules add the notion that executives should keep an eye out for the interests of **customers** , employees and the community as well. High-minded as this may seem, many such **rules** were dreamed up to try to protect home-state companies from free-market takeover **bids** by outsiders.

Years before such laws were passed, Johnson & Johnson put in place its famous "Credo." This in-house guide to corporate good **behavior** insists that management actions be "just and ethical" and declares that employees have a social...

**17/3,K/13 (Item 13 from file: 15)**
DIALOG(R)File 15:ABI/Inform(R)


00854241 95-03633
**Contracting out government services: Lessons from the private sector**
Prager, Jonas
Public Administration Review v54n2 PP: 176-184 Mar/Apr 1994
ISSN: 0033-3352 JRNL CODE: PAR
WORD COUNT: 9412

...TEXT: Hence the public sector bidding process must be structured to assure access to all potential **bidders** (e.g., adequate time, sealed **bids** ). Moreover, the contract award must be **perceived** by all as fair; legal steps can be initiated if the **rules** are not adhered to precisely. The private firm, of course, is free to diverge from its announced procedures. Indeed, private sector firms often use the **bidding** process to narrow down the number of potential suppliers. The firm then negotiates in camera...

**17/3,K/14 (Item 14 from file: 15)**
DIALOG(R)File 15:ABI/Inform(R)


00787854 94-37246
**United Kingdom**
Paul, Alan; Cook, Lucinda
International Financial Law Review Building for Strength Supplement PP: 38-46 Sep 1993
ISSN: 0262-6969 JRNL CODE: IFL
WORD COUNT: 6211

...TEXT: of relationships, any holder of 1% or more of any class of shares in a **bidder** or target must disclose his dealings in that class during the **bid** period. The **rule** is designed to promote market clarity so that dealings which may affect market prices, and so the **perception** of a **bid** , are factored into the market's knowledge of what is going on.

THE TAKEOVER PROCESS...

**17/3,K/15 (Item 15 from file: 15)**
DIALOG(R)File 15:ABI/Inform(R)


00745624 93-94845
**Independent private value auctions: Bidder behaviour in first-, second- and third-price auctions with varying numbers of bidders**
Kagel, John H; Levin, Dan
Economic Journal v103n419 PP: 868-879 Jul 1993
ISSN: 0013-0133 JRNL CODE: ECJ

...ABSTRACT: and TPA. Nevertheless, Nash equilibrium bidding theory seems to capture the main strategic forces underlying **behavior** , as the comparative static predictions of the theory regarding the effects of changes in **bid** -price **rules** and numbers of **bidders** are satisfied. These successful comparative static predictions of private value **auction** theory stand in marked contrast to results from common value **auction** experiments, which exhibit strong traces of the winner's curse, which in turn leads to...

**17/3,K/16 (Item 16 from file: 15)**
DIALOG(R)File 15:ABI/Inform(R)


00733838 93-83059
**An empirical study of the Mexican Treasury bill auction**
Umlauf, Steven R
Journal of Financial Economics v33n3 PP: 313-340 Jun 1993
ISSN: 0304-405X JRNL CODE: JFE

ABSTRACT: The **bidding behavior** in Mexican Treasury bill **auctions** is examined for the period 1986-1991. The Mexican **auction rules** resemble those used in US Treasury bill **auctions** closely. The results suggest the presence of collusion among large **bidders** throughout a large portion of the sampling period and the presence of information asymmetries between...

**17/3,K/17 (Item 17 from file: 15)**
DIALOG(R)File 15:ABI/Inform(R)


00726596 93-75817
**An Analysis of Potential Treasury Auction Techniques**
Reinhart, Vincent
Federal Reserve Bulletin v78n6 PP: 403-413 Jun 1992
ISSN: 0014-9209 JRNL CODE: FRS
WORD COUNT: 6545

...TEXT: large numbers, be appropriately cast. The auction format may encourage two other types of strategic **behavior** as well. First, a dealer may combine with a **customer** to corner a significant portion of one **auction** --70 percent under the current **rules** . This strategy is called single-dealer cornering. Second, a group of dealers can conspire to...

**17/3,K/18 (Item 18 from file: 15)**
DIALOG(R)File 15:ABI/Inform(R)


00725960 93-75181
**Statement to the Congress: David W. Mullins, Jr.**
Mullins, David W., Jr.
Federal Reserve Bulletin v78n4 PP: 251-253 Apr 1992
ISSN: 0014-9209 JRNL CODE: FRS
WORD COUNT: 1905

...ABSTRACT: for manipulative abuses is the force of competition. Automating Treasury auctions, facilitating direct bidding by **customers** , implementing a single-price open- **auction** technique, and reducing the barriers to primary dealer membership will serve to broaden participation. In addition, tighter enforcement of **auction rules** and enhanced market surveillance will provide a low-cost, market-based solution to the problem that targets manipulative **behavior** without impairing the liquidity of this important market.

**17/3,K/19 (Item 19 from file: 15)**
DIALOG(R)File 15:ABI/Inform(R)


00636903 92-51843
**Linking Groupthink to Unethical Behavior in Organizations**
Sims, Ronald R.
Journal of Business Ethics v11n9 PP: 651-662 Sep 1992
ISSN: 0167-4544 JRNL CODE: JBE
WORD COUNT: 6646

...TEXT: the Treasury auction scandal provides another example of how groupthink can be linked to unethical **behavior** .

SALOMON BROTHERS. A CHRONOLOGY: HOW IT ALL UNFOLDED

DEC. 1990--Salomon submits bids in the names of **customers** who hadn't authorized them at an $8.57 billion **auction** of four-year notes. The **bids** enable the firm to buy 46% of the securities, breaching Treasury **rules** that bar individual **bidders** from buying more than 35% at any single sale.

FEB. 1991--Through unauthorized **customer bids** , Salomon buys 57% of securities sold at an auction of $9.04 billion of five...

**17/3,K/20 (Item 20 from file: 15)**
DIALOG(R)File 15:ABI/Inform(R)


00496321 90-22078
**A Strategic Pricing Framework**
Cannon, Hugh M.; Morgan, Fred W.
Journal of Services Marketing v4n2 PP: 19-30 Spring 1990
ISSN: 0887-6045 JRNL CODE: JSV

...ABSTRACT: achieve given objectives. Pricing methods or approaches include: 1. target-profit, 2. cost-plus, 3. **perceived** -value, 4. going-rate, 5. sealed- **bid** , and 6. negotiated pricing. The **rules** for

evaluating the strategic pricing alternatives are: 1. scale, 2. **consumer** knowledge, 3. demand, 4. information, 5. competitive substitute, and 6. patronage. Analyses of pricing objectives...

**17/3,K/21 (Item 21 from file: 15)**
DIALOG(R)File 15:ABI/Inform(R)


00382619 87-41453
**What Are We Bid? Stimulating Electric Generation Resources Through the Auction Method**
Lehr, Ronald L.; Touslee, Robert
Public Utilities Fortnightly v120n10 PP: 11-17 Nov 12, 1987
ISSN: 0033-3808 JRNL CODE: PUF

...ABSTRACT: useful data on qualifying facility and industry costs, and 3. stimulate the desired long-term **behavior** from **auction participants** . Regulators need to consider a system of **rules** that will provide a fair market in which utilities are not favored for any particular...

**17/3,K/22 (Item 22 from file: 15)**
DIALOG(R)File 15:ABI/Inform(R)


00332491 86-32905
**The Effects of Rent Asymmetries in Posted Offer Markets**
Davis, Douglas D.; Williams, Arlington W.
Journal of Economic Behavior & Organization v7n3 PP: 303-316 Sep 1986
ISSN: 0167-2681 JRNL CODE: JEB

...ABSTRACT: 12 experiments performed by Smith and Williams (1982) except that posted-offer instead of double- **auction** trading **rules** were used and buyer **behavior** was simulated. Posted-offer **rules characterize** the method of exchange in most retail markets, whereas double- **auction rules** simulate a stock-market exchange environment. In contrast to double- **auction** trading **rules** , the asymmetries in the distribution of **consumer** and producer surpluses under posted-offer **rules** had no discernible robust effect on the convergence path of contract prices. The convergence path...

**17/3,K/23 (Item 23 from file: 15)**
DIALOG(R)File 15:ABI/Inform(R)


00075723 78-10054
**Inflation and price controls in a flexprice-fixprice model**
Schlagenhauf, Don E.; Shupp, Franklin R.
Annals of Economic & Social Measurement v6n5 PP: 501-520 Winter/Spring 1978
ISSN: 0044-832X JRNL CODE: ANN

...ABSTRACT: is imposed on the model. Emphasis is placed on the structure of the optimal control **rule** for the model and to the question of allocative efficiency. In the flexprice ( **auction** ) market, the dominant determinants of price movements are output excess demand considerations. In the fixprice ( **customer** ) market, the dominant market clearing mechanism is a quantity adjustment process. The labor market is assumed to be

**characterized** by a combination of administered pricing and excess demand considerations. ...

**17/3,K/24 (Item 1 from file: 16)**
DIALOG(R)File 16:Gale Group PROMT(R)


07606946 Supplier Number: 59845471 (USE FORMAT 7 FOR FULLTEXT)
**Auctions Invade Business-to-Business.**
Internet World, v5, n9, p27
March 8, 1999
Language: English Record Type: Fulltext
Document Type: Magazine/Journal; Trade
Word Count: 399

... bidding, proxy bidding (bidders specify maximum price and LiveExchange acts on their behalf), reserve pricing ( **auctioneer** reserves the sale items until a certain price is reached), and close- **time** extensions (allows last-minute bidding).
To handle the considerable complexity of large-scale online auctions ...

**17/3,K/25 (Item 2 from file: 16)**
DIALOG(R)File 16:Gale Group PROMT(R)


07053106 Supplier Number: 58381055 (USE FORMAT 7 FOR FULLTEXT)
**Buy and sell printing stock over the Web. (PaperDeals.com) (Company Business and Marketing)**
The Seybold Report on Publishing Systems, v28, n17, p26
May 31, 1999
Language: English Record Type: Fulltext
Document Type: Newsletter; Trade
Word Count: 290

... or dimension are obvious candidates for sales through the site.
Items are sold through an **auction** process under which the selling party sets a minimum starting price, quantity and **auction** expiration **time** . The system features automatic **proxy bidding** and automatic notification to buyers when they are outbid. The seller can specify the geographic...

**17/3,K/26 (Item 3 from file: 16)**
DIALOG(R)File 16:Gale Group PROMT(R)


06798723 Supplier Number: 57481507 (USE FORMAT 7 FOR FULLTEXT)
**3Com touts E- networks for its users -- Executives show off company's strategy for tackling E-business networks. (Company Business and Marketing)**
Darrow, Barbara
Computer Reseller News, p2
Nov 8, 1999
Language: English Record Type: Fulltext
Document Type: Tabloid; Trade
Word Count: 490

... financial benefit of whatever tracking stock Palm turns into, Henderson said.

3Com must overcome the **perception** that Cisco **rules** in networking hardware, **users** said.

The LAN administrator for an East Coast university said he invited 3Com to **bid** on his business as a courtesy. "We figured Cisco was it, but they recommended four...

**17/3,K/27 (Item 4 from file: 16)**
DIALOG(R)File 16:Gale Group PROMT(R)
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06780245 Supplier Number: 57153248 (USE FORMAT 7 FOR FULLTEXT)
**ValueVision to Provide Online Auction Services From FairMarket; Home Shopping Network to Introduce Another 'First'.**
PR Newswire, p5714
Nov 3, 1999
Language: English Record Type: Fulltext
Document Type: Newswire; Trade
Word Count: 908

... the site to notify users when specially requested products become available.

Developed with FairMarket's **AuctionPlace** solution, ValueVision's **auction** service will provide real- **time** bidding, powerful search functionality, automatic **proxy bidding** , real- **time** notification on bid status changes, and a watch list to track specific **auctions** .

The FairMarket Auction Network is a collection of FairMarket-powered, private-label merchant and community...

**17/3,K/28 (Item 5 from file: 16)**
DIALOG(R)File 16:Gale Group PROMT(R)
(c) 2004 The Gale Group. All rts. reserv.

06752355 Supplier Number: 56895596 (USE FORMAT 7 FOR FULLTEXT)
**World's leading Messaging Companies to offer Interactive Internet Auction Service using XYPOINT Technology.**
Business Wire, p1586
Oct 25, 1999
Language: English Record Type: Fulltext
Document Type: Newswire; Trade
Word Count: 722

... on the auction item. The patent-pending secure AuctionLink(TM) server immediately updates the Internet **auction** site with the customers' bid -- all transactions are real- 1time . Additional features support **proxy bidding** and other services.

"One way notification to alpha numeric pagers has opened up the marketplace...

**17/3,K/29 (Item 6 from file: 16)**
DIALOG(R)File 16:Gale Group PROMT(R)
(c) 2004 The Gale Group. All rts. reserv.

06564460 Supplier Number: 55455233 (USE FORMAT 7 FOR FULLTEXT)
**The Web attracts paper selling; latest is PaperDeals.com auction site.(World Wide Web)**

PIMA's North American Papermaker, v81, n7, p15(1)
July, 1999
Language: English Record Type: Fulltext
Document Type: Magazine/Journal; Trade
Word Count: 538

... creating a frictionless marketplace," says Don Hagge, vice president and general manager.
Sold through an **auction** process, the selling party sets a minimum starting price, quantity and **auction** expiration **time** . Buyers can then bid on whatever quantities they desire until the entire lot is depleted...

**17/3,K/30 (Item 7 from file: 16)**
DIALOG(R)File 16:Gale Group PROMT(R)


06471550 Supplier Number: 55073369 (USE FORMAT 7 FOR FULLTEXT)
**Discover some of the best-kept bargain-hunting secrets before you make your next PC purchase.(Buyers Guide)**
Jones, Mitt
Computer Shopper, p80
August, 1999
Language: English Record Type: Fulltext
Article Type: Buyers Guide
Document Type: Magazine/Journal; General Trade
Word Count: 5640

... system that will probably fetch about $700, for example, might be set at $299.
First **Auction** and Onsale atAuction provide **proxy bidders** to help keep your **time** expenditure to a minimum. Once you've specified your highest bid for a specific item...

...keeps you in the running by bidding for you until it reaches your maximum. Both **auction** houses also run half- **hour** or **hour** bids throughout the day, as does Egghead.com. All five **auction** a wide range of computer products, including software and accessories.
If you want to search...

**17/3,K/31 (Item 8 from file: 16)**
DIALOG(R)File 16:Gale Group PROMT(R)


06439503 Supplier Number: 55000399 (USE FORMAT 7 FOR FULLTEXT)
**IDG's PC World Shows How to Win at Online Auctions.**
PR Newswire, p1195
June 28, 1999
Language: English Record Type: Fulltext
Document Type: Newswire; Trade
Word Count: 639

... watch).
A **Time** -Saving Tip: Many **auction** services offer automated **proxy bidding** . Specify the maximum you're willing to spend. The service then monitors the auction, placing...

**17/3,K/32 (Item 9 from file: 16)**

DIALOG(R)File 16:Gale Group PROMT(R)
(c) 2004 The Gale Group. All rts. reserv.

06137928 Supplier Number: 53897849 (USE FORMAT 7 FOR FULLTEXT)
**Case for Honest Behavior in Logistics.**
NICOSIA, JOSEPH A.
Traffic World, v257, n6, p46(1)
Feb 8, 1999
Language: English Record Type: Fulltext
Document Type: Magazine/Journal; Trade
Word Count: 714

... deserve.
When they do these things, they risk crossing the line between ethical and unethical **behavior** .
Or consider logistics intermediaries that are supposed to be selling a **client** objectivity. They "bend the **rules** " when they fail to give equal consideration to all potential providers **bidding** on business or when they make special exceptions that create a bias toward only one...

**17/3,K/33 (Item 10 from file: 16)**
DIALOG(R)File 16:Gale Group PROMT(R)
(c) 2004 The Gale Group. All rts. reserv.

04956942 Supplier Number: 47283708 (USE FORMAT 7 FOR FULLTEXT)
**NextWave Made Good Faith Effort To Comply**
PCS Week, v8, n15, pN/A
April 9, 1997
Language: English Record Type: Fulltext
Document Type: Newsletter; Trade
Word Count: 169

(USE FORMAT 7 FOR FULLTEXT)
TEXT:
...at its back, NextWave struck back at Antigone and Devco's frequently scathing arguments and **characterization** of NextWave's participation in the **auction** as a clear attempt to circumvent the **rules** and perpetrate "a hoax on the American **people** ."

**17/3,K/34 (Item 11 from file: 16)**
DIALOG(R)File 16:Gale Group PROMT(R)
(c) 2004 The Gale Group. All rts. reserv.

04797011 Supplier Number: 47058742 (USE FORMAT 7 FOR FULLTEXT)
**Adbot, Inc., announces debut of Internet advertising network.**
Business Wire, p01231297
Jan 23, 1997
Language: English Record Type: Fulltext
Document Type: Newswire; Trade
Word Count: 615

... Adbot. Detailed information about the auction network can be foundonline at http://www.adbot.com.
**Auction** bids will be placed in "real **time** " by pre-qualified participants from across the nation who will have a "virtual presence" at the auction or who have placed **proxy bids** with Adbot brokers. Adbot's brokers will cry out the bids of participants according to **rules** established by the brokerage firm. An Adbot **auctioneer** will award bundles

of impressions, called "blocks," to winning bidders.
Following the auction, Adbot will...

**17/3,K/35 (Item 12 from file: 16)**
DIALOG(R)File 16:Gale Group PROMT(R)


02346381 Supplier Number: 43077117 (USE FORMAT 7 FOR FULLTEXT)
**Purchasing managers group continues to grow stronger**
Nation's Restaurant News, v0, n0, p64
June 15, 1992
Language: English Record Type: Fulltext
Document Type: Magazine/Journal; Tabloid; Trade
Word Count: 972

... manufacturers. Buying from local distributor stocks is used with remote stores in some chains. Monthly **bidding** is the **rule** rather than the exception.
For seafood the **participants** agreed that the **customers ' perception** of freshness is a major factor. Yield vs. cost is a major consideration of most...

**17/3,K/36 (Item 1 from file: 148)**
DIALOG(R)File 148:Gale Group Trade & Industry DB


12139494 SUPPLIER NUMBER: 61207797 (USE FORMAT 7 OR 9 FOR FULL TEXT)
**Designing auction institutions for exchange.(Statistical Data Included)**
McCABE, KEVIN; RASSENTI, STEPHEN; SMITH, VERNON
IIE Transactions, 31, 9, 803
Sept, 1999
DOCUMENT TYPE: Statistical Data Included ISSN: 0740-817X
LANGUAGE: English RECORD TYPE: Fulltext
WORD COUNT: 6888 LINE COUNT: 00555

... auction closes. If you fail to bid optimally you have the opportunity to modify your **behavior** . These experimental results are among many that demonstrate **behavioral** differences between normal and extensive forms of the same game, and the value of **auction rules** that provide feedback to **participants** . In this case the sequential process makes clear what are the dominant strategy incentives of...

**17/3,K/37 (Item 2 from file: 148)**
DIALOG(R)File 148:Gale Group Trade & Industry DB


11691363 SUPPLIER NUMBER: 58386286 (USE FORMAT 7 OR 9 FOR FULL TEXT)
**Market-Making in the Third Market for NYSE-Listed Securities.(Statistical Data Included)**
Doran, Lynn
Financial Review, 34, 4, 29
Nov, 1999
DOCUMENT TYPE: Statistical Data Included ISSN: 0732-8516
LANGUAGE: English RECORD TYPE: Fulltext
WORD COUNT: 12319 LINE COUNT: 01081

... This indicates that, after controlling for both the identity of the

market maker and trading **characteristics** of the stocks, relative **bid** -ask spreads posted by Madoff and Trimark are larger for **Rule** 19c-3 stocks than for **Rule** 390 stocks.

Theoretically, the number of market makers on a given stock is inversely related to the **bid** -ask spread expected on that stock. In this case, though, the additional competition, or potential competition, from NYSE **members** that results from **Rule** 19c-3 is associated with a larger cost of making a market in the third market, reflected in larger relative **bid** -ask spreads, for these securities than for **Rule** 390 stocks. This difference in **bid** -ask spreads may be due to differences in underlying **characteristics** of the two types of stocks. Recall that stocks listed on an exchange prior to...

...trading, after controlling for both the identity of the market maker and stock-specific trading **characteristics** related to spread, relative **bid** -ask spreads posted by Madoff and by Trimark are systematically larger for **Rule** 19c-3 stocks than for **Rule** 390 stocks.

4.4. Comparison of market-making by NYSE **members** and non- **members**

Recall that Rule 19c-3 securities are exempt from Rule 390, so NYSE members are...on Rule 19c-3 stocks, it appears that competition in the third market from NYSE **members** does not affect the decision of non-NYSE **members** to quote on listed securities. Relative **bid** -ask spreads, though, are larger for **Rule** 19c-3 stocks than for **Rule** 390 stocks, even after controlling for the market maker and for trading **characteristics** of the stocks. This suggests that other factors, such as greater information asymmetry for Rule...

**17/3,K/38 (Item 3 from file: 148)**
DIALOG(R)File 148:Gale Group Trade & Industry DB


11530211 SUPPLIER NUMBER: 57796373 (USE FORMAT 7 OR 9 FOR FULL TEXT)
**Price information and bidding behavior in repeated second-price auctions.**
List, John A.; Shogren, Jason F.
American Journal of Agricultural Economics, 81, 4, 942(8)
Nov, 1999
ISSN: 0002-9092 LANGUAGE: English RECORD TYPE: Fulltext; Abstract
WORD COUNT: 4751 LINE COUNT: 00389

... fixed/random effect; and ((Epsilon).sub.it) represents the contemporaneous error term. We use median **bid** to **prox**y for **bidding behavior** to avoid extreme outliers and overweighting random patterns of bidding **behavior** in the last few trials of experiments (see, e.g., Gregory and Furby). **Auction** effects, ((Alpha).sub.i), control for **characteristics** that vary from **auction** to **auction** but are invariant over trials of the same **auction** , for example, unique **characteristics** of **auctioned** goods, the lab environment, and nuances of monitors and subjects. Trial effects, ((Phi).sub.t), capture variables that are invariant across **auctions** , and thus control for trends in subject **behavior** and market experience. Econometric estimates of equation (1) are obtained for both fixed and random...

**17/3,K/39 (Item 4 from file: 148)**
DIALOG(R)File 148:Gale Group Trade & Industry DB


09367345 SUPPLIER NUMBER: 19218450 (USE FORMAT 7 OR 9 FOR FULL TEXT)
**China rules made in U.S. and China. (China's rules for tariff filing and**

**rate regulation in the US trades)**
Damas, Philip
American Shipper, v39, n2, p42(7)
Feb, 1997
ISSN: 0160-225X LANGUAGE: English RECORD TYPE: Fulltext; Abstract
WORD COUNT: 6110 LINE COUNT: 00488

... by the other means.
(20-3) To overstep the scope of business.
(20-4) Other **behaviors** which are prohibited by the laws and **rules** .
21. When buying and selling ships are traded by means of either **bidding** or negotiation, both parties should provide credit guarantee to SSEX.
22. Those official **members** engaged in international shipping should report their freight rates to SSEX for filing.
23. Once...

**17/3,K/40 (Item 5 from file: 148)**
DIALOG(R)File 148:Gale Group Trade & Industry DB
(c)2004 The Gale Group. All rts. reserv.

09350888 SUPPLIER NUMBER: 19170594 (USE FORMAT 7 OR 9 FOR FULL TEXT)
**How auctions reveal information: a case study on German REPO rates.**
Nautz, Dieter
Journal of Money, Credit & Banking, v29, n1, p17(10)
Feb, 1997
ISSN: 0022-2879 LANGUAGE: English RECORD TYPE: Fulltext; Abstract
WORD COUNT: 3699 LINE COUNT: 00304

... instrument of fine-tuning. From the Bundesbank's point of view. an appropriate type of **auction** induces banks to **bid** as closely as possible to their actual demand.
The Bundesbank has already experienced two different **auction rules** . Until the fall of 1988 the Bundesbank used the so-called Dutch or competitive **auction** where all **bids** are filled at a uniform market-clearing stop-out rate.(4) However, this pricing **rule** caused **bidders** to **bid** at unrealistically high interest rates. Atypical for an **auctioneer** , the Bundesbank disapproves of this **bidding behavior** . Switching in the fall of 1988 to the so-called "U.S.-style" auction, the...

**17/3,K/41 (Item 6 from file: 148)**
DIALOG(R)File 148:Gale Group Trade & Industry DB
(c)2004 The Gale Group. All rts. reserv.

08009831 SUPPLIER NUMBER: 16824323 (USE FORMAT 7 OR 9 FOR FULL TEXT)
**The auction process worked. (FCC auctions of licenses for spectrum) (Perspective) (Column)**
Dansby, Robert; McAfee, Preston
CommunicationsWeek, n554, p37(1)
April 24, 1995
DOCUMENT TYPE: Column ISSN: 0746-8121 LANGUAGE: English
RECORD TYPE: Fulltext; Abstract
WORD COUNT: 548 LINE COUNT: 00048

The FCC received a lot of advice regarding **auction rules** proposed in Docket 93-253; a number of economic experts provided comments on the design of spectrum **auctions** . The final **auction rules** increase efficiency, minimize possibilities of **bidder** collusion, promote

incentive-compatible **bidding behavior** and minimize the likelihood of "winner's curse."

The simultaneous, multiround **auction** format has never been used before in auctioning public assets, and when similar items are...

**17/3,K/42 (Item 7 from file: 148)**
DIALOG(R)File 148:Gale Group Trade & Industry DB
(c)2004 The Gale Group. All rts. reserv.

07942464 SUPPLIER NUMBER: 17010299 (USE FORMAT 7 OR 9 FOR FULL TEXT)
**Flexibility key to new MMDS rules. (multichannel multipoint distribution services' use of microwave channels) (Brief Article)**
McConnell, Chris
Broadcasting & Cable, v125, n24, p11(1)
June 12, 1995
DOCUMENT TYPE: Brief Article ISSN: 1068-6827 LANGUAGE: ENGLISH
RECORD TYPE: FULLTEXT
WORD COUNT: 346 LINE COUNT: 00030

... the use of their microwave channels.

The debate comes in the context of forging new **rules** for MMDS licenses, which are expected to be adopted at this Thursday's (June 15) open meeting. The **rules** will institute **auctions** as part of the licensing process.

Those **auctions** will cover frequencies that are adjacent to the PCS spectrum that recently attracted billions from **bidders** . The proximity worries some in the wireless cable industry, who say too much flexibility will undermine their business.

"We don't need the premature **perce**ption that this is more PCS spectrum," says one wireless cable source, who fears a blanket...

**17/3,K/43 (Item 8 from file: 148)**
DIALOG(R)File 148:Gale Group Trade & Industry DB
(c)2004 The Gale Group. All rts. reserv.

06113656 SUPPLIER NUMBER: 12547090 (USE FORMAT 7 OR 9 FOR FULL TEXT)
**City's BID policy bad for business, store owners claim. (San Diego, California's Business Improvement District policy)**
Lassa, Todd
San Diego Business Journal, v13, n31, p1(3)
August 10, 1992
ISSN: 8750-6890 LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT
WORD COUNT: 1853 LINE COUNT: 00139

... The fracas in La Jolla that prompted the San Diego City Council to change its **rules** began when almost 400 of the 1,100- **member** La Jolla **BID** tried to dissolve the district. Protestors charged that the **BID** served only the ritzy retail stores near Prospect and Girard avenues.

"My **clients** don't really **perceive** a need for a BID in La Jolla," said Bob Ottilie, attorney for Stop BID...

**17/3,K/44 (Item 9 from file: 148)**
DIALOG(R)File 148:Gale Group Trade & Industry DB
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06106367 SUPPLIER NUMBER: 12550387 (USE FORMAT 7 OR 9 FOR FULL TEXT)
**Critics of FERC's order 636 look to Congress, courts for help. (Federal**

**Energy Regulatory Commission order for gas transmission)**
Garner, Lynn
Oil Daily, n10049, p1(2)
August 10, 1992
ISSN: 0030-1434 LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT; ABSTRACT
WORD COUNT: 533 LINE COUNT: 00042

... for homes, hospitals and schools during times of curtailment.
In addition, APGA said its utility members must engage in "a **bidding** contest" to retain their required capacity on pipelines when their contracts expire. Under the revised **rule** , if the utility does not agree to sign a 20-year contract and another **bidder** does agree, the utility will lose its capacity renewal rights.
"It was this type of non-relief that prompted Commissioner (Elizabeth A.) Moler to **characterize** the commission's action in Order No. 636-A as a `blatantly anti-LDC rule...

**17/3,K/45 (Item 10 from file: 148)**
DIALOG(R)File 148:Gale Group Trade & Industry DB


05900125 SUPPLIER NUMBER: 12379019 (USE FORMAT 7 OR 9 FOR FULL TEXT)
**Purchasing managers group continues to grow stronger. (National Restaurant Association's Foodservice Purchasing Managers Executive Study Group)(Purchasing) (Column)**
Patterson, Patt
Nation's Restaurant News, v26, n24, p64(1)
June 15, 1992
DOCUMENT TYPE: Column ISSN: 0028-0518 LANGUAGE: ENGLISH
RECORD TYPE: FULLTEXT
WORD COUNT: 1035 LINE COUNT: 00081

... manufacturers. Buying from local distributor stocks is used with remote stores in some chains. Monthly **bidding** is the **rule** rather than the exception.
For seafood the **participants** agreed that the **customers** ' **perception** of freshness is a major factor. Yield vs. cost is a major consideration of most...

**17/3,K/46 (Item 1 from file: 636)**
DIALOG(R)File 636:Gale Group Newsletter DB(TM)


04174080 Supplier Number: 54653878 (USE FORMAT 7 FOR FULLTEXT)
**ANDERSON CONSULTING: Electricity trading over the Internet begins in six New England states.**
M2 Presswire, pNA
May 14, 1999
Language: English Record Type: Fulltext
Document Type: Newswire; Trade
Word Count: 901

... submission, billing, settlements and publishing of pricing and trading information. Back-up hardware ensures market **participants** will have the ability to enter **bids** and contracts 24 hours a day, seven days a week.
"Deregulation of the electricity industry is **characterized** by rapidly changing market **rules** and differing legislation across states,

regions and countries," said Larry Winter, partner for Andersen Consulting ...

**17/3,K/47 (Item 2 from file: 636)**
DIALOG(R)File 636:Gale Group Newsletter DB(TM)


03900814 Supplier Number: 50074884 (USE FORMAT 7 FOR FULLTEXT)
**FCC Fines U S West, Western Wireless Over PCS Auction Incident**
Communications Today, pN/A
March 18, 1998
Language: English Record Type: Fulltext
Article Type: Article
Document Type: Magazine/Journal; Trade
Word Count: 512

... Ford's message was a "non-event" that had no bearing on the company's **bidding** strategy. Baumbaugh "acted at all times with the highest degree of integrity and in a manner consistent with the FCC's anti-collusion **rules** ," Western Wireless said.

The FCC claimed, however, that telling Western Wireless that U S West was not interested in the Olympia market despite its **bid** was "precisely the type of disclosure prohibited under the anti-collusion **rule** ." Both companies' **behavior** was influenced by the disclosure and worked to the disadvantage of other **bidders** , according to the agency.

U S West apparently considers the penalty somewhat excessive given what...

**17/3,K/48 (Item 3 from file: 636)**
DIALOG(R)File 636:Gale Group Newsletter DB(TM)


03815729 Supplier Number: 48277524 (USE FORMAT 7 FOR FULLTEXT)
**Telecommunications Report -- Telecom Sweepstakes Look Better than Ever, Even As Unknowns Abound**
Lagniappe Letter, v15, n3, pN/A
Feb 6, 1998
Language: English Record Type: Fulltext
Document Type: Newsletter; Trade
Word Count: 1330

... exclusivity period; the sale of competing licenses is also to start mid-year. As has **characterized** the **auction** of B-band services so far, **participants** will have to go into **bidding** without all the **rules** clearly established. The Brazilian government's push to open telecommunications accelerates the opportunities for investors...

**17/3,K/49 (Item 4 from file: 636)**
DIALOG(R)File 636:Gale Group Newsletter DB(TM)


03492588 Supplier Number: 47203228 (USE FORMAT 7 FOR FULLTEXT)
**UN: Increasing use of staff loaned by member states to United Nations addressed in fifth committee-Part 2**
M2 Presswire, pN/A
March 12, 1997
Language: English Record Type: Fulltext

Document Type: Newswire; Trade
Word Count: 3648

... pay for mandated operations. "Such a practice, in the long run, could distort the international **character** of the Organization and the equality of **Member** States", he said. "It could compromise the Organization's regulations and **rules** on fair and transparent procedures, as well as the relevant financial regulations and **rules** governing competitive **bidding** for goods and services through the United Nations procurement process."
He said the ACABQ had...

**17/3,K/50 (Item 5 from file: 636)**
DIALOG(R)File 636:Gale Group Newsletter DB(TM)
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03312084 Supplier Number: 46804570 (USE FORMAT 7 FOR FULLTEXT)
**DEFAULTING C-BLOCK WINNERS TRY VARYING STRATEGIES TO SAVE LICENSES**
PCS Week, v7, n42, pN/A
Oct 16, 1996
Language: English Record Type: Fulltext
Document Type: Newsletter; Trade
Word Count: 373

... climate which the commission helped to create" by its change in the cellular cross-ownership **rules** . Since the companies facing petitions tend to be larger **participants** --which many smaller **bidders** had accused of subverting the small-business **character** of the **auction** in the first place--Carolina said the move was "grossly inequitable" and "contrary to the...

**17/3,K/51 (Item 6 from file: 636)**
DIALOG(R)File 636:Gale Group Newsletter DB(TM)
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03240717 Supplier Number: 46646558 (USE FORMAT 7 FOR FULLTEXT)
**Pa. Counties Fight Back with Bids For Medicaid Behavioral Health Carve-Out**
Managed Behavioral Health News, v2, n16, pN/A
August 22, 1996
Language: English Record Type: Fulltext
Document Type: Newsletter; Professional
Word Count: 430

(USE FORMAT 7 FOR FULLTEXT)
TEXT:
...risk contracts won deals. "It wasn't a company that was looking to overlay commercial **rules** on this population," an official explains. If counties don't clear the bar, seven commercial **bidders** are waiting for their shot in a competitive **bidding** process. The private sector players are: * Access EAP & **Behavioral** Health Services, which bid for every county except Philadelphia County. * Community **Behavioral** Healthcare Network of Pennsylvania, which bid for all five counties. * Green Spring Health Services, which...

**17/3,K/52 (Item 7 from file: 636)**
DIALOG(R)File 636:Gale Group Newsletter DB(TM)
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01619793 Supplier Number: 42485622 (USE FORMAT 7 FOR FULLTEXT)
**Saskatchewan Court Decision Helps Define Poison Pills**
Mergers & Acquisitions in Canada, v3, n8, pN/A
Nov, 1991
Language: English Record Type: Fulltext
Document Type: Newsletter; Trade
Word Count: 1954

... Producers case adopted what is known in the United States as the "enhanced business judgment **rule** ". The **rule** acknowledges that courts should respect the business judgment of directors but also recognizes that in a takeover **bid** the board has a conflict of interest because new owners will often change senior management and the **members** of the board. The **rule** therefore permits directors to initiate actions to defend against a takeover **bid** where they are able to demonstrate that (i) in good faith they **perceived** a threat to the corporation, (ii) they acted after proper investigation, and (iii) the means...